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Stable Matching with Uncertain Pairwise Preferences

Haris Aziz, Péter Biró, Tamás Fleiner, Serge Gaspers, Ronald de Haan, Nicholas Mattei, and Baharak Rastegari

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Haris Aziz
Data61, CSIRO and UNSW
Sydney, Australia
haris.aziz@data61.csiro.au

Péter Biró
Hungarian Academy of Sciences, Budapest, Hungary
biro.peter@krkt.mta.hu

Serge Gaspers
Data61, CSIRO and UNSW
Sydney, Australia
sergeg@cse.unsw.edu.au

Ronald de Haan
Technische Universität Wien
Vienna, Austria
dehaan@ac.fuwi.ac.at

Tamás Fleiner
Loránd Eötvös University
Budapest, Hungary
fleiner@cs.ele.hu

Baharak Rastegari
University of Glasgow
Glasgow, UK
baharakr@gmail.com

Serge Gaspers
Data61, CSIRO and UNSW
Sydney, Australia
sergeg@cse.unsw.edu.au

Nicholas Mattei
IBM Research
New York, USA
n.mattei@ibm.com

Baharak Rastegari
University of Glasgow
Glasgow, UK
baharakr@gmail.com

ABSTRACT
We study a two-sided matching problem where the agents have independent pairwise preferences on their possible partners and these preferences may be uncertain. In this case, the certainly preferred part of an agent’s preferences may admit a cycle and there may not even exist a matching that is stable with non-zero probability. We focus on the computational problems of checking the existence of possibly and certainly stable matchings, i.e., matchings whose probability of being stable is positive or one, respectively. We show that finding a possibly stable matching is NP-hard, even if only one side can have cyclic preferences. On the other hand we show that the problem of finding a certainly stable matching is polynomial-time solvable if only one side can have cyclic preferences and the other side has transitive preferences, but that this problem becomes NP-hard when both sides can have cyclic preferences. The latter complexity result also implies the hardness of finding a kernel in a special class of directed graphs.

CCS Concepts
• Theory of computation → Design and analysis of algorithms; • Computing methodologies → Multi-agent systems; • Applied computing → Economics;

Keywords
Matching under preferences; stable matchings; pairwise comparisons; uncertain preferences

1. INTRODUCTION
We consider a Stable Marriage problem (SM) in which there are two disjoint sets of agents, a set of men and a set of women, and each agent is able to compare any two given agents from the other side of the market. The goal is to compute a stable matching \( \mu \), i.e., a matching where there is no pair of a man and a woman that prefer to be matched to each other rather than matched to their partners in \( \mu \). The standard literature on stable matching problems [10, 12, 15] usually assumes that the preferences are linear orders and that agents are fully aware of their preferences. We relax both of these assumptions. We assume that men and women may have uncertainty in their preferences, and that the underlying preferences need not be linear orders. Uncertainty in preferences could arise for a number of reasons both practical and epistemological. For example, an agent may have not invested enough time or effort to come up with a linear order or differentiate any two potential partners.

In this paper, we focus on the pairwise probability model where each agent only expresses a probability of preferring one agent over another for all possible pairs. More formally, each agent \( a \) is endowed with independent pairwise probabilities for any given two partners \( b \) and \( c \). If \( a \) prefers \( b \) to \( c \) with probability \( p \) then \( s/he \) prefers \( c \) to \( b \) with probability \( 1 - p \). We suppose that each agent has complete pairwise comparisons, and therefore we only consider complete matchings as solutions (where complete means that all agents are matched). Uncertain pairwise preferences are a general model of preferences that have been well-studied in the context of voting. Pairwise uncertain preferences are also referred to as fuzzy preferences (see e.g., [3]). Since, the preferences are probabilistic, we will especially be interested in matching that are stable with probability that is one or at least nonzero. We will refer to stability probability as the probability that a matching is stable.

