

Algorithmic Meta-Theorems

192.122

WS21/22

Jan Dreier

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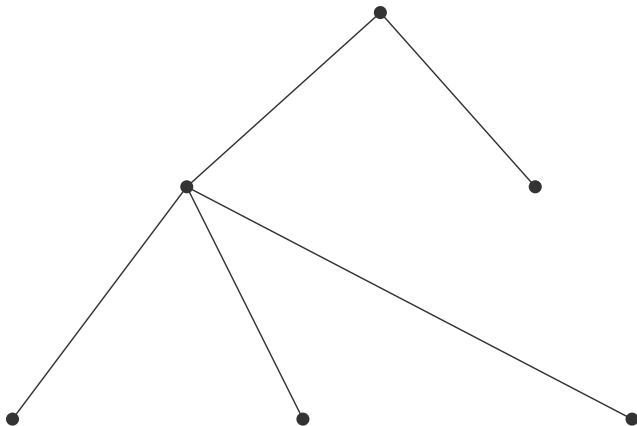
- Next week on 26.10. no lecture (national holiday)
- There will be two exercise sessions
 - every other Friday, 9:15 online,
 - every other Friday, 11:00 in person.

Choose yourself which one to attend.

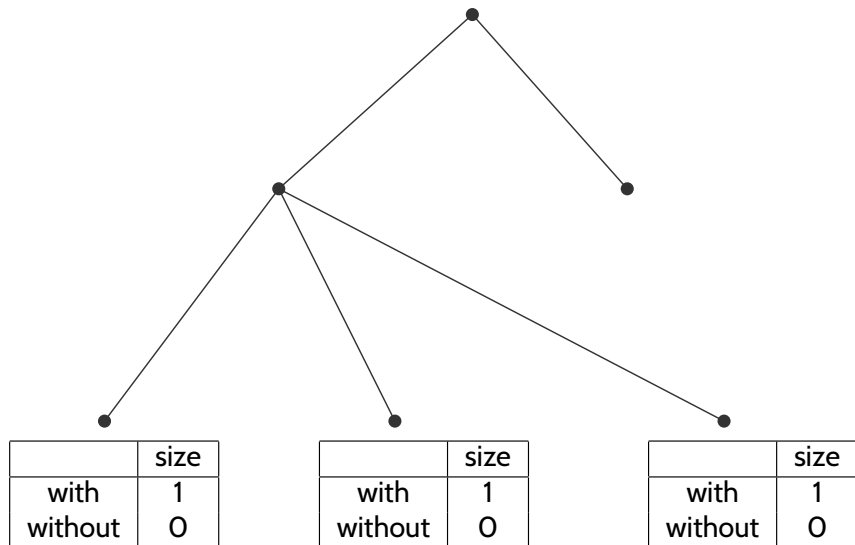
First session will be on 29.10.

Last lecture, we solved problems on trees. Today, we introduce treewidth and learn how to solve problems on graphs with small treewidth.

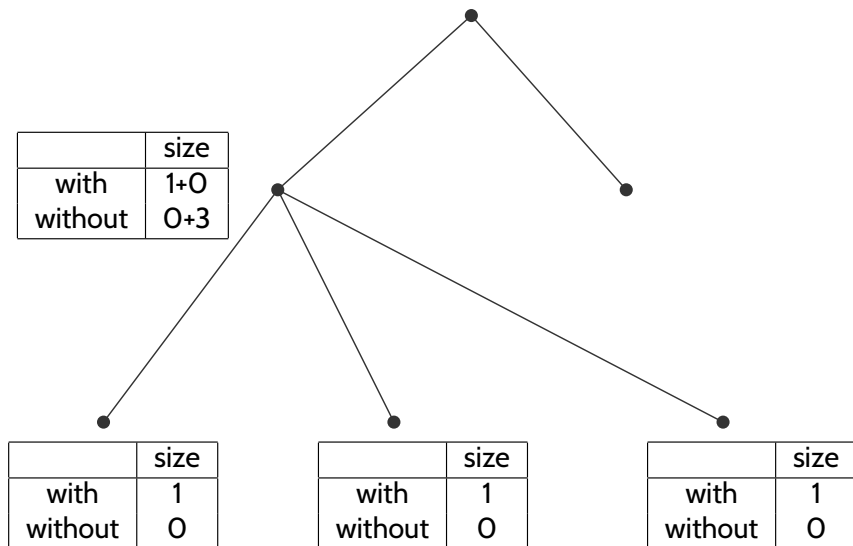
Independent Set on Trees



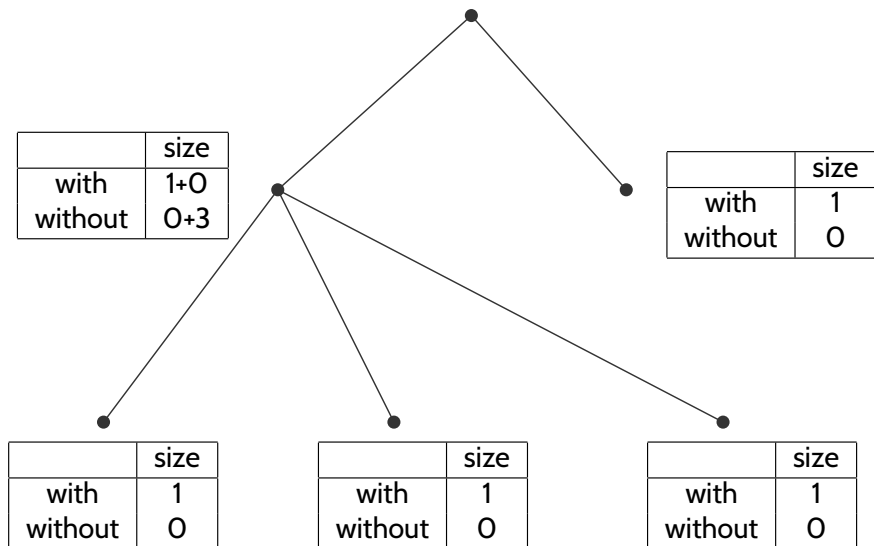
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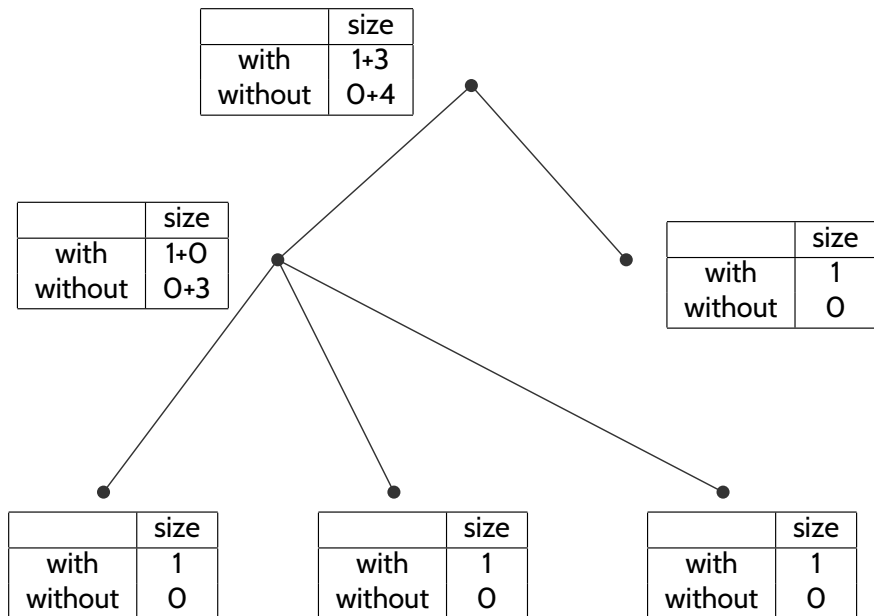
Independent Set on Trees



