

Algorithmic Meta-Theorems

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Minor Characterization

Minor-Free Graphs

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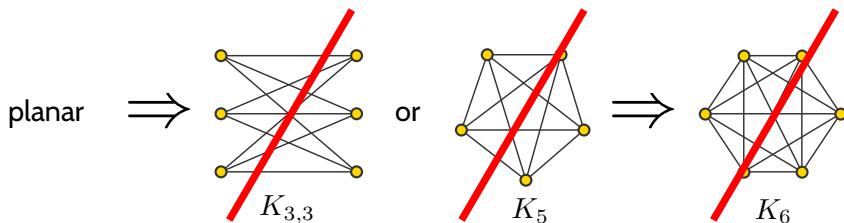
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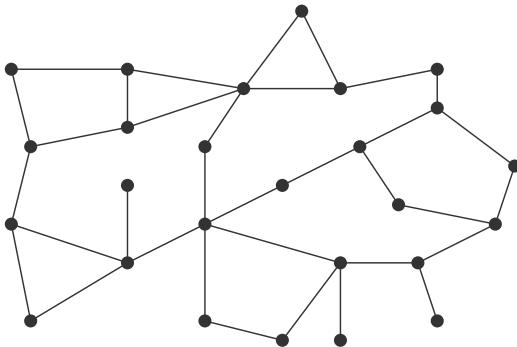
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Every planar graph class and every class with bounded treewidth is minor-free. Proof for planar graphs:



Shallow Minors

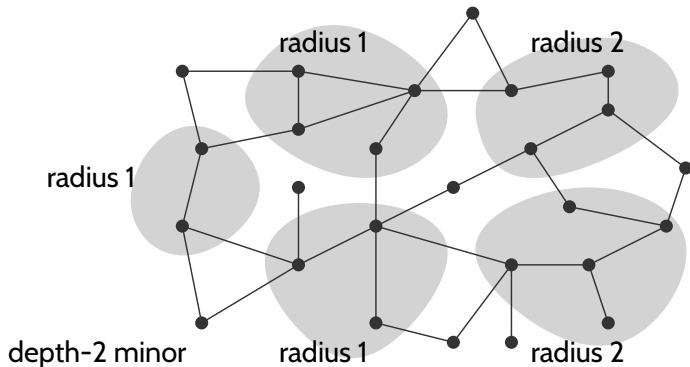
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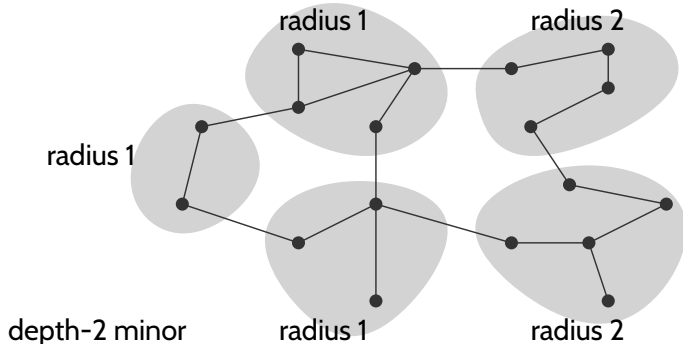
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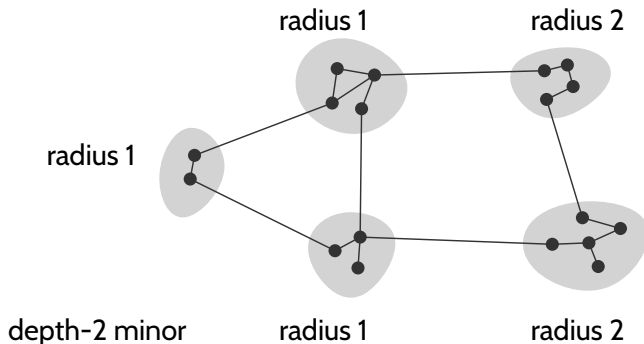
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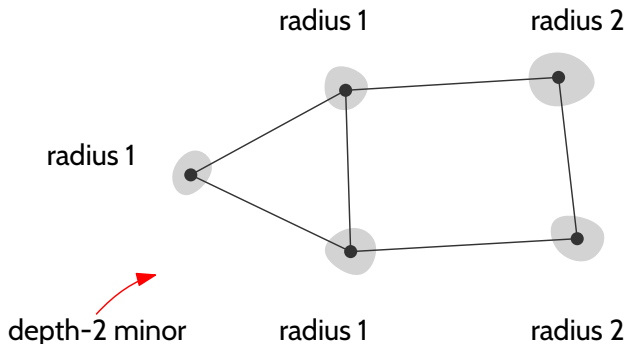
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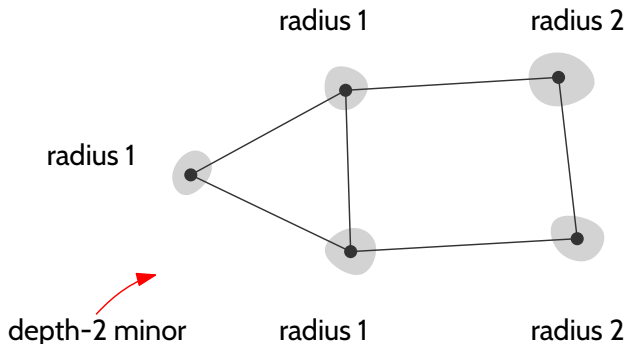
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- removing edges



The Right Notion of Sparsity

We measure sparsity at depth r by measuring the depth- r minors of a graph G . This notion of sparsity was introduced by Nešetřil and Ossona de Mendez. We can think of two ways to do so

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Bounded Expansion

A graph class \mathcal{C} has bounded expansion if there exists a function $f(r)$ such that for all $r \in \mathbb{N}$ and all $G \in \mathcal{C}$ we have $\nabla_r(G) \leq f(r)$.

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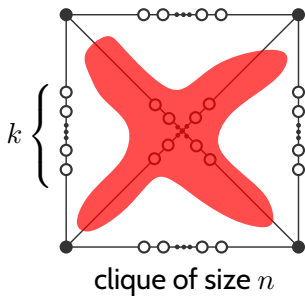
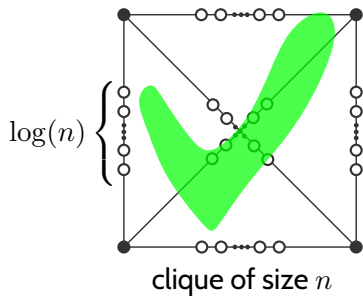
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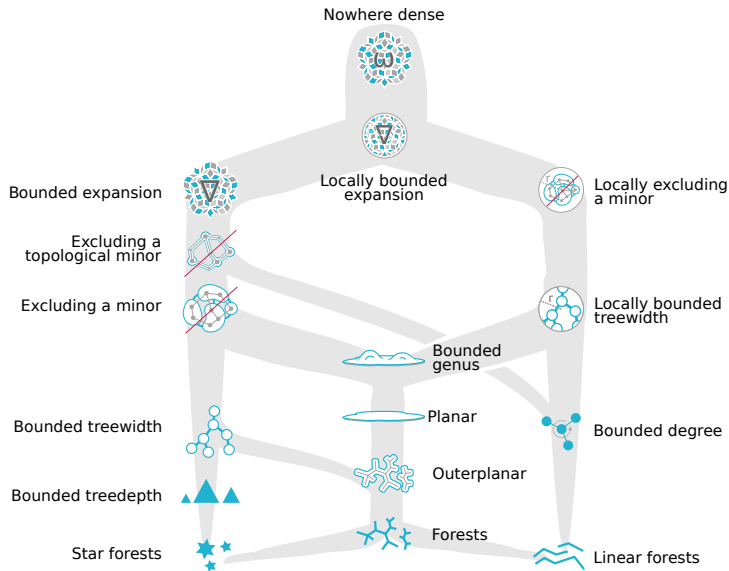
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Nowhere Dense

A graph class \mathcal{C} is nowhere dense if there exists a function $f(r)$ such that for all $r \in \mathbb{N}$ and all $G \in \mathcal{C}$ we have $\omega_r(G) \leq f(r)$.



