Algorithmic Meta-Theorems

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1

We learned about Gaifman's locality theorem and used it do find a first-order meta-theorem for locally bounded treewidth.

This captures three natural classes of graphs.

- bounded treewidth
- planar graphs
- bounded degree

However, locally bounded treewidth is not very robust. It is not closed under adding apex-vertices.

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If you want to know more after this course, check out lecture notes and recordings of the fantastic sparsity lecture by Marcin Pilipczuk and Michał Pilipczuk.

lecture notes:

mimuw.edu.pl/~mp248287/sparsity2/

○ video recordings:

youtube.com/playlist?list= PLzdZSKerwrXrUPVDx6pHUPNdurKxqC4VD ○ Every graph is "sparse" if you subdivide the edges.



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○ Do we consider such subdivisions sparse?

- Yes: Degeneracy
- No: Bounded expansion and nowhere dense graph classes

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○ Let us first consider the case where we say "Yes".

 Are we allowed to make a graph "sparse" by adding extra vertices?



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O Definitely no!

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- O Definitely no!
- We want a width measure that does not increase if we take a subgraph. It should be "closed under subgraphs" (hereditary).

 The *degeneracy* of a a graph is the minimum number k such that there is an ordering of the vertices where no vertex has more than k neighbors on the left.



What is the degeneracy here?



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- Degeneracy is closed under subgraphs.
- If degeneracy is k then all subgraphs have average degree at most 2k.















Can we bound the degeneracy of a planar graph?



Can we bound the degeneracy of a planar graph? Every planar graph has vertex of degree ≤ 5 . Iteratively pull this vertex out. Degeneracy is ≤ 5 .



Degeneracy is Too General

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- \bigcirc We want to find a *k*-clique in graph *G*.
- A subdivision G' of G has degeneracy ≤ 2 .
- \bigcirc If we could evaluate in time $f(k)|G'|^c$



$$G' \models \exists x_1 \text{ black}(x_1) \dots x_k \text{ black}(x_k) \bigwedge_{i,j} \exists y \text{ purple}(y) \land x_i \sim y \land y \sim x_j$$

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11

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○ But clique cannot be solved in FPT time.

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- We require that subgraphs at all "depths" are sparse.
- Subgraphs hidden at a certain depth are called *shallow minors*.

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○ picking some connected subgraphs



- picking some connected subgraphs
- removing all vertices outside these subgraphs



- picking some connected subgraphs
- removing all vertices outside these subgraphs
- merging each subgraph into a single vertex



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- merging each subgraph into a single vertex
- removing edges



Minor Characterization of Planar Graphs

One can characterize graph classes by excluding certain minors.

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Kuratowski's Theorem

A graph is planar iff it does not have $K_{3,3}$ or K_5 as a minor.



Minor Characterization of Treewidth

A graph is a forest iff it does not have K_3 as a minor.



Minor Characterization of Treewidth

A graph is a forest iff it does not have K_3 as a minor.

A graph has treewidth ≤ 2 if it does not have K_4 as a minor.







A graph has treewidth ≤ 3 iff it does not have any of these graphs as a minor.

In general, for every k there exists a family of minors \mathcal{F}_k such that a graph has treewidth $\leq k$ iff it does not have any graph from \mathcal{F}_k as a minor.



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Minor-Free Graphs

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A class of graphs C is *minor-free* if there exists a t such that every graph in C excludes K_t as a minor.

Every planar graph class and every class with bounded treewidth is minor-free. Proof for planar graphs:



FO-logic can recover the underlying graph hidden at a "depth" in the graph. We require that subgraphs at all "depths" are sparse.



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Can we say a graph is sparse if it is *minor-free*, i.e., does not contain any complicated minors? No. We could not capture locally bounded treewidth this way.







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- But it has arbitrary large cliques as minors.

Our Goal

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Dense minors are okay as long as they are at a high "depth". How do we formalize this?



H is an *depth-r* minor of G ($H \preccurlyeq_r G$) if *H* can be built from *G* by

 \bigcirc picking some connected subgraphs with radius $\leq r$.



- \bigcirc picking some connected subgraphs with radius $\leq r$.
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Bounded Expansion

A graph class C has bounded expansion if there exists a function f(r) such that for all $r \in \mathbb{N}$ and all $G \in C$ we have $\nabla_r(G) \leq f(r)$.

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Nowhere Dense

A graph class C is nowhere dense if there exists a function f(r) such that for all $r \in \mathbb{N}$ and all $G \in C$ we have $\omega_r(G) \leq f(r)$.

Which of these classes has bounded expansion / is nowhere dense?