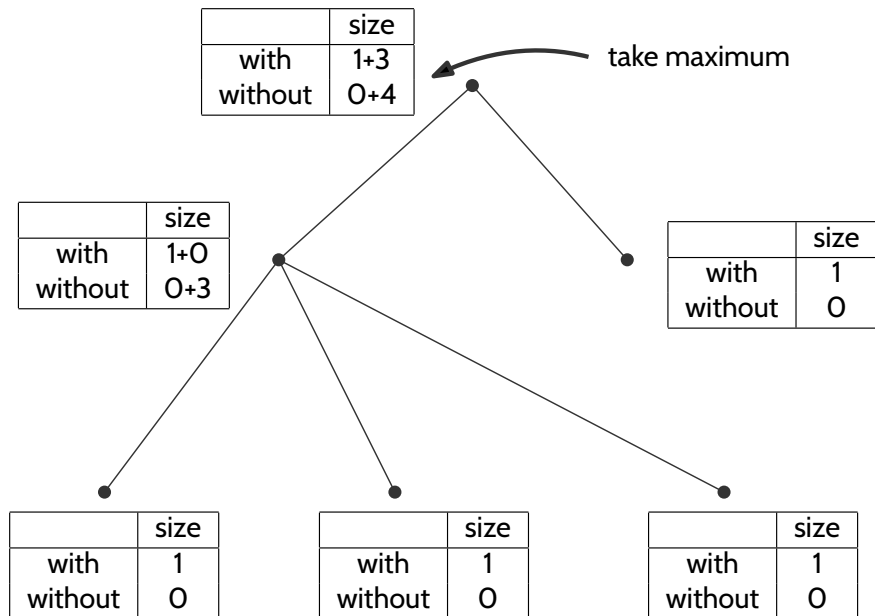
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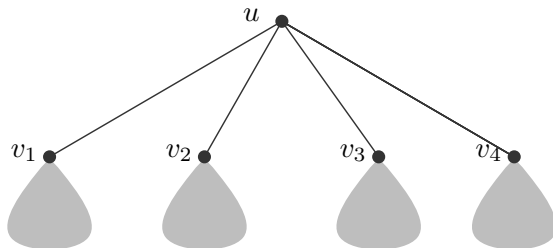
Independent Set on Trees

- T_u : subtree at vertex u
- $M_u(1)$: size of maximal IS in T_u that includes u
- $M_u(0)$: size of maximal IS in T_u that excludes u

Recursively compute for a vertex u with children v_1, \dots, v_k

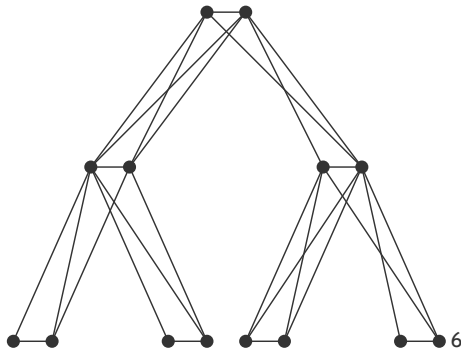
- $M_u(1) = 1 + \sum_i M_{v_i}(0)$
- $M_u(0) = \sum_i \max(M_{v_i}(0), M_{v_i}(1))$

T_u



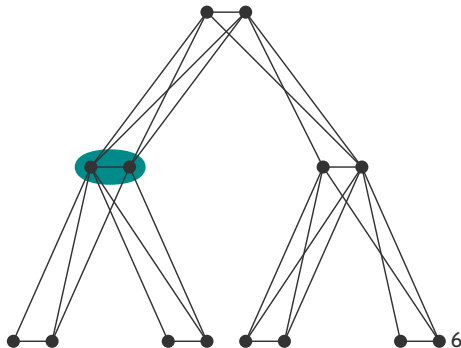
Tree-Like Graphs

- The algorithm works because every vertex is a separator.
- Can we generalize this idea to tree-like graphs?



Tree-Like Graphs

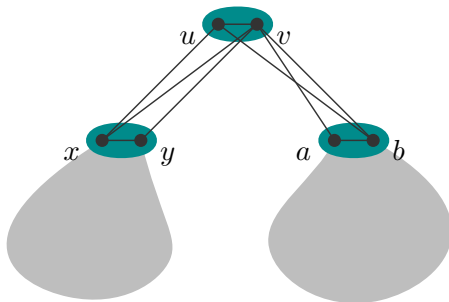
- The algorithm works because every vertex is a separator.
- Can we generalize this idea to tree-like graphs?
- Idea: Group vertices into separator-bags



Independent Set on Tree-Like Graphs

below xy

| xy | size |
|------|-----------|
| 00 | 5 |
| 01 | 6 |
| 10 | 3 |
| 11 | $-\infty$ |



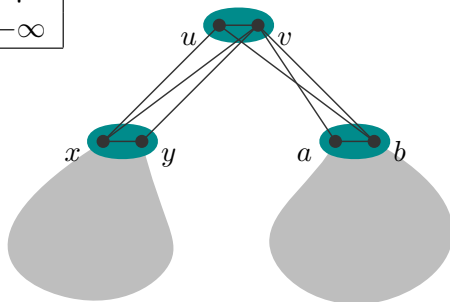
Independent Set on Tree-Like Graphs

left below uv

| uv | size |
|------|-----------|
| 00 | 6 |
| 01 | 6 |
| 10 | 7 |
| 11 | $-\infty$ |

below xy

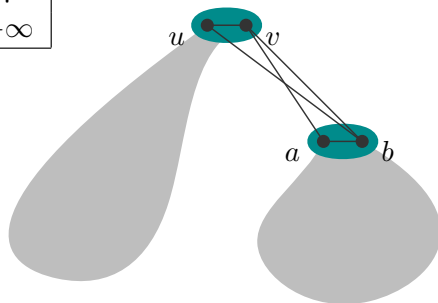
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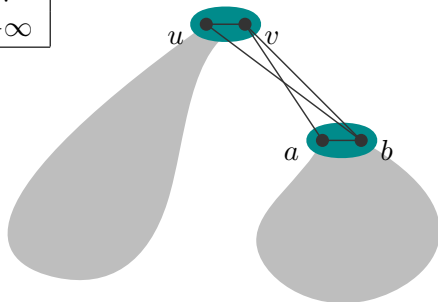
| uv | size |
|------|-----------|
| 00 | 6 |
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Independent Set on Tree-Like Graphs

left below uv

| uv | size |
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| 00 | 6 |
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below ab

| ab | size |
|------|-----------|
| 00 | 2 |
| 01 | 3 |
| 10 | 8 |
| 11 | $-\infty$ |

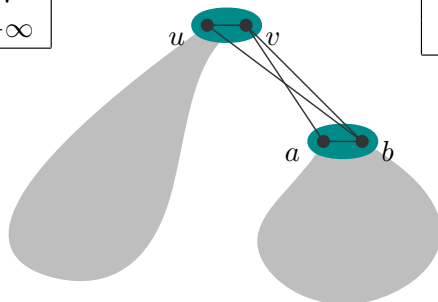
Independent Set on Tree-Like Graphs

left below uv

| uv | size |
|------|-----------|
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| 11 | $-\infty$ |

right below uv

| uv | size |
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below ab

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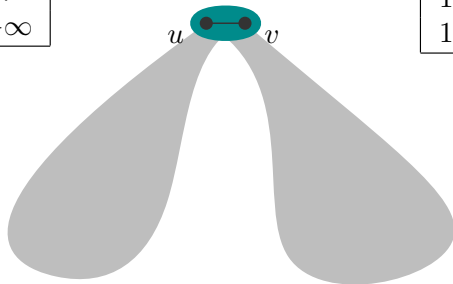
Independent Set on Tree-Like Graphs

left below uv

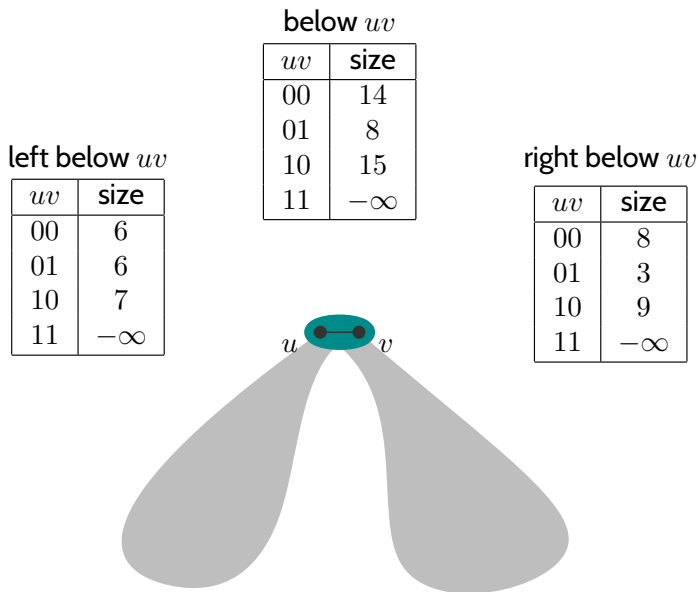
| uv | size |
|------|-----------|
| 00 | 6 |
| 01 | 6 |
| 10 | 7 |
| 11 | $-\infty$ |

right below uv

| uv | size |
|------|-----------|
| 00 | 8 |
| 01 | 3 |
| 10 | 9 |
| 11 | $-\infty$ |