Many of our results depend on the structure of the certainly preferred relation that can be derived from the pairwise preferences. We define the certainly preferred relation \( \succ^\text{cert}_a \) for each agent \( a \) and write \( b \succ^\text{cert}_a c \) if and only if agent \( a \) prefers \( b \) over \( c \) with probability 1. We assume that the certainly preferred relation is not necessarily transitive. That is, it is possible that \( a \) prefers \( b \) to \( c \) and \( c \) to \( d \), both with probability 1, but prefers \( d \) to \( b \) with some nonzero proba-


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bility. Moreover, the certainly preferred relation is also not necessarily acyclic. In the previous example, if a prefers d to b with probability 1, then the certainly preferred relation is cyclic. In such a case it may happen that any matching will be certainly blocked.

Example 1. We have three men m₁, m₂ and m₃ and three women w₁, w₂ and w₃. For every two agents on one side of the market, each agent of the other side assigns a probability to preferring one over another, as depicted in Table 1.

This setting admits six complete matchings listed in Table 2 along with their stability probabilities. We can extract the certainly preferred relation from the preferences as follows:

\[
\begin{align*}
m₁ & : \emptyset; \\
m₂ & : w₂ >_{m₂} w₁, w₁ >_{m₁} w₃; \\
m₃ & : w₂ >_{m₃} w₁, w₁ >_{m₁} w₃; \\
w₁ & : \{m₂ >_{w₁} m₁, m₁ >_{w₁} m₃, m₂ >_{w₁} m₃\}; \\
w₂ & : \{m₁ >_{w₂} m₃, m₂ >_{w₂} m₃\}; \text{ and} \\
w₃ & : \{m₁ >_{w₃} m₂, m₂ >_{w₃} m₃\}.
\end{align*}
\]

Understanding this relation simplifies the computation as we know certain pairs will not have positive probability of blocking. For the men, m₂ and m₃ both certainly prefer w₂ to w₁, and w₁ to w₃, and both have positive probability on w₁ > w₂, which could lead to a cyclic preference. For the women, w₁ has a strict linear order over the agents, while w₂ and w₃ both only have uncertainty over the relationship between m₁ and m₃, but are sure m₃ is the worst and hence there is no chance for a cycle.

In order to compute the probability that µ₁ is stable, we have to compute the probability that none of the potential blocking pairs occurs. Specifically we compute the probability of each of the potential blocking pairs occurring:

\[
\begin{align*}
p(m₁, w₂) & = p(w₂ > m₁, w₁) \cdot p(m₁ > w₃, m₂) = 0.6 \cdot 0.8 = 0.48, \\
p(m₁, w₃) & = p(w₃ > m₁, w₁) \cdot p(m₁ > w₃, m₃) = 0.8 \cdot 1.0 = 0.8, \\
p(m₂, w₁) & = p(w₁ > m₂, w₂) \cdot p(m₂ > w₃, m₁) = 0.0 \cdot 1.0 = 0.0, \\
p(m₂, w₃) & = p(w₃ > m₂, w₂) \cdot p(m₃ > w₃, m₃) = 0.5 \cdot 0.5 = 0.5, \\
p(m₃, w₁) & = p(w₁ > m₃, w₂) \cdot p(m₃ > w₃, m₁) = 1.0 \cdot 0.0 = 0.0, \\
p(m₃, w₂) & = p(w₂ > m₃, w₂) \cdot p(m₃ > w₃, m₂) = 0.5 \cdot 0.0 = 0.0.
\end{align*}
\]

The probability that µ₁ is stable is the probability that no blocking pairs exist. Since each blocking pair occurs independently we can compute this as the probability that no blocking pair occurs independently: \((1 - p(m₁, w₂)) \cdot (1 - p(m₁, w₃)) \cdot \ldots \cdot (1 - p(m₃, w₂)) = (1 - 0.48) \cdot (1 - 0.8) \cdot (1 - 0.5) = 0.052\).