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Theorem (Grohe, Kreuzer, Siebertz 2017)

For graph class C that is closed under subgraphs holds C is nowhere dense iff the first-order model-checking problem on C is FPT (assuming FPT \neq AW[*]). We want to say a graph class is "sparse" if

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For graph class C that is closed under subgraphs holds C is nowhere dense iff the first-order model-checking problem on C is FPT (assuming FPT \neq AW[*]).

Before we prove (parts of) this result in the next lectures, we discuss the relationship to other graph classes.

Many Sparse Graph Classes



Figure by Felix Reidl

Nowhere Dense vs. Bounded Expansion

Every graph class with bounded expansion is also nowhere dense.

Proof:

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- $\bigcirc \omega_r(G) \le 2\nabla_r(G) + 1$:

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ω_r(G) = t ⇒ ∇_r(G) ≥ |E(K_t)| / |V(K_t)|

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○ for all $r \in \mathbb{N}$ and all $G \in C$ we have $\omega_r(G) \leq 2f(r) + 1$.

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- for all $r \in \mathbb{N}$ and all $G \in \mathcal{C}$ we have $\omega_r(G) \le 2f(r) + 1$.
- So C is nowhere dense with function 2f(r) + 1.

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- The expected degree is 2 log(n). So with high probably unbounded average degree (already at depth zero) and therefore not bounded expansion.
- \bigcirc Probability that vertices v_1, \ldots, v_k form a cycle is $(\log(n)/n)^k$.
- \bigcirc Expected number of k-cycles is $n^k \cdot (\log(n)/n)^k = \log(n)^k$.

○ Remove all cycles of length $\leq \log(\log(n))$. The number of them is roughly $\log(n)^{\log(\log(n))}$. This is not too much.

Nowhere Dense vs. Bounded Expansion

- Remove all cycles of length $\leq \log(\log(n))$. The number of them is roughly $\log(n)^{\log(\log(n))}$. This is not too much.
- Only a vanishing fraction of vertices has been removed. The expected degree is still roughly 2 log(n). So with high probably still not bounded expansion.

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- \bigcirc However, every $\log(\log(n))$ -neighborhood is a tree.
- This means every $\log(\log(n))/6$ -shallow minor is triangle-free and therefore $\omega_r(G) \le 2$ for $r \le \log(\log(n))/6$.

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- \bigcirc However, every $\log(\log(n))$ -neighborhood is a tree.
- This means every $\log(\log(n))/6$ -shallow minor is triangle-free and therefore $\omega_r(G) \le 2$ for $r \le \log(\log(n))/6$.
- On the other hand, if $r \ge \log(\log(n))/6$ then $\omega_r(G) \le n \le 2^{2^{6r}}$.
- Thus the graph comes with high probably from a nowhere dense class.

Many Sparse Graph Classes



Figure by Felix Reidl

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 d^{r+2} neighbors in the minor model.


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 d^{r+2} neighbors in the minor model.
- The maximum degree of an depth r-minor is d^{r+2} .



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Let ${\cal C}$ be a minor-free graph class (examples are planar graphs, or bounded treewidth.) Then ${\cal C}$ has bounded expansion.

Proof:

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- Let $G \in C$. Then G does not have K_t as a minor.
- \bigcirc Also every *r*-shallow minor of *G* does not have K_t as a minor.

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- Extra lemma: Every graph that does not contain K_t as a minor has < 2^t edges per vertex.

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- \bigcirc Also every *r*-shallow minor of *G* does not have K_t as a minor.
- Extra lemma: Every graph that does not contain K_t as a minor has < 2^t edges per vertex.
- This means every *r*-shallow minor of *G* has $< 2^t$ edges per vertex. In other words, $\Delta_r(G) \leq 2^t$ for all $r \in \mathbb{N}$.

Lemma 1.16, Chapter 1, MIMUW Sparsity Lecture Notes

For every $t \ge 2$, if a graph does not contain K_t as a minor, then it has $< 2^t$ edges per vertex.

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Proof (induction over graph size and *t*):

○ Let G be a graph with no K_t minor and let $v \in V(G)$.

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- Then G[N(v)] has no K_{t-1} minor.

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- \bigcirc We can pick u of degree $< 2^t$ in G[N(v)].
- \bigcirc Contract u and v.



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- \bigcirc We can pick u of degree $< 2^t$ in G[N(v)].
- Contract u and v. The result has by induction $< 2^t$ edges per vertex.
- Going back to G adds one vertex and $< 2^t$ edges.