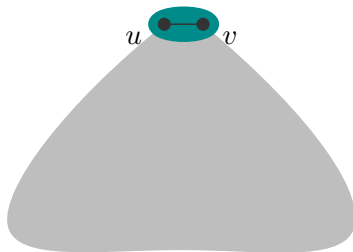
Independent Set on Tree-Like Graphs



Independent Set on Tree-Like Graphs

below uv

| uv | size |
|------|-----------|
| 00 | 14 |
| 01 | 8 |
| 10 | 15 |
| 11 | $-\infty$ |



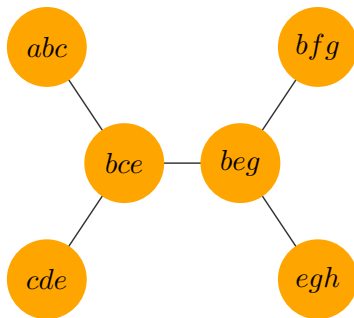
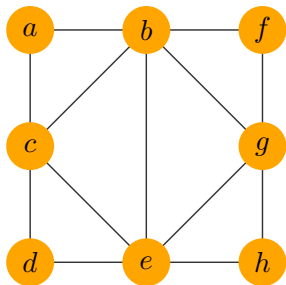
Why does this approach work? Because we have a “tree of small separators” that we can traverse upwards.

The notion of *treewidth* formalizes this.

Treewidth

A *tree decomposition* of a graph $G = (V, E)$ is a tree whose vertices are *bags* (subsets of V) and

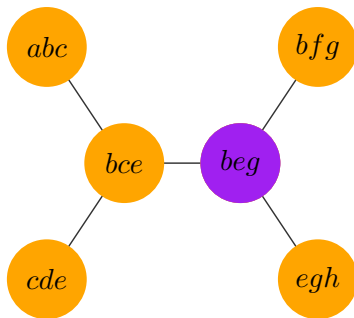
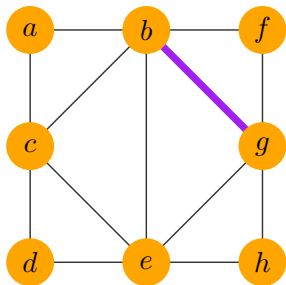
- every vertex $v \in V$ is contained in some bag,
- every edge $uv \in E$ is contained in some bag,
- for every $v \in V$, the bags containing v are a connected subtree



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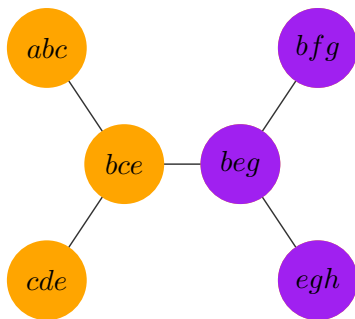
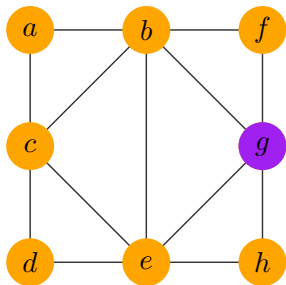
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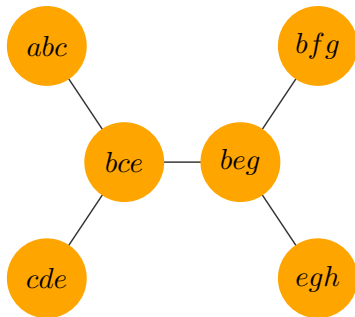
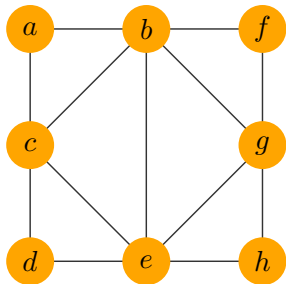
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Width of decomposition: maximum bag size $- 1$

Treewidth of G ($\text{tw}(G)$): minimum width of a decomposition of G



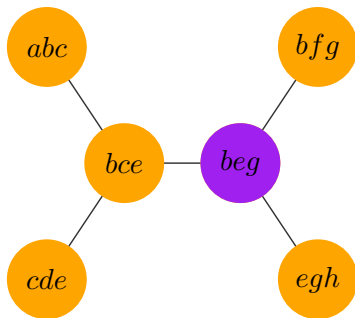
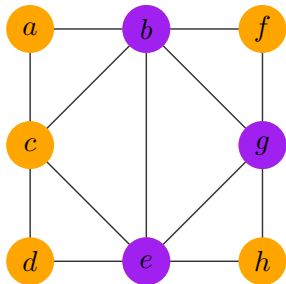
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Trees and Treewidth

Trees have treewidth 1.

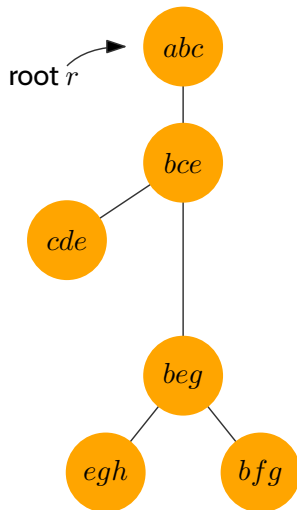
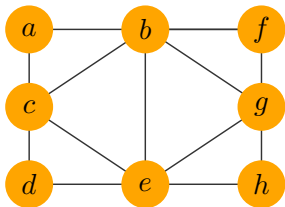
Theorem (Independent Set on Treewidth)

Given a graph G and a tree decomposition of G of width w , one can compute the size of a maximum independent set in time $2^w w^{O(1)} n$.

This generalizes the previous result (trees have treewidth 1).

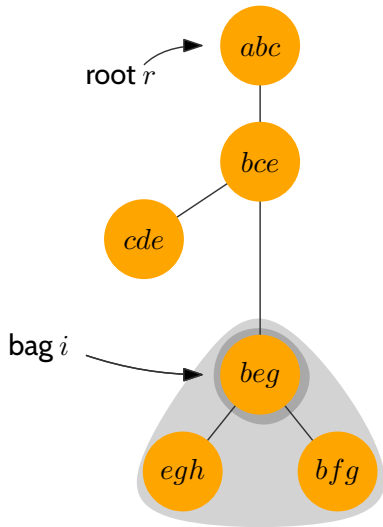
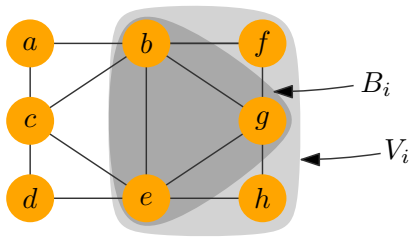
Rooting the Decomposition

- Choose an arbitrary bag r as root and orient the tree accordingly.



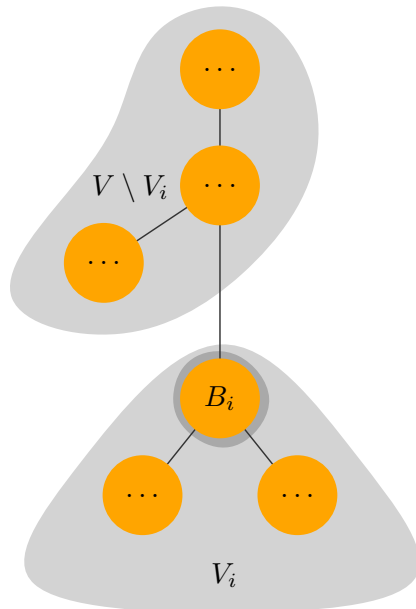
Rooting the Decomposition

- Choose an arbitrary bag r as root and orient the tree accordingly.
- For bag i let B_i be the vertices of G contained in i and V_i be the vertices contained in i or a successor of i .



Separators

We will compute solutions
in V_i w.r.t “boundary” B_i .