Many Sparse Graph Classes



Main Results for Sparse Graphs

Theorem (Grohe, Kreuzer, Siebertz 2017)

For graph class \mathcal{C} that is closed under subgraphs holds \mathcal{C} is nowhere dense iff the first-order model-checking problem on \mathcal{C} is FPT (assuming $\text{FPT} \neq \text{AW}[*]$).

Main Results for Sparse Graphs

Theorem (Dvořák, Král, Thomas 2013)

Let \mathcal{C} be a graph class with bounded expansion. There exists a function f such that for every FO formula φ and graph $G \in \mathcal{C}$ one can decide whether $G \models \varphi$ in time $f(|\varphi|)n$.

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General rule: Things that work for bounded expansion also work for nowhere dense, but in an uglier way. This is why we focus on bounded expansion only in this course.

Existential Model-Checking

We will first prove a weaker result that is a building block in many other algorithms.

Let \mathcal{C} be a class with bounded expansion. There exists a function f such that for every *existential* FO formula φ and graph $G \in \mathcal{C}$ one can decide whether $G \models \varphi$ in time $f(|\varphi|)n$.

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This is (more or less) equivalent to deciding in time $f(|H|)n$ whether a pattern graph H occurs as induced subgraph.

Existential Model-Checking

Proof of equivalence:

- Assume we want to know whether $G \models \varphi$ for some existential formula with q quantifiers. For example
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- Compute set \mathcal{H} of all graphs with at most q vertices and $H \models \varphi$. In our case,

$$\mathcal{H} = \{ \begin{array}{c} x \text{ --- } y \\ z \end{array} , \quad \begin{array}{c} \text{---} \text{---} \text{---} \\ x \text{ --- } y \text{ --- } z \end{array} , \quad \begin{array}{c} x \text{ --- } yz \end{array} \}$$

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- Now $G \models \varphi$ iff G contains some graph from \mathcal{H} as induced subgraph.
 - Assume $G \models \varphi$. Then the satisfying assignment describes induced subgraph H of G with $H \models \varphi$.
 - Assume $H \in \mathcal{H}$ is induced subgraph of G . Then $H \models \varphi$. This does not change while adding the remaining vertices of G .

How can we prove these results?

- Gaifman does not help much because neighborhoods can be the whole graph.
- So far, all we know that certain shallow minors are not present.
- If we have a better understanding of the structure of sparse graphs, this will help us.

Alternative Characterizations

There are many alternative definitions of bounded expansion and nowhere dense classes.

- shallow minors
- generalized coloring numbers
- low treedepth colorings
- transitive fraternal augmentations
- quasi-wideness
- connector-splitter games

Which one is best depends on the task.

Low Treedepth Colorings

To prove the result, we will use the powerful notion of *low treedepth colorings*.

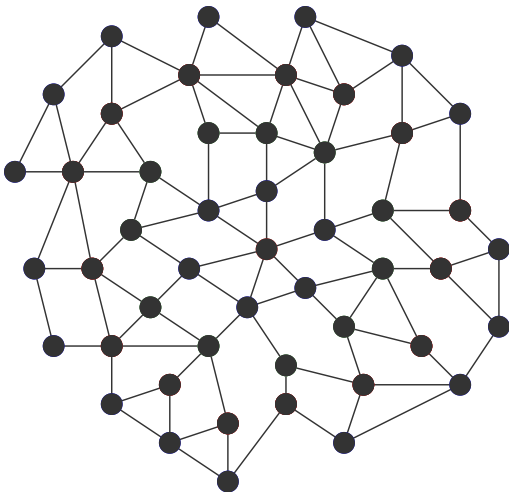
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As a warmup, we solve the problem on planar graphs and then generalize the approach to bounded expansion.

Baker's Technique

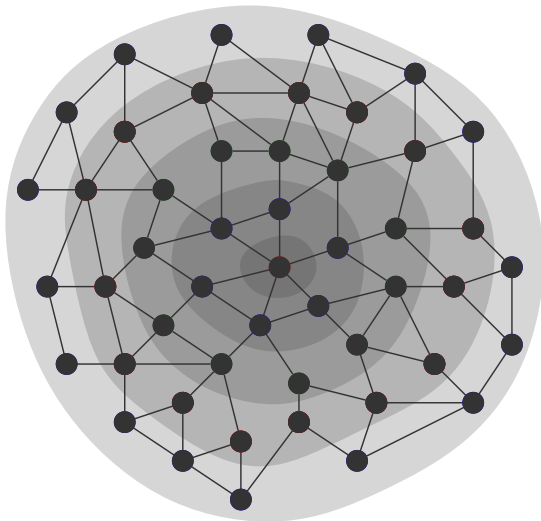
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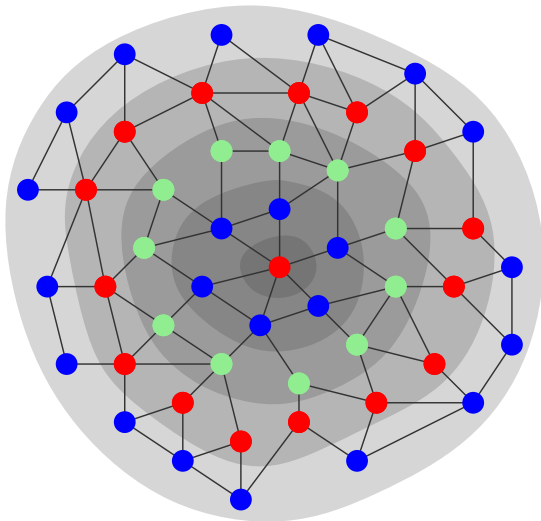
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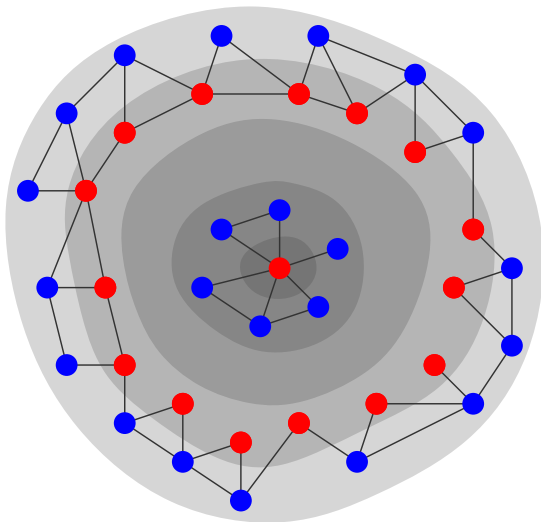
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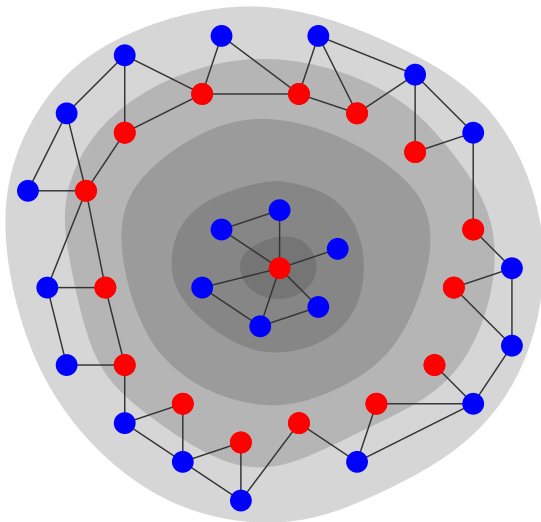