Many Sparse Graph Classes



Figure by Felix Reidl

Main Results for Sparse Graphs

Theorem (Dvořák, Král, Thomas 2013)

Let C be a graph class with bounded expansion. There exists a function f such that for every FO formula φ and graph $G \in C$ one can decide whether $G \models \varphi$ in time $f(|\varphi|)n$.

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Theorem (Grohe, Kreuzer, Siebertz 2017)

Let \mathcal{C} be a nowhere dense graph class. There exists a function f such that for every $\varepsilon > 0$, FO formula φ and graph $G \in \mathcal{C}$ one can decide whether $G \models \varphi$ in time $f(\varepsilon, |\varphi|)n^{1+\varepsilon}$.

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General rule: Things that work for bounded expansion also work for nowhere dense, but in an uglier way. This is why we focus on bounded expansion only in this course. We will first prove a weaker result that is a building block in many other algorithms.

Let C be a class with bounded expansion. There exists a function f such that for every *existential* FO formula φ and graph $G \in C$ one can decide whether $G \models \varphi$ in time $f(|\varphi|)n$. We will first prove a weaker result that is a building block in many other algorithms.

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This is more or less equivalent to the following.

Let C be a class with bounded expansion. There exists a function f such that for every pattern graph H and host graph $G \in C$ one can decide whether H is an induced subgraph of G in time f(|H|)n.

Existential Model-Checking

Proof of equivalence:

 \bigcirc Assume we want to know whether $G \models \varphi$ for some existential formula with q quantifiers. For example

 $\varphi = \exists x \exists y \exists z x {\sim} y \wedge y \not\sim z.$

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- Now $G \models \varphi$ iff G contains some graph from \mathcal{H} as induced subgraph.
 - Assume $G \models \varphi$. Then the satisfying assignment describes induced subgraph H of G with $H \models \varphi$.
 - Assume $H \in \mathcal{H}$ is induced subgraph of G. Then $H \models \varphi$. This does not change while adding the remaining vertices of G.

How can we prove these results?

- Gaifman does not help much because neighborhoods can be the whole graph.
- So far, all we know that certain shallow minors are not present.
- If we have a better understanding of the structure of sparse graphs, this will help us.

There are many alternative definitions of bounded expansion and nowhere dense classes.

- shallow minors
- generalized coloring numbers
- low treedepth colorings
- transitive fraternal augmentations
- quasi-wideness
- connector-splitter games

Which one is best depends on the task.

To prove the result, we will use the powerful notion of *low treedepth colorings*.

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As a warmup, we solve the problem on planar graphs and then generalize the approach to bounded expansion.

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The resulting graph has treewidth at most 3p + 1.



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Run time $p \cdot f(3p+1,|H|) \cdot n$.



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We can get something similar for bounded expansion.

 For every p one can color the graph with f(p) colors such that every set of p colors induces a graph with treedepth at most p.

Treedepth

Definition

The *treedepth* of a graph G is the minimum height of a rooted forest F such that all edges of G go between ancestors and descendants in F.



This means graph classes with bounded treewidth are more general than those with bounded treedepth.

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A treedepth of a path with n vertices is exactly $\lceil \log(n+1) \rceil$.



Nešetřil, Ossona de Mendez

A graph class C has bounded expansion iff there exists a function f such that for every $G \in C$ and $p \in \mathbb{N}$ one can color Gwith f(p) colors and every set of p colors induces a graph with treedepth $\leq p$.

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What is f(2) for this graph?



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Color it with p + 1 colors slicewise.

We can now use low-treedepth colorings to prove fpt existential model-checking.

Let C be a class with bounded expansion, having low treedepth colorings with function f(p). We want to know in time $h(|H|) \cdot n$ whether a graph $G \in C$ has H as induced subgraph.

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- For p = |H| compute low treedepth coloring of *G* with f(p) colors.
- \bigcirc *H* occurs in *G* iff there exists a set of |H| colors such that *H* occurs in graph obtained by inducing *G* on these colors.

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- \bigcirc Total run time $\binom{f(|H|)}{|H|}g(|H|) \cdot n$.
- Plus time needed to compute coloring!


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Nowhere dense classes can also be characterized via low treedepth colorings.

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If a graph class C is nowhere dense then there exists a function f such that for every $G \in C$, every $\varepsilon > 0$, and $p \in \mathbb{N}$ one can color G with $f(\varepsilon, p)|n|^{\varepsilon}$ colors and every set of p colors induces a graph with treedepth $\leq p$.

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p-treedepth colorings with $f(p) \mbox{ colors}$ \checkmark

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Do something very expensive in time $2^{2^{f(\varepsilon/p,p)n^{\varepsilon}}}$ $\geq 2^{2^{\log(n)}} = 2^n$