It can be easily verified that matchings µ₂, µ₄, and µ₅ have stability probability 0 since (m₃, w₁), (m₂, w₁), and (m₂, w₂) form blocking pairs with probability 1 for these matchings, respectively.

### Computational Problems

Given a stable marriage setting where agents have uncertain preferences, various natural computational problems arise. Beside computing the stability probability of a matching, the other important problem is finding a matching that is “most stable.” We can think of two (not necessarily equivalent) criteria. One is to find a matching that has the highest probability of being stable, and the other is to find a matching that has the minimum expected number of blocking pairs.

In this paper we mainly focus on the former and leave the latter for future work. We consider the following problems for the pairwise probability model.

- **StabilityProbability**: Given a matching and uncertain preferences of the agents, what is the stability probability of the matching?
- **MatchingWithHighestStabilityProbability**: Given uncertain preferences of the agents, compute a matching with highest stability probability.

We additionally consider problems connected to, and more restricted than, **MatchingWithHighestStabilityProbability**: (1) **ExistsCertainlyStableMatching** — Does there exist a matching that has stability probability one? (2) **ExistsPossiblyStableMatching** — Does there exist a matching that has non-zero stability probability?

### Results

We summarize the central complexity results of this paper in Table 3. In particular, in Theorem 2 we show that **ExistsPossiblyStableMatching** is NP-complete, even if one side has cyclic certainly preferred relations. With a slight extension of this theorem, in Theorem 3 we also obtain the NP-hardness of **MatchingWithHighestStabilityProb** even if no agent has cycle in her/his certainly preferred relations.

**ExistsCertainlyStableMatching** is polynomial-time solvable as long as the certainly preferred relation is transitive for at least one side of the market (Theorem 5). Otherwise, if the certainly preferred relation can be cyclic on both sides of the market, then the problem is NP-hard (Theorem 4). Finally, we show how the latter result implies the NP-hardness of the kernel checking problem for a special class of directed graphs (Theorem 8).

### Related work

Aziz et al. [1, 2] studied matching problems under uncertain preferences, but only considered linear models. In a linear model the agents have linear preferences over their potential partners which are realized with some probabilities. Three cases were studied. In the **lottery model** each agent
Table 3: Summary of results under different assumptions over the certainly preferred relations on the two sides, where ‘transitive’ means that the certainly preferred relations are transitive and cyclic means that preference cycle may occur in the certainly preferred relation of an agent.

<table>
<thead>
<tr>
<th>Computational problems</th>
<th>transitive</th>
<th>transitive</th>
<th>transitive</th>
<th>cyclic</th>
<th>cyclic</th>
<th>cyclic</th>
</tr>
</thead>
<tbody>
<tr>
<td>EXISTSPOSSIBLYSTABLEMATCHING</td>
<td>in P [trivial]</td>
<td>NP-complete [Thm 2]</td>
<td>NP-complete</td>
<td></td>
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</tr>
<tr>
<td>EXISTSCERTAINLYSTABLEMATCHING</td>
<td>in P</td>
<td>in P [Thm 5]</td>
<td>NP-complete [Thm 4]</td>
<td></td>
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<tr>
<td>MATCHINGWITHHIGHESTSTABILITYPROB</td>
<td>NP-hard [Thm 3]</td>
<td>NP-hard</td>
<td>NP-hard</td>
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</table>

has an independent probability distribution over her possible preferences. In the compact indifference model these probability distributions come from weak preferences where the ties are broken by uniform random lotteries. Finally, the so-called joint probability model allows a probability distribution over complete preference profiles. The model of uncertain pairwise preferences, that we study in this paper, is conceptually different. Here, we assume that the agents have uncertain pairwise comparisons over their potential partners, and these comparisons are independent of each other. To illustrate the significant difference between the linear and pairwise models, let us describe a simple example.