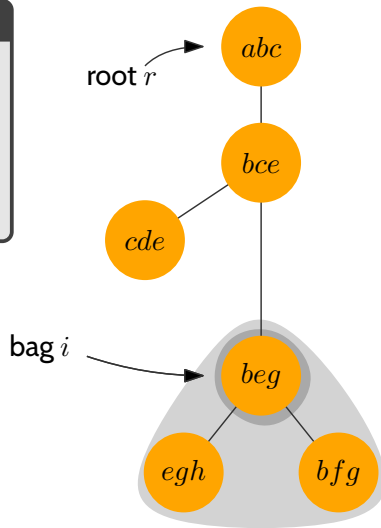
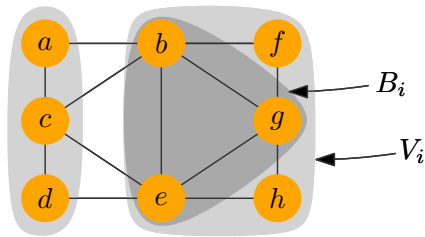
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Theorem

For every bag i , B_i is a separator between V_i and $V \setminus V_i$.

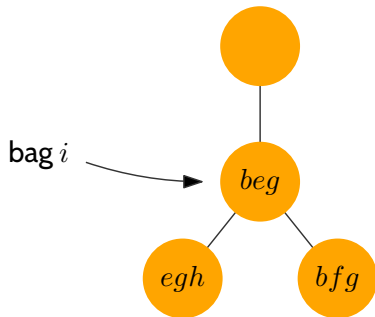
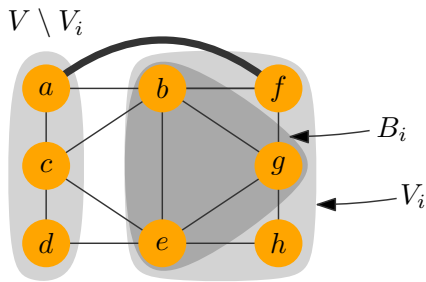
(i.e., every path between V_i and $V \setminus V_i$ goes through B_i).

$V \setminus V_i$



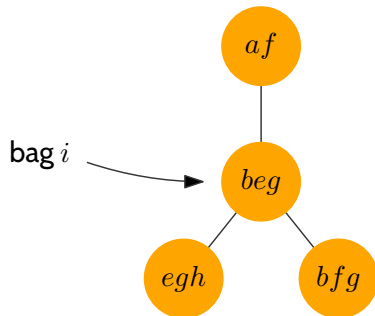
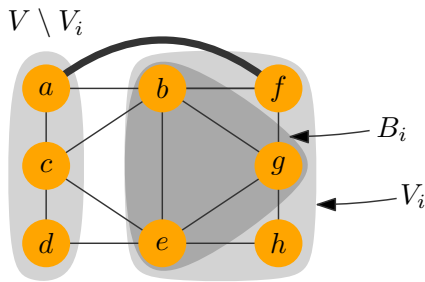
Separators / Proof

- Assume for contradiction the statement is false and there is an edge such as drawn below



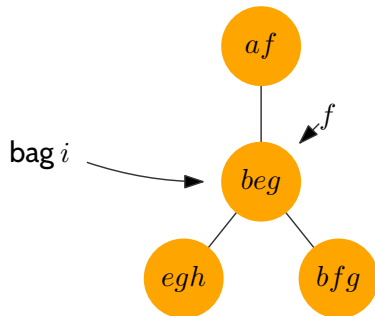
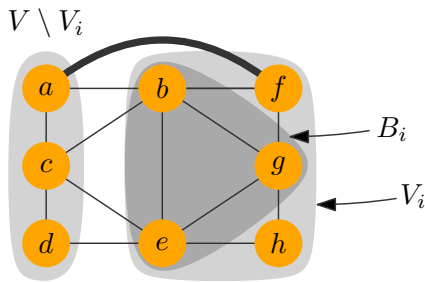
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- Then a and f occur together in some bag (maybe above i).



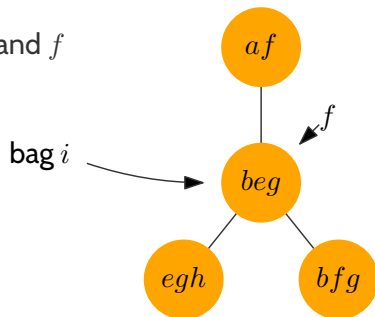
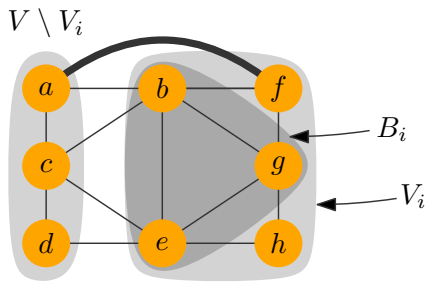
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- The bags containing f induce a subtree, thus bag i also contains f . A contradiction.



Separators / Proof

- Assume for contradiction the statement is false and there is an edge such as drawn below
- Then a and f occur together in some bag (maybe above i).
- The bags containing f induce a subtree, thus bag i also contains f . A contradiction.
- We get a similar contradiction if a and f occur together somewhere else.



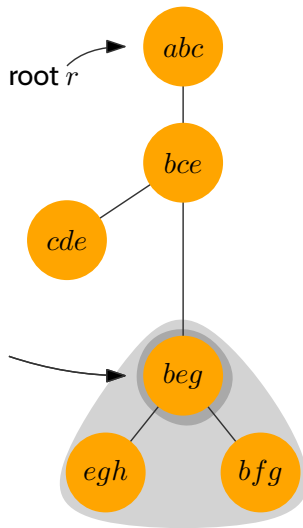
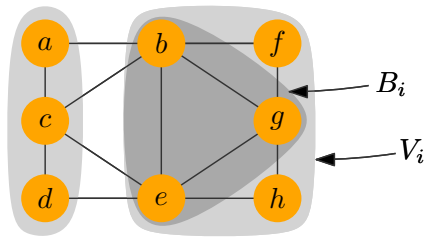
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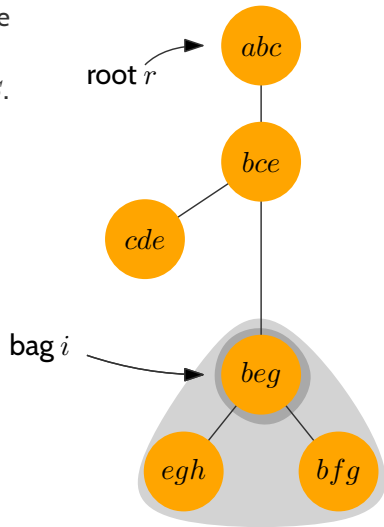
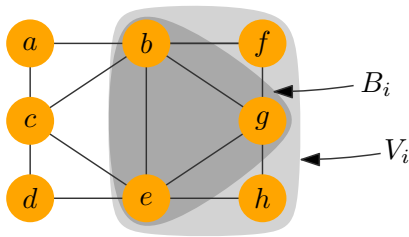
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$V \setminus V_i$



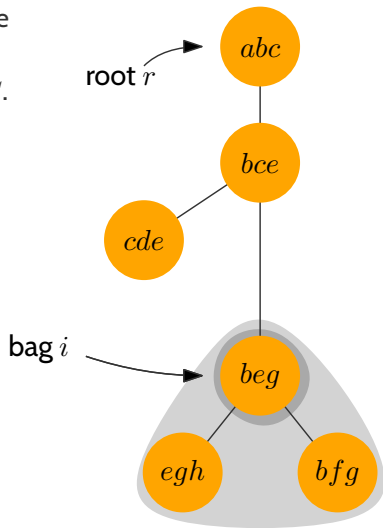
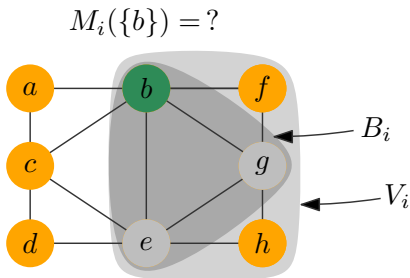
Dynamic Programming

- For every set $S \subseteq B_i$, let $M_i(S)$ be the maximum size of an independent set in $G[V_i]$ that intersects B_i exactly in S .