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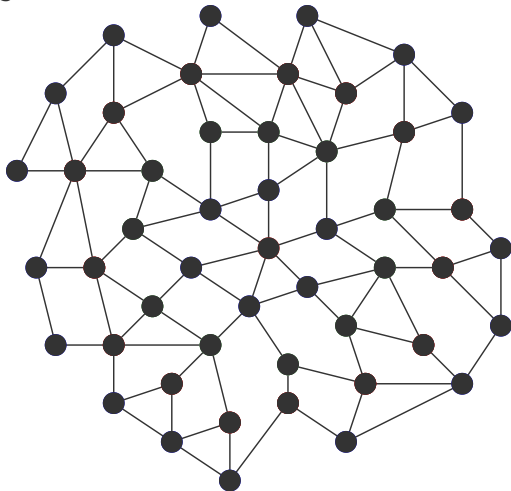
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The resulting graph has treewidth at most $3p + 1$.



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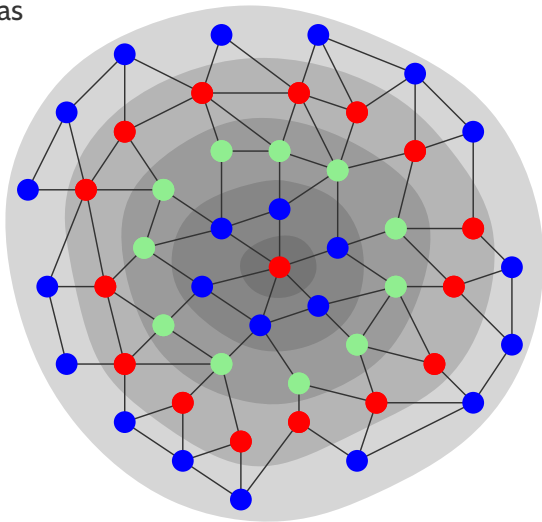
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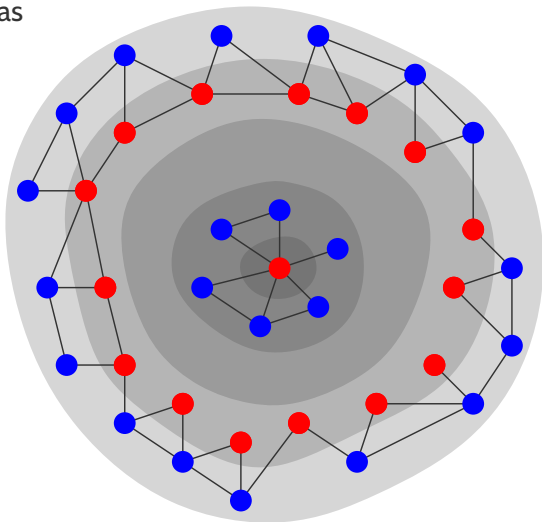
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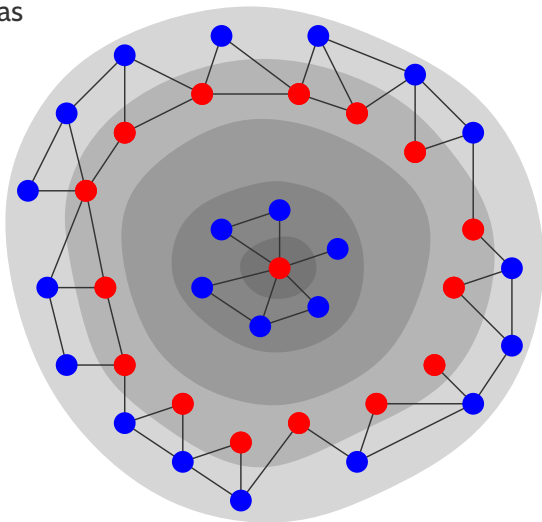
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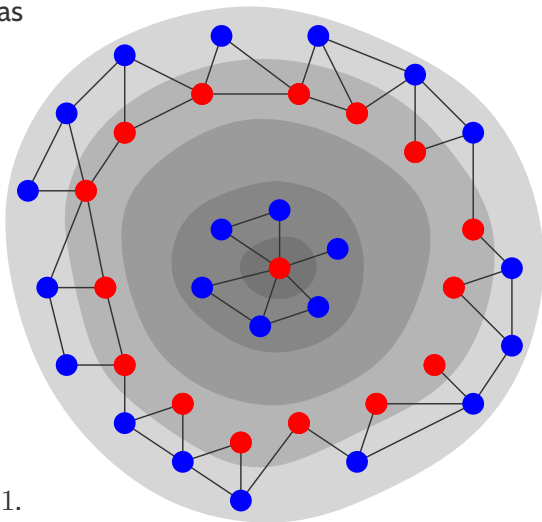
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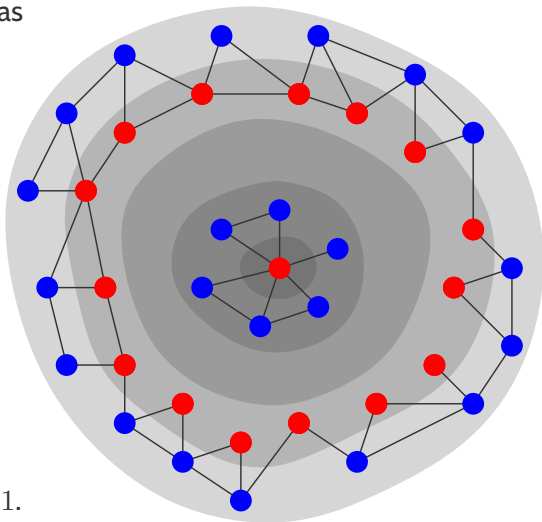


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Run time $\binom{p}{p-1} \cdot f(3p+1, |H|) \cdot n$.



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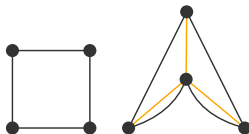
We can get something similar for bounded expansion.

- For every p one can color the graph with $f(p)$ colors such that every set of p colors induces a graph with treedepth at most p .

Treewidth

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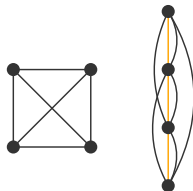
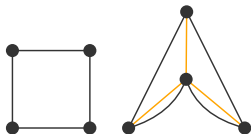
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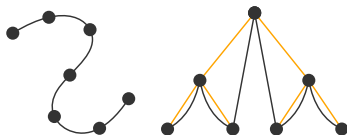
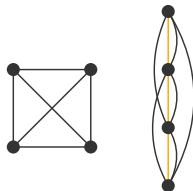
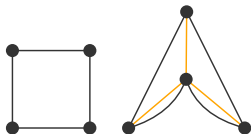
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Treewidth vs. Treewidth

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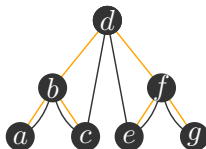
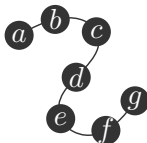
This means graph classes with bounded treewidth are more general than those with bounded treedepth.

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Proof: The set of all paths from leaves to the root yield a tree decomposition.