Suppose that we have a man \( m \) who is completely indifferent among three women \( w_1, w_2, \) and \( w_3 \). In our linear models this situation would be described with uniform probabilities over the six potential linear orders on the three women. In particular, the probability that matching \( m \) with \( w_1 \) is stable for this small instance would be \( \frac{1}{2} \), as \( w_1 \) is the best partner of \( m \) in two of the six equal probability orders. However, in our pairwise probability model the man would have 0.5 probability of preferring any woman to another one. But note that these probabilities are independent from each other. Therefore the probability of the matching \( \{(m, w_1)\} \) being stable is \( \frac{1}{2} \cdot \frac{1}{2} = \frac{1}{4} \). This conceptual difference makes it necessary to use different approaches when studying the pairwise model.

A general model based on (certain) pairwise comparisons was studied in a recent paper by Farczadi, Georgiou and Könemann [6]. They suppose that the agents on one side of the market have complete linear preferences and the agents on the other side can have arbitrary binary relations for any pair of partners. They interpret this relation as one potential partner being at least as good as the other potential partner for the agent concerned. They define stability in the following way: if two agents are not matched then either of them should be matched to a partner that is at least as good as this potential blocking partner. Their model differs from ours in many aspects, as they do not use probabilities and they allow antisymmetric relations and no relation for a pair of potential partners, and they only consider the case when one side has pairwise comparisons. Nevertheless, in our proof for the NP-completeness of the problem of deciding the existence of a possibly stable matching for the case where one side may have cyclic preferences (Theorem 2), we use a proof that is similar to the one given by Farczadi et al. [6] for the problem of finding a stable matching in their context.

2. PRELIMINARIES

The classical setting of a Stable Marriage problem is defined as follows. There are two sets of agents: a set \( M \) of men and a set \( W \) of women. We use the term agents when making statements that apply to both men and women, and the term candidates to refer to the agents on the opposite side of the market to that of an agent under consideration. Each agent \( a \) has a preference ordering over the candidates, where \( c_1 \succ a c_2 \) denotes that \( a \) prefers \( c_1 \) over \( c_2 \).

Contrary to the classical definition, we assume that agents’ preferences are described as independent pairwise comparisons on their possible partners, and that these preferences may be uncertain. Let \( L \) denote the uncertain pairwise preference profile for all agents. We denote by \( I = (M, W, L) \) an instance of a stable marriage problem with uncertain pairwise preferences.

A matching \( \mu \) is a pairing of men and women such that each man is paired with at most one woman and vice versa; defining a list of (man, woman) pairs \( (m, w) \). We use \( \mu(m) \) to denote the woman \( w \) that is matched to \( m \) and \( \mu(w) \) to denote the match for \( w \). If an agent \( a \) is unmatched, we let \( \mu(a) = \emptyset \). The probability that a matching is stable is the probability that there exists no pair \((m, w) \not\in \mu \) where \( m \) prefers \( w \) to his current partner in \( \mu \), i.e., \( w \succ_m \mu(m) \), and vice versa. If such a pair exists, it constitutes a blocking pair; as the pair would prefer to defect and match with each other rather than stay with their partner in \( \mu \). A matching is certainly stable if it is stable with probability 1.

The Stable Marriage problem with Ties and Incomplete lists (SMTI) is an extension of SM, where agents are allowed to declare some candidates unacceptable—i.e. they strictly prefer remaining unmatched to pairing with an unacceptable partner, and have weak orders over acceptable candidates. A matching is individually rational if no agent is matched to an unacceptable partner. Every agent \( a \) strictly prefers being matched to an acceptable candidate to remaining unmatched. A matching is called weakly stable if it is individually rational and there is no strongly blocking pair, consisting of two agents who strictly prefer each other to their partners in the matching. A matching is weakly blocking pair; as the pair would prefer to defect and match with each other rather than stay with their partner in \( \mu \). A weakly stable matching always exists, but Manlove et al. [13] proved that deciding whether an SMTI instance has a complete weakly stable matching is NP-hard. Super-stable matching may not exist, but deciding the existence can be done efficiently.