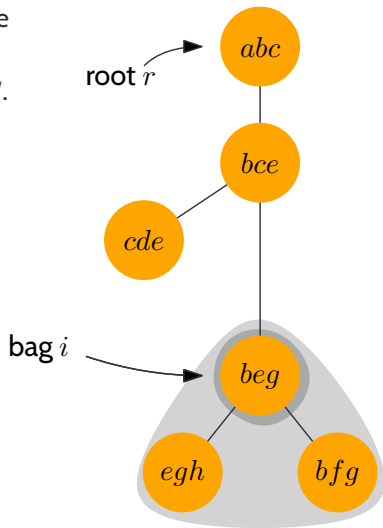
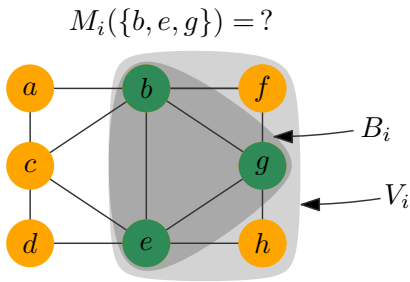
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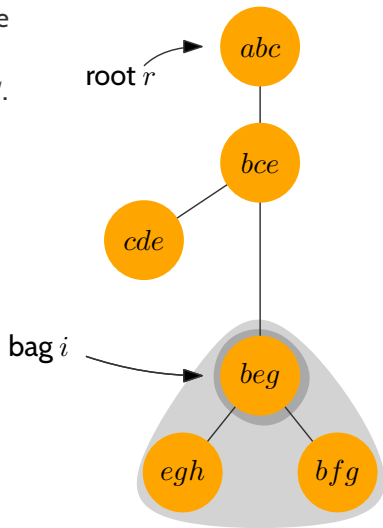
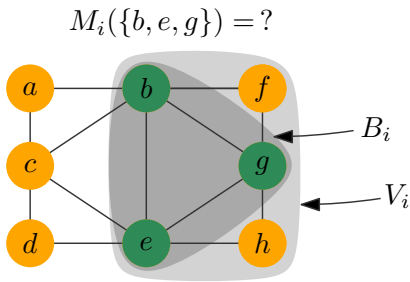
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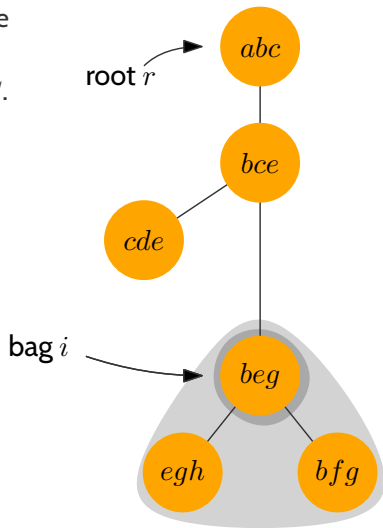
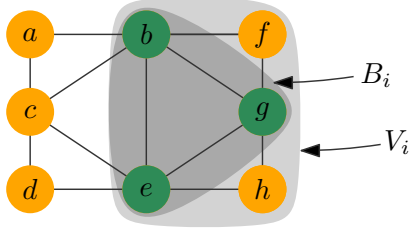
- For every set $S \subseteq B_i$, let $M_i(S)$ be the maximum size of an independent set in $G[V_i]$ that intersects B_i exactly in S .
- The *table entries* of a bag i are the values $M_i(S)$ for all $S \subseteq B_i$.



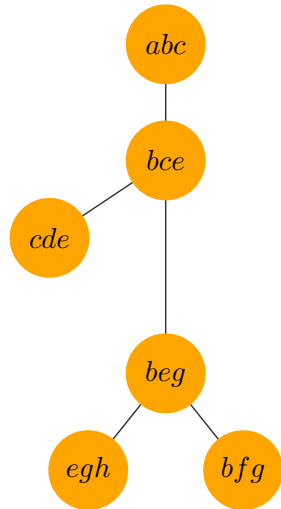
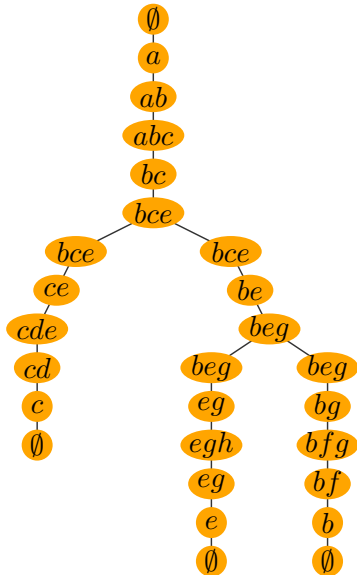
Dynamic Programming

- For every set $S \subseteq B_i$, let $M_i(S)$ be the maximum size of an independent set in $G[V_i]$ that intersects B_i exactly in S .
- The *table entries* of a bag i are the values $M_i(S)$ for all $S \subseteq B_i$.
- We will compute all table entries inductively, starting at the leaves.

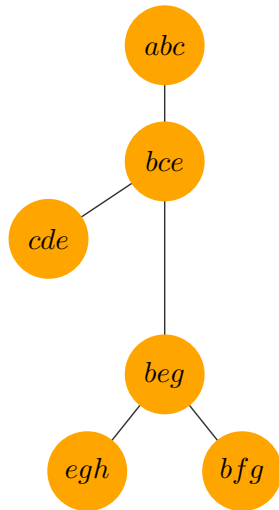
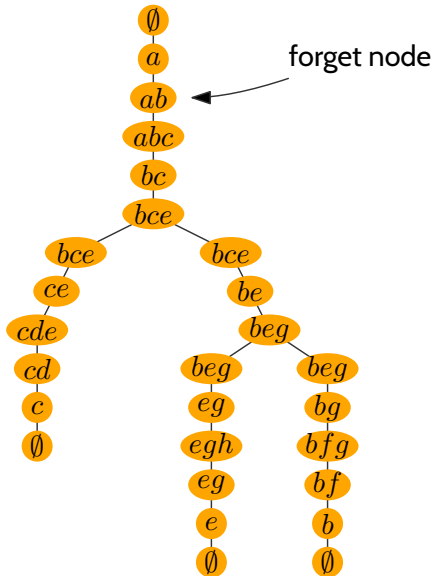
$$M_i(\{b, e, g\}) = ?$$



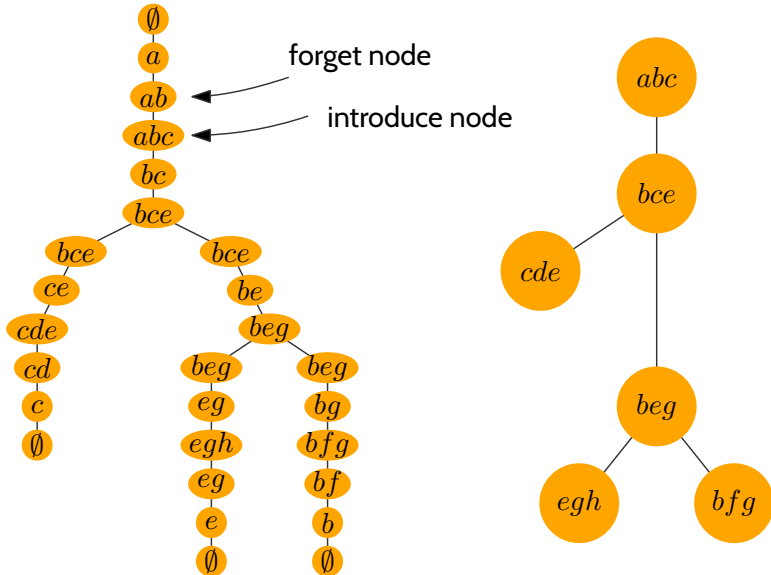
Which One is Nicer?