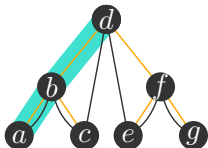
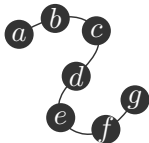


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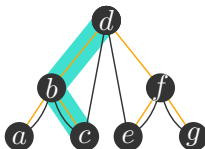
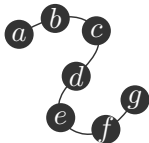
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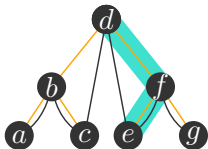
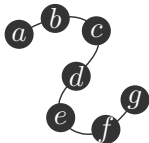


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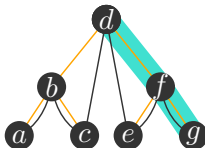
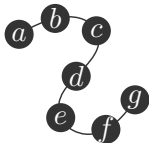


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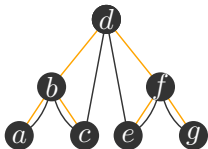
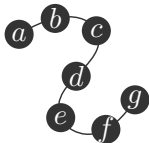


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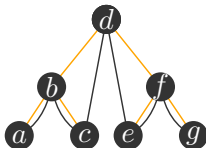
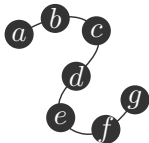
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Treewidth of Paths

A treewidth of a path with n vertices is exactly $\lceil \log(n + 1) \rceil$.



Low Treedepth Colorings

Nešetřil, Ossona de Mendez

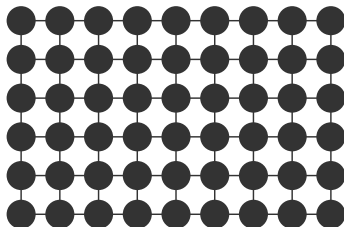
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What is $f(2)$ for this graph?

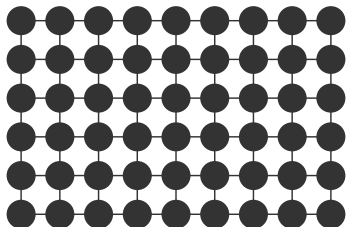


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A graph class \mathcal{C} has bounded expansion iff there exists a function f such that for every $G \in \mathcal{C}$ and $p \in \mathbb{N}$ one can color G with $f(p)$ colors and every set of p colors induces a graph with treedepth $\leq p$.

What is $f(2)$ for this graph? How many colors do we need here such that every set of 2 colors has treedepth ≤ 2 ?

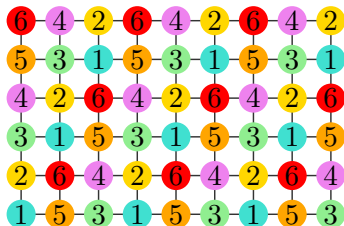


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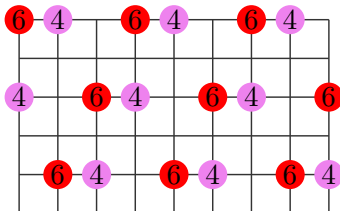


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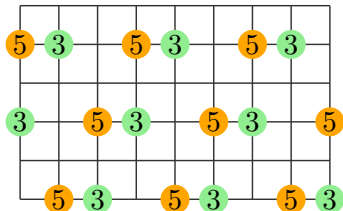


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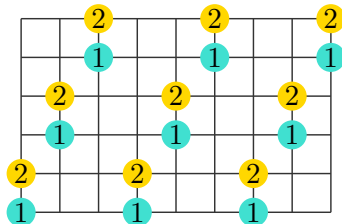


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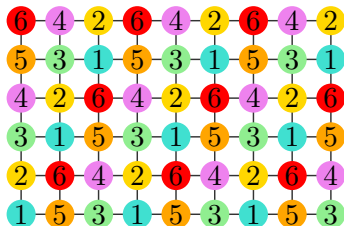


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Low Treedepth Colorings

How many colors do we need to color a tree such that every set of p colors induces a graph with treedepth at most p ?

Low Treedepth Colorings

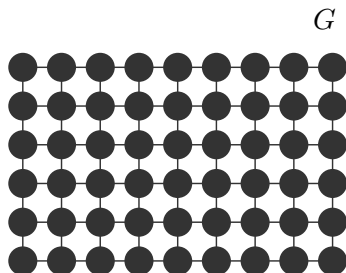
How many colors do we need to color a tree such that every set of p colors induces a graph with treedepth at most p ?

Color it with $p + 1$ colors slicewise.

We can now use low-treedepth colorings to prove fpt existential model-checking.

Existential Model-Checking

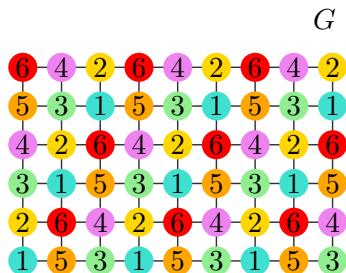
Let \mathcal{C} be a class with bounded expansion, having low treedepth colorings with function $f(p)$. We want to know in time $h(|H|) \cdot n$ whether a graph $G \in \mathcal{C}$ has H as induced subgraph.



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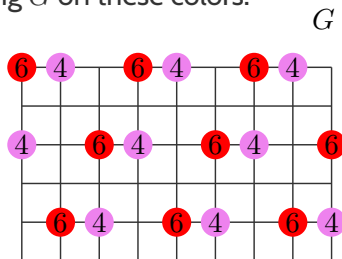
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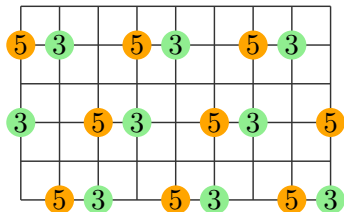


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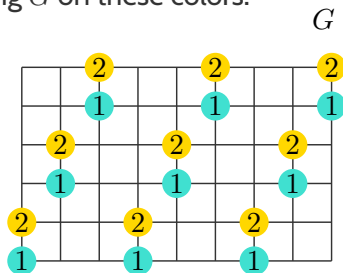
G



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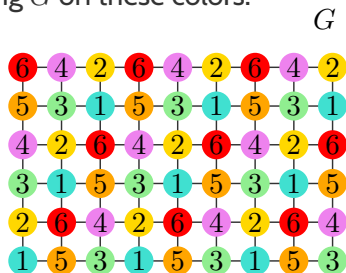
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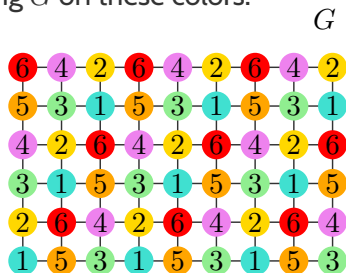
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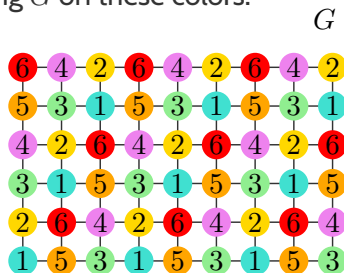
- For $p = |H|$ compute low treedepth coloring of G with $f(p)$ colors.
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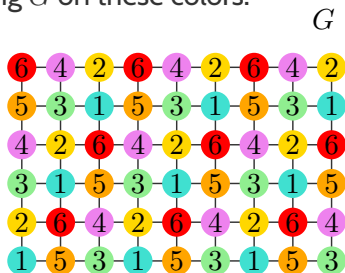
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- We consider $\binom{f(|H|)}{|H|}$ color sets and for each we search for H in time $g(|H|) \cdot n$ using Courcelle.
- Total run time $\binom{f(|H|)}{|H|} g(|H|) \cdot n$.
- Plus time needed to compute coloring!



Main Result for Sparse Graphs

After we previously proved the result for existential model-checking, we now prove the full version.