The Stable Marriage problem with Partially ordered lists (SMP) is another extension of SM in which agents’ preferences are partial orders over the candidates. In SMP with Incomplete lists (SMPI), each agent’s partially ordered preferences contains only his/her acceptable candidates. A matching is super-stable in an instance of SMP if it is stable w.r.t. all linear extensions of the partially ordered preferences.

The main observation in this section is that stability probability of a matching can be checked easily.

**Theorem 1.** For the pairwise probabilities model, Stabil-
ityProbability is polynomial-time solvable.
Proof. We can compute the probability that a given matching \( \mu \) is stable as follows. The probability \( p_0(m, w) \) that a given blocking pair \((m, w)\) exists is equal to the probability that \( m \) prefers \( w \) over his current match in \( \mu \) multiplied by the probability that \( w \) prefers \( m \) over her current match in \( \mu \). Both these probabilities are given in the pairwise probabilities model. Then the probability that a given blocking pair \((m, w)\) does not exist is \( 1 - p_0(m, w) \). The probability that no blocking pair exists is \( \prod_{(m, w) \in M \times W} (1 - p_0(m, w)) \). Note that the probability that no blocking pair exists is equal to the probability that \( \mu \) is stable. \( \square \)

3. POSSIBLY STABLE MATCHINGS

When the certainly preferred relation is acyclic, the problem of checking whether there exists a matching with non-zero probability of being stable is trivial: for each agent, a linear extension consistent with the certainly preferred relation can be taken and for such a preference profile, a stable matching exists due to the classic result of Gale and Shapley [9]. If the certainly preferred relation is not acyclic, a possibly stable matching does not necessarily exist. We first show that the problem of deciding whether a possibly stable matching exists is NP-complete.

Theorem 2. For the pairwise probabilities model, \textsc{ExistPossiblyStableMatching} is NP-complete, even if one side of the market has deterministic linear preferences.

Proof. Since computing \textsc{StabilityProbability} can be solved in polynomial time, we can check efficiently whether a given matching has positive probability, so the problem is in NP. To prove NP-hardness, we reduce from the problem of deciding whether an instance of SMTI admits a complete stable matching. Our reduction is similar to a reduction used by Farzad et al. [6, Theorem 1] for a different problem. Because some details are adjusted, we describe it here completely. In [13], Manlove et al. proved that determining if an instance of SMTI admits a complete stable matching is NP-complete, even if the ties appear only on the women’s side, and each woman’s preference list is either strictly ordered or consists entirely of a tie of size two. Let \( M = \{m_1, m_2, \ldots, m_n\} \) be the set of men and \( W = \{w_1, w_2, \ldots, w_n\} \) be the set of women in an SMTI instance \( I \), where we suppose that the men’s preferences are strict. We create an instance \( I' \) of pairwise probability model as follows. We extend the set of men with three new men, \( m_{n+1}, m_{n+2} \) and \( m_{n+3} \), and likewise extend the set of women with three new women \( w_{n+1}, w_{n+2} \) and \( w_{n+3} \). We refer to the acceptable partners of any given agent \( a \) in \( I \) as his/her proper partners in \( I' \). For each man \( m_i \) (\( i \in [n] \)) in the original instance, we extend his strict preference ordering on his proper partners arbitrarily, by appending the three new women and his unacceptable candidates in \( I \) in some arbitrary order. For every woman \( w_i \) (\( i \in [n] \)) in the original instance, we create the pairwise preferences as follows. Firstly, \( w_i \) prefers every proper partner of hers to the rest, i.e., to every new man and every unacceptable candidate in \( I \). Secondly, \( w_i \) prefers each of the three new men to those men who were unacceptable to her in \( I \). The pairwise preferences of \( w_i \) over her proper partners are created in the following natural way: \( w_i \) certainly prefers \( m_k \) to \( m_l \) if \( w_i \) strictly prefers \( m_k \) to \( m_l \) in \( I \), and if \( w_i \) is indifferent between \( m_k \) and \( m_l \) in \( I \) then we set the corresponding pairwise probability to be 0.5 in \( I' \). We then let the pairwise preferences of \( w_i \) over the three new men to be cyclic, i.e., \( w_i \) prefers \( m_{n+1} \) to \( m_{n+2} \), \( m_{n+2} \) to \( m_{n+3} \), and \( m_{n+3} \) to \( m_{n+1} \). The preferences of \( w_i \) over the unacceptable original candidates are arbitrary. What remains is to define the pairwise preferences of the new agents. Let each of the three new men have all the original women at the top of his preference list ordered according to their indices, followed with \( w_{n+1}, w_{n+2} \) and \( w_{n+3} \) (in this order). As for the three new women, let them have \( m_{n+1}, m_{n+2} \) and \( m_{n+3} \) in the top of their strict preference lists, followed by the original men in an arbitrary order. (Note that every complete linear order implies pairwise probability preferences). We claim that there exists a complete weakly stable matching in \( I \) if and only if there is a matching with positive stability probability in \( I' \).