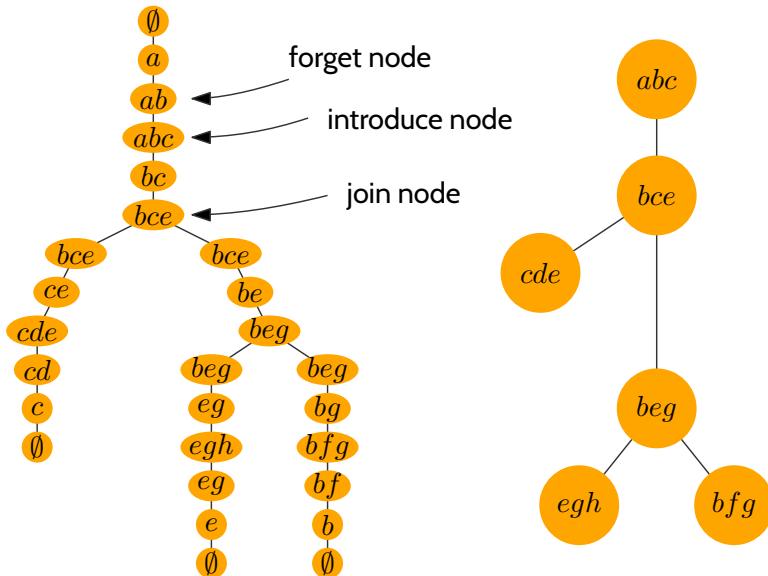
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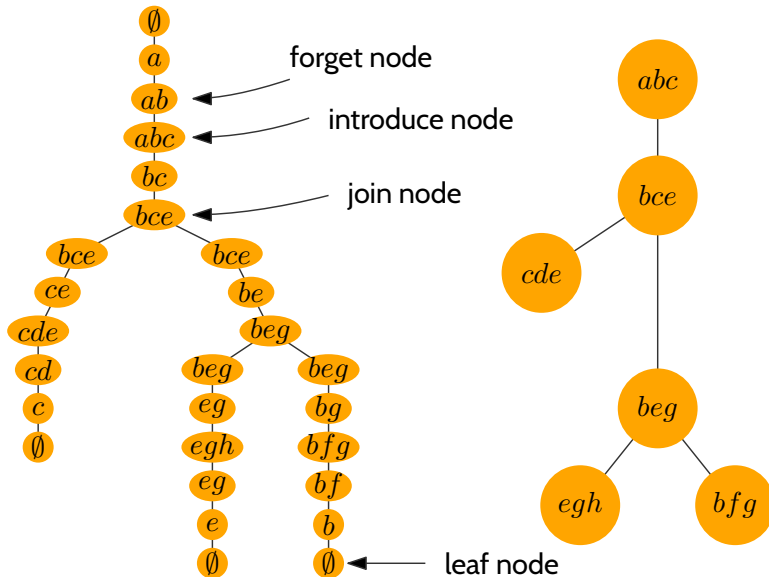
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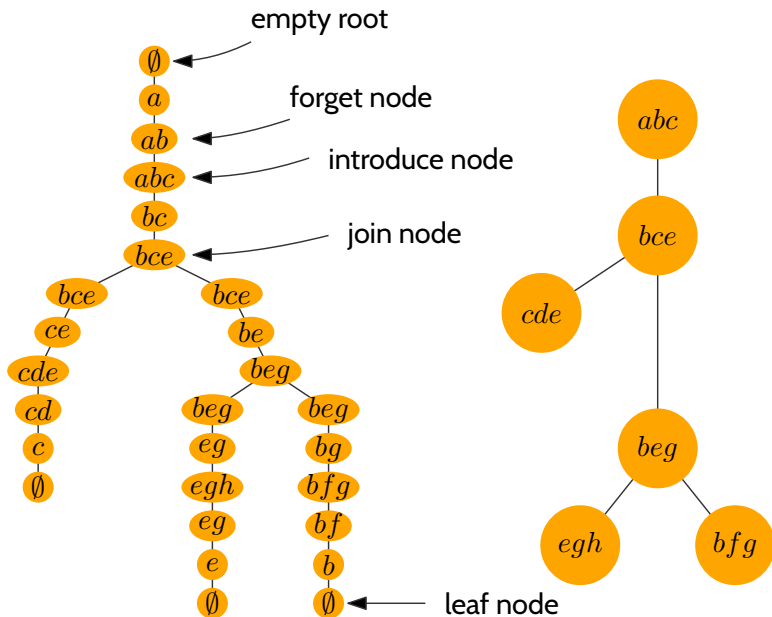
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Which One is Nicer?



Nice Tree Decompositions

Nice tree decompositions consist of

- *Leaf nodes*: have no children and are empty
- *Introduce nodes*: have one child and contain exactly one vertex more than it
- *Forget nodes*: have one child and contain exactly one vertex less than it
- *Join nodes*: have exactly two identical children

There is an algorithm that will convert a tree decomposition of width w in time $O(nw^2)$ into a nice tree decomposition of width w with $O(nw)$ bags.

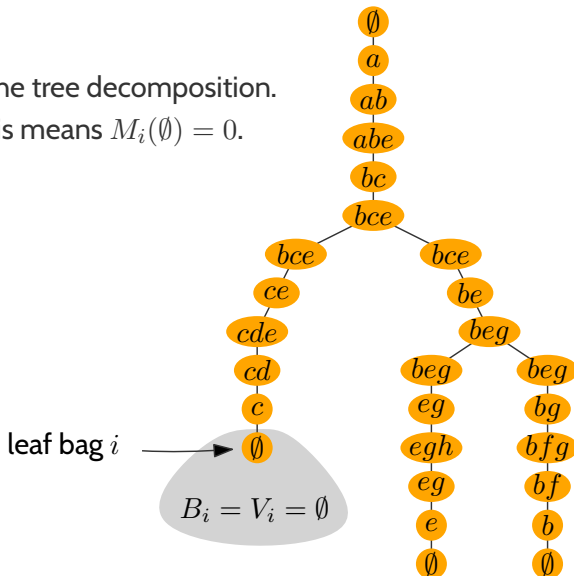
Computing Independent Set

- $M_i(S)$ is the maximum size of an independent set in $G[V_i]$ that intersects the bag vertices B_i exactly in S .
- The *table entries* of a bag i are the values $M_i(S)$ for all $S \subseteq B_i$. For every bag, there are $2^{|B_i|} \leq 2^w$ entries.
- We express the table entries of a bag in terms of the entries of its children.

Recurrence Relation

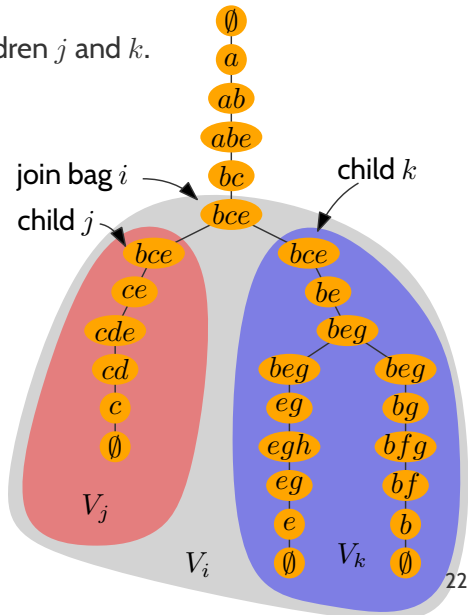
Let i be a leaf bag in the tree decomposition.

Then $B_i = V_i = \emptyset$. This means $M_i(\emptyset) = 0$.



Recurrence Relation

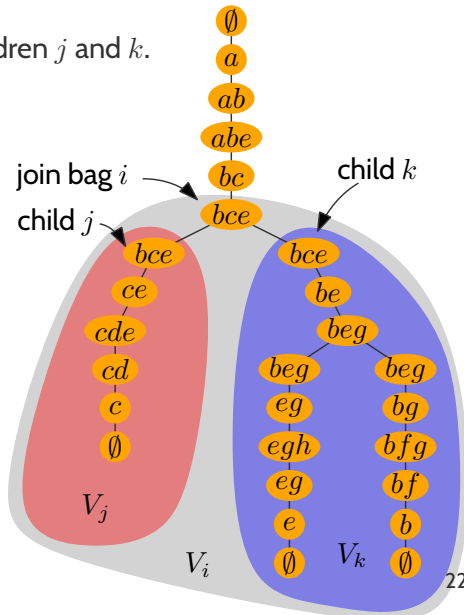
Assume i is a join node with children j and k .