Theorem (Dvořák, Král, Thomas 2013)

Let \mathcal{C} be a graph class with bounded expansion. There exists a function f such that for every FO formula φ and graph $G \in \mathcal{C}$ one can decide whether $G \models \varphi$ in time $f(|\varphi|)n$.

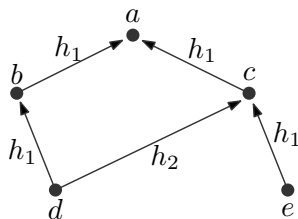
This is the most involved proof presented in this course. We will again use low-treewidth colorings.

Functional Graphs

A functional graph \vec{G} is a structure with signature $\tau = \{h_1, h_2, \dots, R_1, R_2, \dots, Q_1, Q_2, \dots\}$ where

- $h_i: V \rightarrow V$ are unary functions
- $R_i \subseteq V$ are unary relations
- $Q_i \in \{0, 1\}$ are nullary relations

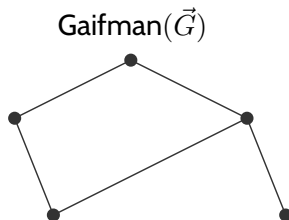
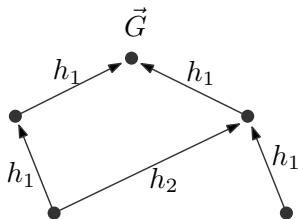
$$\begin{aligned}h_1(d) &= b \\h_2(d) &= c\end{aligned}$$



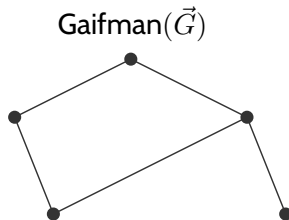
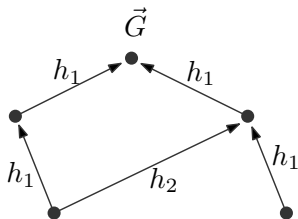
You can think of it as follows

- $h_i(u) = v$ equals directed edge from u to v of the i th type,
- $R_i(u)$ equals labeling u with i th label.
- Q_i equals a globally accessible truth value.

For a functional graph \vec{G} , the graph $\text{Gaifman}(\vec{G})$ has the same vertex set and edges uv iff $h_i(u) = v$ or $h_i(v) = u$ for some i .



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We say a class of functional graphs has *bounded expansion* if the class of their Gaifman graphs has.

If we can solve the model-checking problem in time $f(|\varphi|)n$ on functional graph classes with bounded expansion then we can also do it in time $f(|\varphi|)n$ on normal graph classes with bounded expansion.

Reduction

If we can solve the model-checking problem in time $f(|\varphi|)n$ on functional graph classes with bounded expansion then we can also do it in time $f(|\varphi|)n$ on normal graph classes with bounded expansion.

Proof: Let \mathcal{C} be a normal class with bounded expansion. Then \mathcal{C} has bounded degeneracy d .



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Proof: Let \mathcal{C} be a normal class with bounded expansion. Then \mathcal{C} has bounded degeneracy d .



Compute degeneracy ordering. Let $h_i(v)$ point to i th left neighbor of v . Replace in φ every occurrence of $x \sim y$ with

$$\bigwedge_{i=1}^d h_i(x) = y \vee h_i(y) = x.$$

Main Result for Functional Structures

We therefore want to prove the following.

Theorem (Dvořák, Král, Thomas 2013)

Let $\vec{\mathcal{C}}$ be a functional graph class with bounded expansion.
For every graph $\vec{G} \in \vec{\mathcal{C}}$ and FO formula φ one can decide whether $\vec{G} \models \varphi$ in time $f(|\varphi|)n$.

Quantifier Elimination

Convert formula to prenex normal form.

$$\vec{G} \models \exists x_1 \left(\quad \right)$$

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$$\vec{G} \models \exists x_1 \left(\forall x_2 \left(\exists x_3 \overbrace{\varphi(x_1 x_2 x_3)}^{\text{quantifier-free}} \right) \right)$$

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Convert formula to prenex normal form. Gradually simplify formula by removing quantifiers one by one from the inside.

$$\vec{G} \models \exists x_1 \left(\forall x_2 \left(\underbrace{\exists x_3 \overbrace{\varphi(x_1 x_2 x_3)}^{\text{quantifier-free}}} \right) \right)$$

replace with quantifier-free

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$$\vec{G}' \models \exists x_1 \left(\forall x_2 \overbrace{\varphi'(x_1 x_2)}^{\text{quantifier-free}} \right)$$

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$$\vec{G}'' \models \exists x_1 \quad \overbrace{\varphi''(x_1)}^{\text{quantifier-free}}$$

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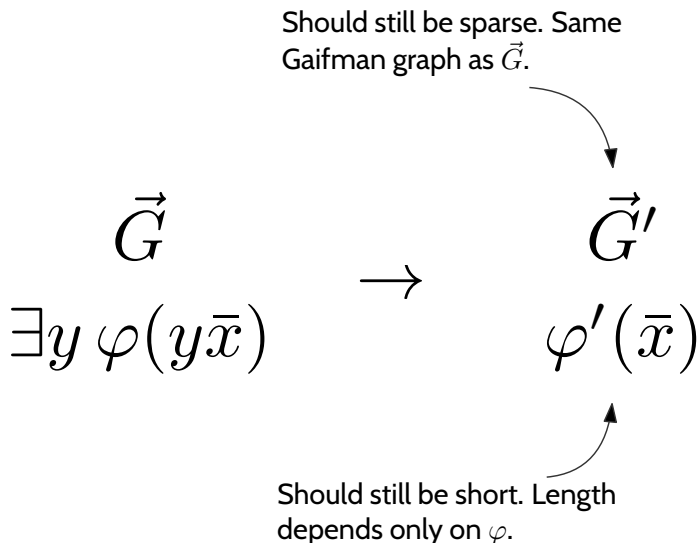
We shift complexity from the formula to the graph. G' , G'' , G''' have same vertices and edges but additional unary and nullary relations.

$$\begin{array}{ccc} \vec{G} & & \vec{G}' \\ \exists y \, \varphi(y\bar{x}) & \longrightarrow & \varphi'(\bar{x}) \end{array}$$

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Should still be short. Length
depends only on φ .

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$$\vec{G} \models \exists y \varphi(y\bar{v}) \quad \text{iff} \quad \vec{G}' \models \varphi'(\bar{v}) \quad \text{for all } \bar{v} \in V(\vec{G})^{|\bar{x}|}.$$

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$$\vec{G}' \models \exists x_1 \left(\forall x_2 \overbrace{\varphi'(x_1 x_2)}^{\text{quantifier-free}} \right)$$

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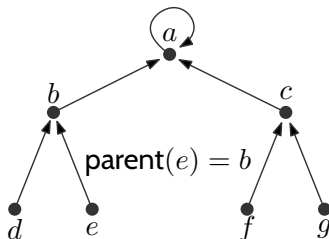
Roadmap: Construct quantifier elimination for graph classes of increasing complexity.

- forests of bounded depth
- bounded treedepth
- bounded expansion

Functional Forests

The simplest functional structures we work with are *functional forests*.

There are unary relations (labels) and exactly one unary function “parent” describing the parent relation of a rooted forest (roots point to themselves).