Recurrence Relation

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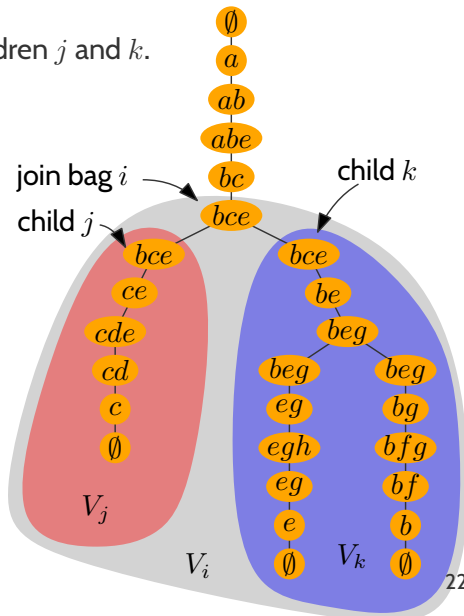
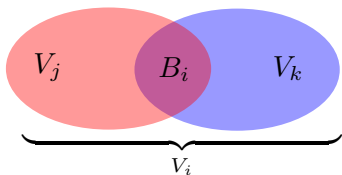
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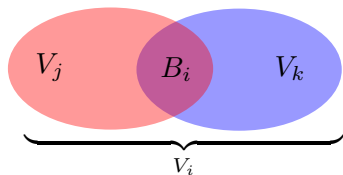
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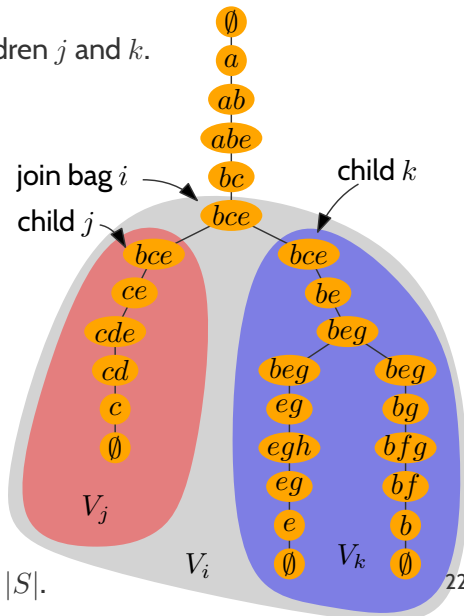
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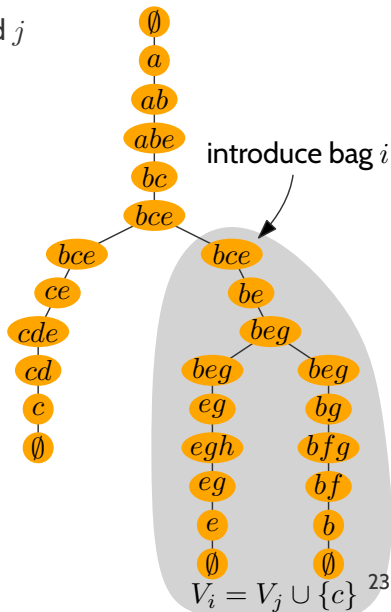


- Therefore
- $$M_i(S) = M_j(S) + M_k(S) - |S|.$$



Recurrence Relation

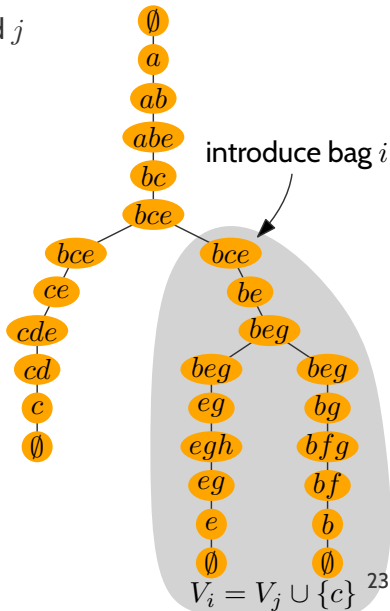
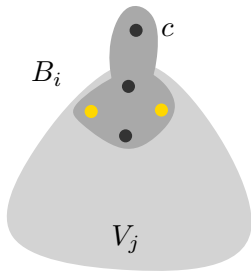
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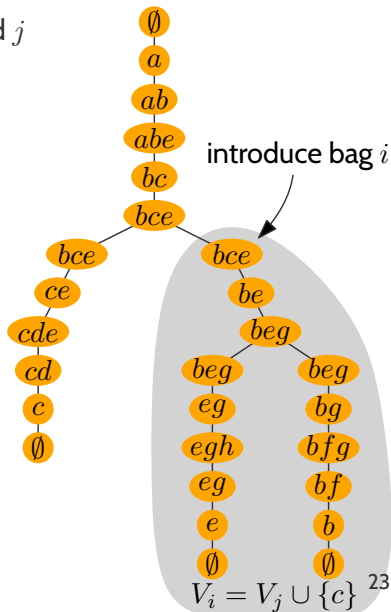
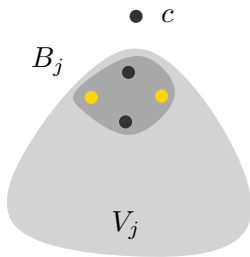
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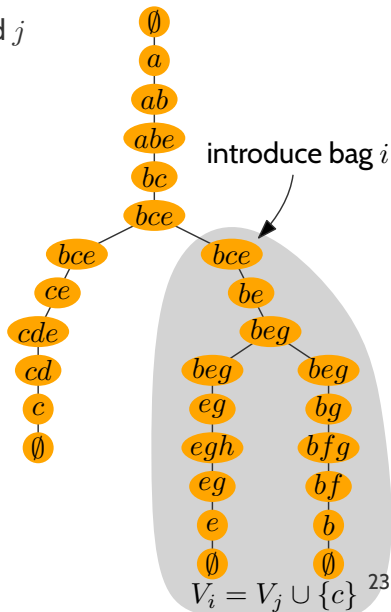
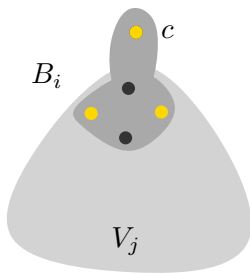


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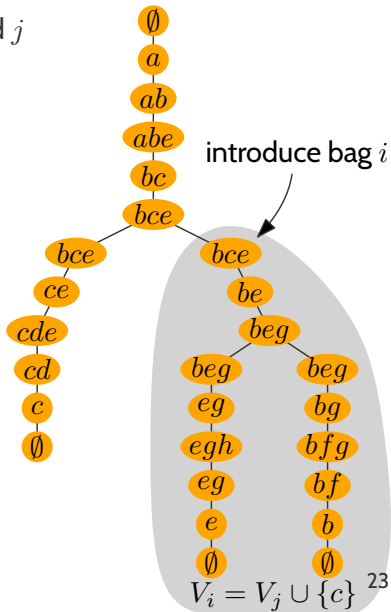
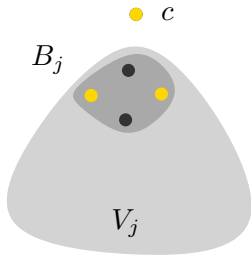


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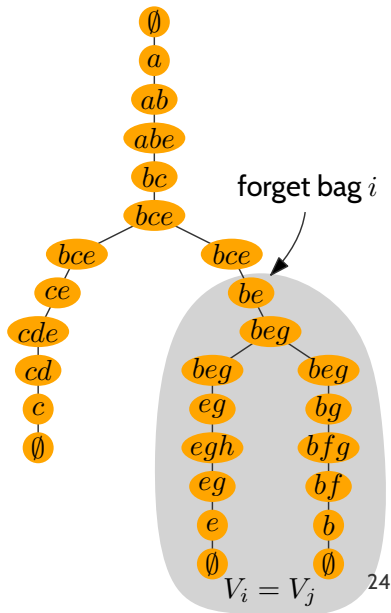
$$M_i(S) =$$

$$\begin{cases} M_j(S) & \text{if } c \notin S, \\ M_j(S \setminus \{c\}) + 1 & \text{if } c \in S \text{ and } S \text{ is IS,} \\ 0 & \text{otherwise.} \end{cases}$$



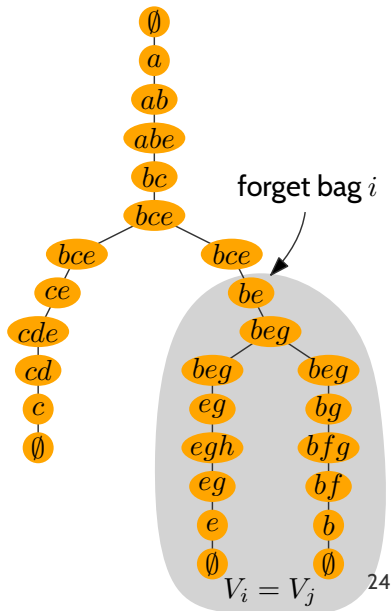
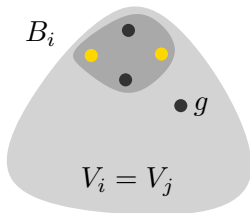
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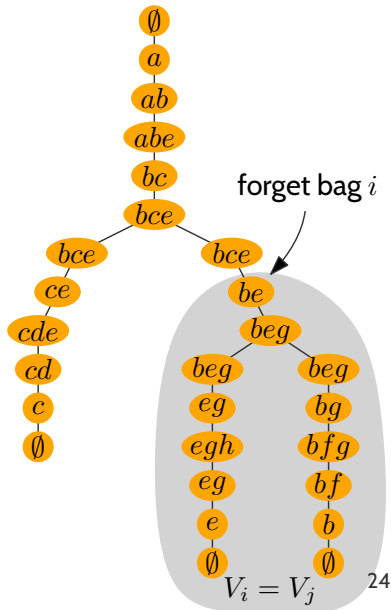
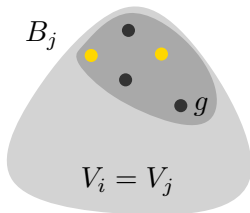
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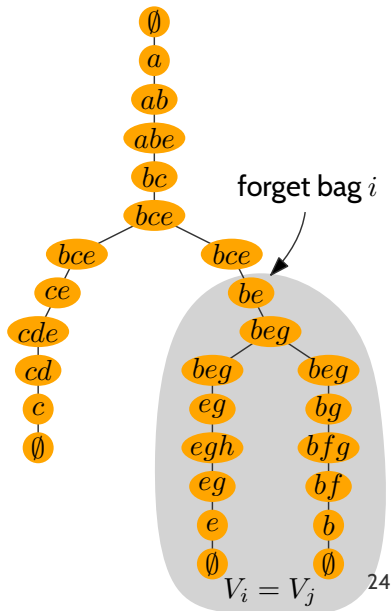
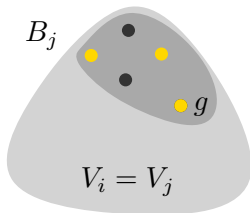
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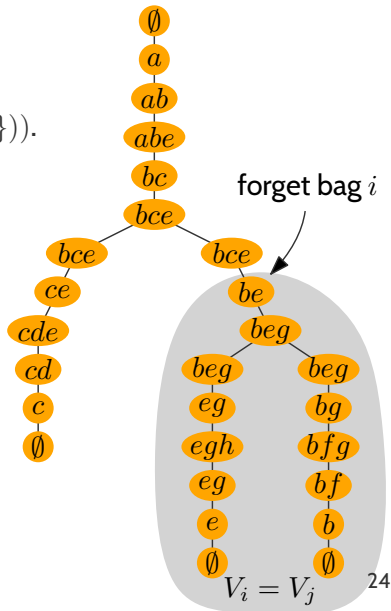
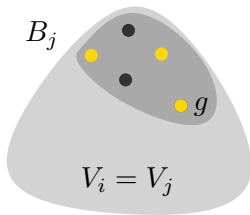
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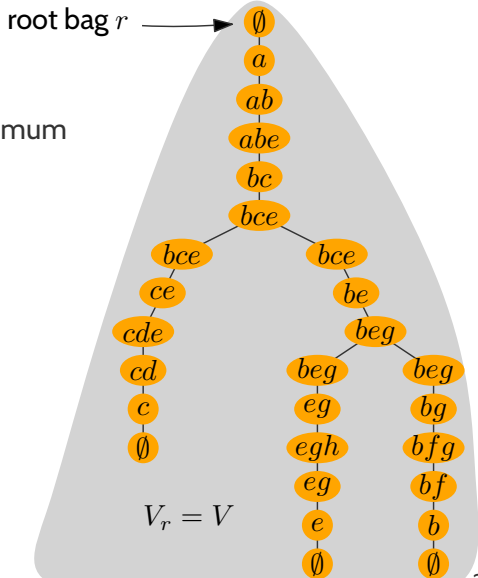
Then $M_i(S) = \max(M_j(S), M_j(S \cup \{g\}))$.



Obtaining the Answer

The answer is in the root bag r .

$M_r(\emptyset)$ equals the size of a maximum independent set in $G[V_r] = G$.



Theorem (Independent Set on Treewidth)

Given a graph G and a tree decomposition of G of width w , one can compute (the size of) a maximum independent set in time $2^w w^{O(1)} n$.

Theorem (Korhonen 2021)

There is an algorithm that, given an n -vertex graph G and an integer w , runs in time $2^{O(w)}n$ and computes a tree decomposition of G of width at most $2w + 1$ or concludes that the treewidth of G exceeds w .

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- The same dynamic programming technique leads to algorithms for many other problems.
- \Rightarrow Graphs with small treewidth are “algorithmically tractable”.

Not Everything is Easy on Bounded Treewidth

Nishizeki, Vygen, Zhou 2001

Finding edge-disjoint paths between source-sink pairs is hard on graphs with treewidth two.

- There are many more problems one can solve on graphs with small treewidth.
 - coloring
 - independent set
 - clique
 - dominating set
 - feedback vertex set
 - hamilton path
 - ...
- We don't want to write down a separate dynamic programming algorithm for each of them. Instead, we present a meta-algorithm that solves all of them.
- To do so, we first need some background in logic.

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If we want to use tools from logic (and don't want to embarrass us in front of logicians) we will have to learn some of their language and formalism.

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Logic works on *structures*. We can easily see graphs as structures.

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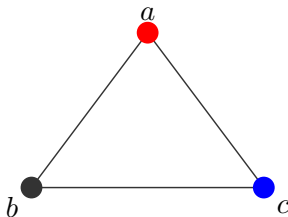
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 - the universe are the vertices
 - \sim denotes the binary adjacency relation between vertices
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- It is sometimes convenient to use other signatures
 - $\tau = \{\sim\}$ for directed graphs
 - $\tau = \{\sim, U, V\}$ for directed bipartite graphs
 - ...

Example

This graph is a structure G with

- universe $V = \{a, b, c\}$
- symmetrical binary relation
 $\sim := \{(a, b), (b, a), (b, c), (c, b), (a, c), (c, a)\}$
- unary relations $c_1 := \{a\}, c_2 := \{c\}$



Monadic Second-Order Logic (Syntax)

For a given signature τ , monadic second-order logic has ...

- element-variables (x, y, z, \dots) and set-variables (X, Y, Z, \dots)
- relations = (equality) and $x \in X$ (membership), as well as the relations from τ .
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Instead of prefix notation $(\sim(x, y))$ we use infix notation $(x \sim y)$ when convenient and add parentheses when it avoids confusion.

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What do these formulas mean?

$$\neg \exists r \exists x \exists g (\text{red}(r) \wedge \text{green}(g) \wedge r \sim x \wedge x \sim g)$$

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Some Observations

You do not need disjunctions (\vee).

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You can assume that all quantifier are in the beginning (Prenex normal form).

$$\varphi \wedge \exists x \psi \equiv \exists x \varphi \wedge \psi$$

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- We say a graph property/problem is *expressible* in a logic if there exists a sentence φ such that for every graph G holds $G \models \varphi$ iff G is a yes-instance.

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$$\forall X \forall Y \left((\forall z z \in X \vee z \in Y) \rightarrow (\exists x \exists y (x \in X \wedge y \in Y \wedge x \sim y)) \right)$$

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$$\varphi \equiv \exists R \exists G \exists B$$

$$\left(\forall x (x \in R \vee x \in G \vee x \in B) \right)$$

$$\wedge \left(\forall x \neg (x \in R \wedge x \in G) \wedge \neg (x \in G \wedge x \in B) \wedge \neg (x \in R \wedge x \in B) \right)$$

$$\wedge \left(\forall x \forall y \left((x \in R \wedge y \in R) \vee (x \in G \wedge y \in G) \vee (x \in B \wedge y \in B) \right) \rightarrow \neg x \sim y \right)$$