Let \mathcal{C} be a class of functional forests with bounded depth.
Then \mathcal{C} has a quantifier elimination procedure.

$$\begin{array}{ccc} \vec{G} & & \vec{G}' \\ \exists y \, \varphi(y\bar{x}) & \longrightarrow & \varphi'(\bar{x}) \end{array}$$

Functional Forests

Since $\varphi(y\bar{x})$ is quantifier free, it is a boolean combination of atoms of the form

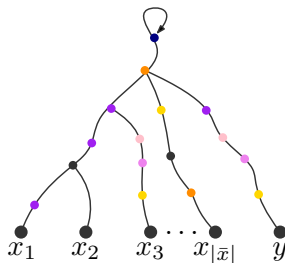
- R for some nullary relation R
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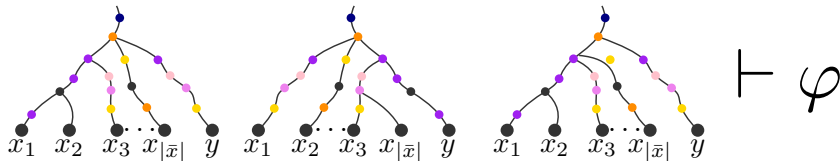
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$\varphi(y\bar{x})$ can only talk about the connections between and labelings of ancestors of $y\bar{x}$.



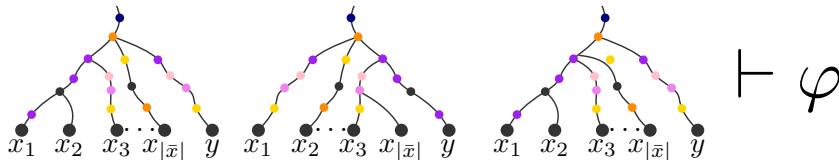
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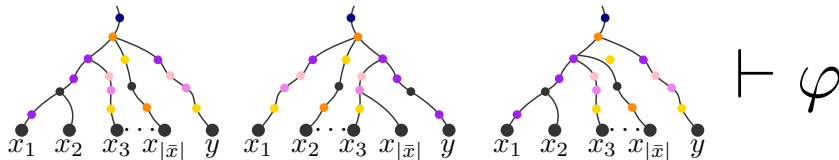


We see every $T \in \mathbb{T}$ as a formula $T(y\bar{x})$ checking if ancestor tree of $y\bar{x}$ equals T . Then

$$\exists y \varphi(y\bar{x}) = \exists y \bigvee_{T \in \mathbb{T}} T(y\bar{x})$$

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Let \mathbb{T} be the set of all such trees that imply φ .



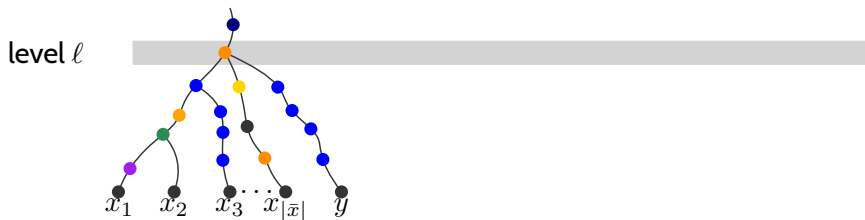
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$$\exists y \varphi(y\bar{x}) = \exists y \bigvee_{T \in \mathbb{T}} T(y\bar{x}) = \bigvee_{T \in \mathbb{T}} \exists y T(y\bar{x}).$$

We focus on a single subformula $\exists y T(y\bar{x})$.

Functional Forests

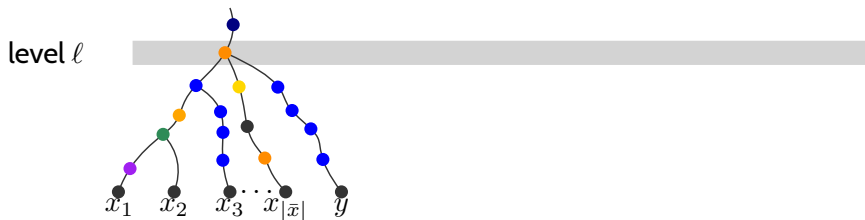
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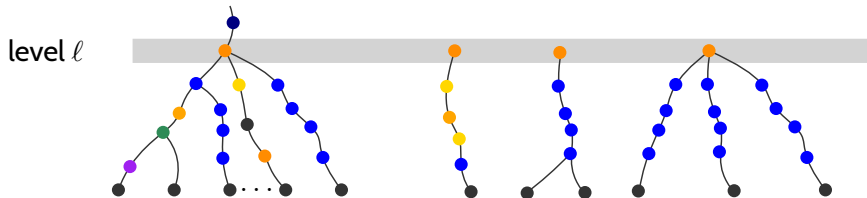
We focus on a single subformula $\exists y T(y\bar{x})$.

It enforces that the ancestors of \bar{x} induce a certain tree and that there exists y that hits this tree via a “special path” with certain labels at a certain ancestor at height l .



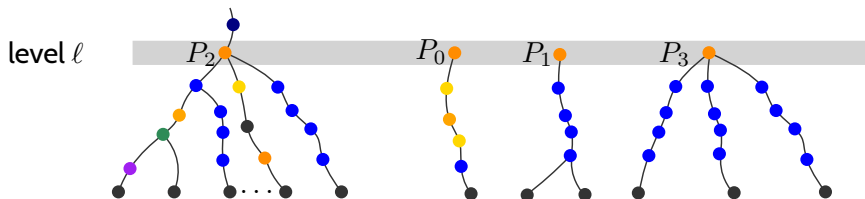
Functional Forests

We now augment the graph.



Functional Forests

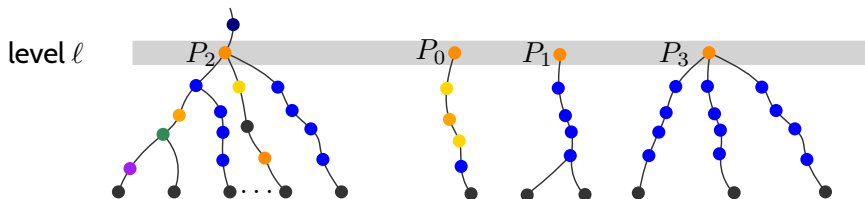
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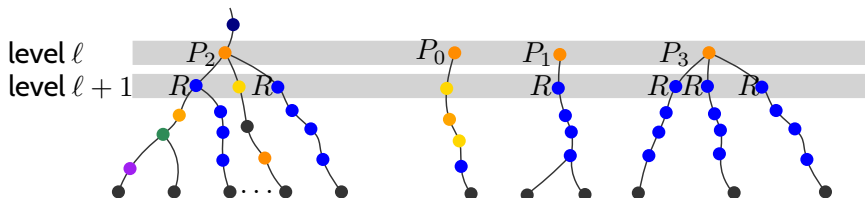


Functional Forests

We now augment the graph. For all v at level l use label $P_i(v)$ to store that i children of v can be completed to form a special path.

○ round all numbers larger than $|\bar{x}|$ up to ∞ .

For all w at level $l + 1$ add label $R(w)$ if w lies on a special path.



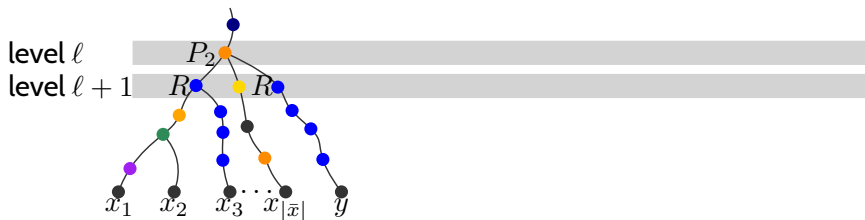
Functional Forests

We construct a quantifier-free formula $T'(\bar{x})$ equivalent to $\exists y T(y\bar{x})$. This formula can only check the ancestor tree of \bar{x} .



Functional Forests

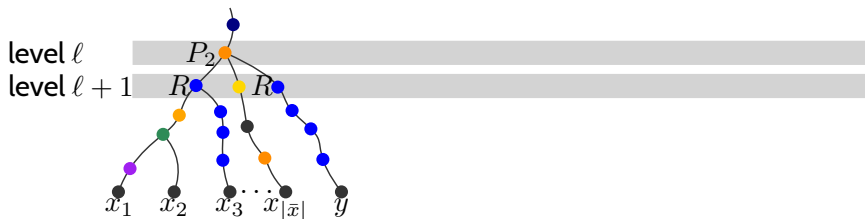
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Functional Forests

We construct a quantifier-free formula $T'(\bar{x})$ equivalent to $\exists y T(y\bar{x})$. This formula can only check the ancestor tree of \bar{x} .

If we want to know if there is y reaching an ancestor s of \bar{x} , we can check whether s has more ancestors than are in the tree of \bar{x} .



For every ancestor tree $T \in \mathbb{T}$ we can perform quantifier elimination.

$$\begin{array}{ccc} \vec{G} & & \vec{G}' \\ \exists y \, T(y\bar{x}) & \longrightarrow & T'(\bar{x}) \end{array}$$

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$$\vec{G} \models \exists y \, T(y\bar{v}) \quad \text{iff} \quad \vec{G}' \models T'(\bar{v}) \quad \text{for all } \bar{v} \in V(\vec{G})^{|\bar{x}|}.$$

However, we want quantifier elimination for our original formula

$$\exists y \varphi(y\bar{x}) = \bigvee_{T \in \mathbb{T}} \exists y T(y\bar{x}).$$

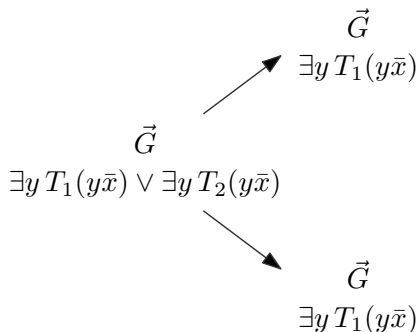
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$$\vec{G} \\ \exists y T_1(y\bar{x}) \vee \exists y T_2(y\bar{x})$$

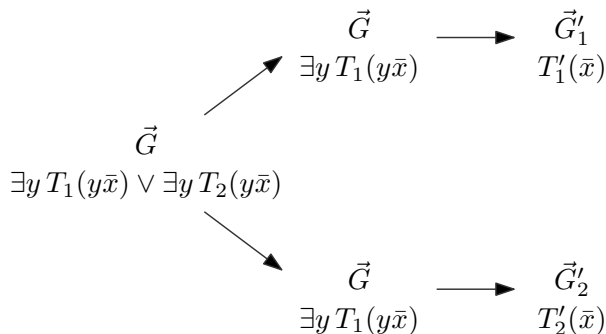
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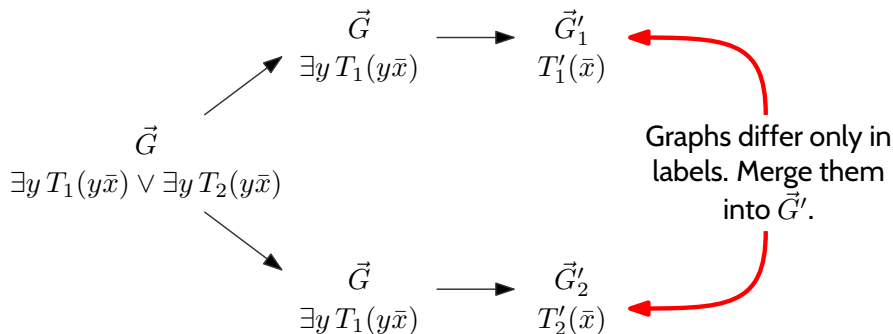
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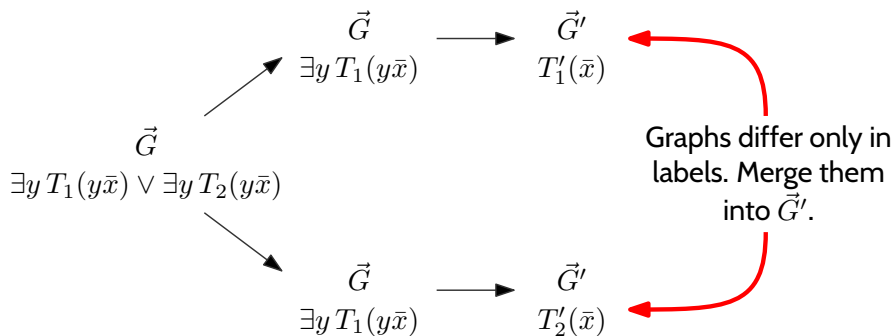
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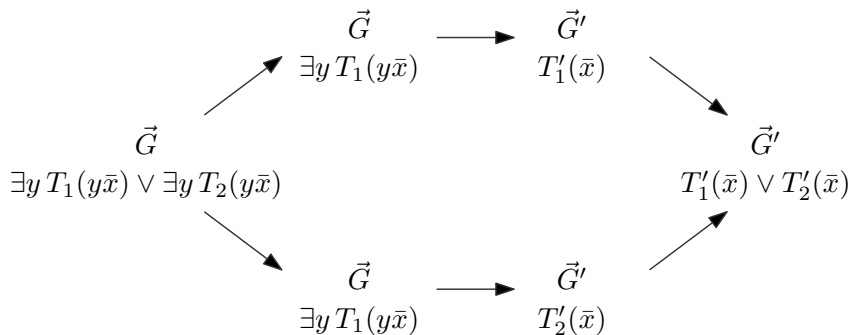
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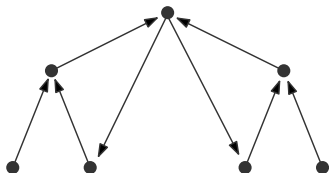
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Bounded Treedepth

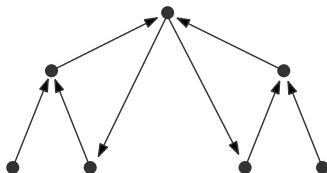
Next, we consider functional graph classes whose Gaifman graphs have *bounded treedepth*.



Bounded Treedepth

Next, we consider functional graph classes whose Gaifman graphs have *bounded treedepth*.

Let \mathcal{C} be a class whose Gaifman graphs have *bounded treedepth*. Then \mathcal{C} has a quantifier elimination procedure.



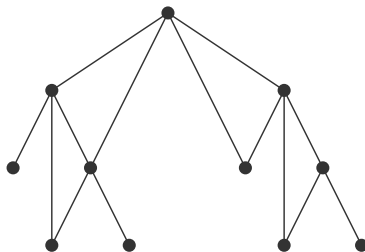
Bounded Treedepth

bounded
treedepth



\vec{G}

$$\exists y \varphi(y\bar{x})$$



Bounded Treedepth

bounded
treedepth

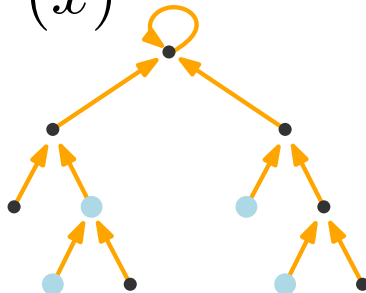


forest of bounded
depth



$$\exists y \varphi(y\bar{x})$$

$$\exists y \varphi'(\bar{x})$$



Bounded Treedepth

bounded
treedepth

\vec{G}

\rightarrow

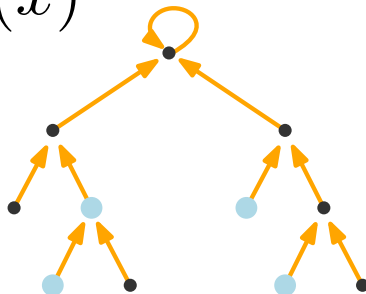
forest of bounded
depth

\vec{G}'''

$\exists y \varphi(y\bar{x})$

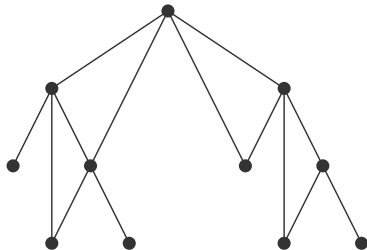
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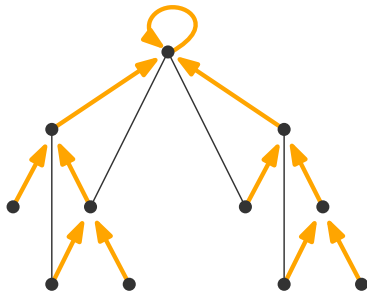
Bounded Treedepth

- Consider Gaifman graph of \vec{G} .



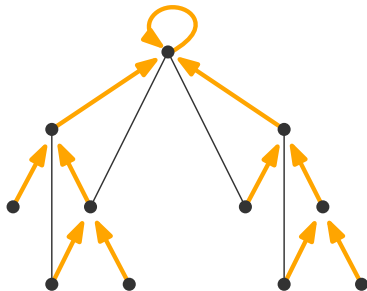
Bounded Treedepth

- Consider Gaifman graph of \vec{G} . Build depth-first search tree and make it functional.



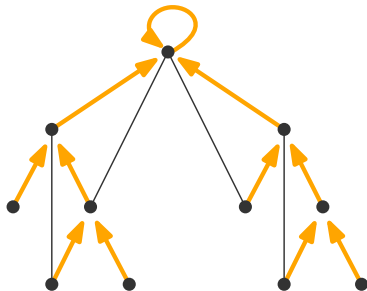
Bounded Treedepth

- Consider Gaifman graph of \vec{G} . Build depth-first search tree and make it functional. Graphs with treedepth d contain no paths longer than 2^d .



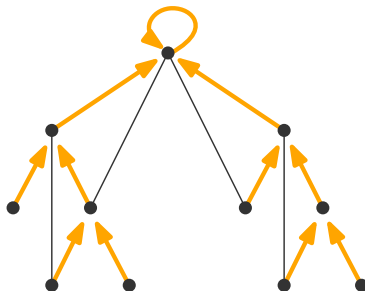
Bounded Treedepth

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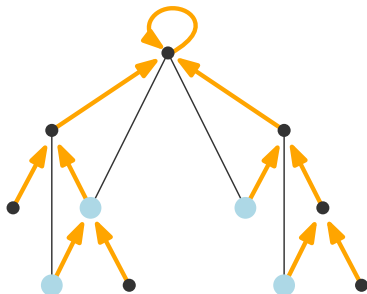
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Bounded Treedepth

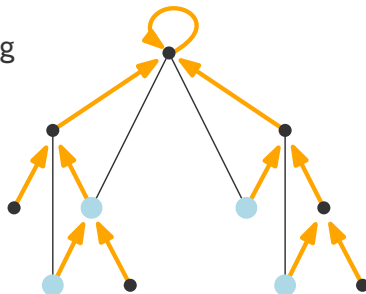
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$P_{i,j,\uparrow\downarrow}(v)$: “There is edge from v to $\text{parent}^i(v)$ labeled with j going upwards/downwards”.

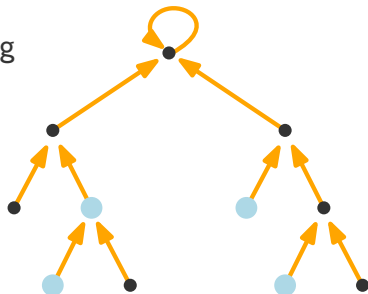


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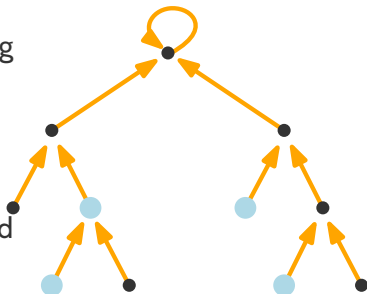


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- This tree fully encodes \vec{G} .
- Replace atoms $f_j(x) = y$ by guessing tree-relationship and checking the new predicates.



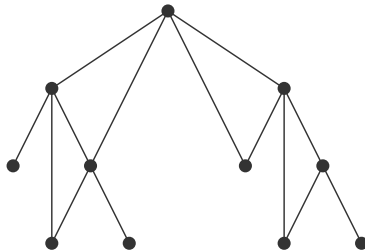
Bounded Treedepth

bounded
treedepth



\vec{G}

$$\exists y \varphi(y\bar{x})$$



Bounded Treedepth

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\vec{G}

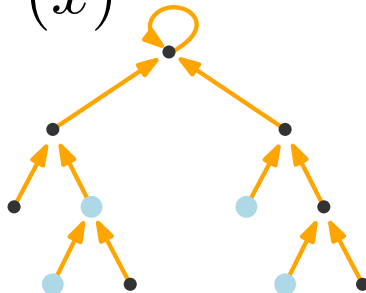
\rightarrow

forest of bounded
depth

\vec{G}'

$\exists y \varphi(y\bar{x})$

$\exists y \varphi'(\bar{x})$



Bounded Treedepth

bounded
treedepth

\vec{G}

\rightarrow

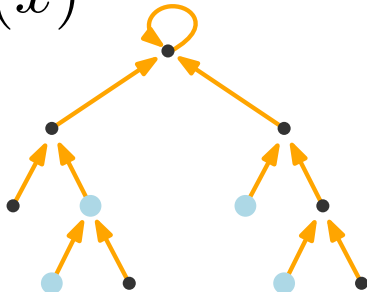
forest of bounded
depth

\vec{G}'''

$\exists y \varphi(y\bar{x})$

$\varphi''(\bar{x})$

Use previous theorem
for quantifier
elimination on forests.



The last step.

Let \mathcal{C} be a class with bounded expansion. Then \mathcal{C} has a quantifier elimination procedure.

We are given a formula $\exists y \varphi(\bar{x})$ where φ is quantifier-free.

Bounded Expansion

We are given a formula $\exists y \varphi(\bar{x})$ where φ is quantifier-free.

Replace all function applications such as $p(g(x_i)) = x_j$ with directed labeled edges such as $\exists y x_i \rightsquigarrow y \wedge y \rightsquigarrow x_j$.

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The result is a formula $\exists \bar{y} \psi(\bar{y}\bar{x})$ on a normal (non-functional) directed edge-labeled graph G .

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$$G \models \exists \bar{y} \psi(\bar{y}\bar{v}) \quad \text{iff}$$

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$$\bigvee_{\substack{S \subseteq \Lambda \\ |S|=|\bar{y}\bar{x}|}} \text{colors}(\bar{v}) \subseteq S \wedge G[S] \models \exists \bar{y} \psi(\bar{y}\bar{v}) \quad \text{iff}$$

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For all graphs $G[S]$ (of bounded treedepth) we perform quantifier elimination.

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For all graphs $G[S]$ (of bounded treedepth) we perform quantifier elimination. And stack the graphs on top of each other.

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Finally, pull out the graph.

This completes the proof. We have solved the model-checking problem on bounded expansion by performing quantifier elimination on trees and lifting it up using low treedepth colorings.