To see the first direction, let \( \mu \) be a complete weakly stable matching in \( I \). It is easy to see that if we extend \( \mu \) with pairs \((m_{n+1}, w_{n+1}), (m_{n+2}, w_{n+2}) \) and \((m_{n+3}, w_{n+3}) \) then the resulting matching \( \mu' \) has positive probability of being stable in \( I' \). This is because, as it is easy to verify, there is no pair which would be certainly blocking for \( \mu' \). In the other direction, suppose that \( \mu' \) is a complete matching in \( I' \) that has positive probability of being stable (i.e., it has no certainly blocking pair). First we show that every original woman has to be matched with a proper partner. Suppose for a contradiction that \( w_i \) is the woman with the smallest index who is not matched to a proper partner. If \( w_i \) is matched to an original man who was unacceptable to her in \( I \) then \( w_i \) would form a certainly blocking pair with any of the three new men. To see this, note that \( w_i \) certainly prefers either of the three new men to her partner, and as none of the new men are matched to a original woman with index smaller than \( i \), hence they all certainly prefer \( w_i \) to their partners. Suppose now that \( w_i \) is matched to one of the three new men. Then \( w_i \) would form a certainly blocking pair with the subsequent new man according to her cyclical preference. (For instance, if \( w_i \) is matched to \( m_{n+1} \) in \( \mu' \) then she forms a certainly blocking pair with \( m_{n+3} \).) This is because the subsequent new man cannot have any better partner, since all the women with smaller indices than \( i \) are matched to a proper partner.

So we arrive at the conclusion that every original woman is matched with a proper partner. As \( \mu' \) does not admit a certainly blocking pair, this conclusion implies that all the original women are matched to a proper partner in such a way that there is no certainly blocking pair involving original agents. Thus by restricting \( \mu' \) to the original agents we arrive at a matching \( \mu \) that must be weakly stable and complete for \( I \). \( \square \)

The above result gives us the following corollary.

Theorem 3. \textsc{MatchingWithHighestStabilityProbability} is NP-hard, even if the certainly preferred relation is transitive for one side of the market and the other side has deterministic linear orders.

Proof. We adjust the proof of Theorem 2, as follows. Suppose that \( I \) was the starting instance of SMTI, \( I' \) was the corresponding instance of pairwise model with certain cyclic preferred relations, and let the adjusted instance of the pairwise model be denoted by \( I'' \). Whenever some women had cyclic certainly preferred relations in \( I \), we now modify the probabilities in these pairwise comparisons by a sufficiently small \( \epsilon \) value. That is, whenever a woman \( w_i \) certainly preferred a man \( m_k \) to another man \( m_l \) belonging to a certain
preference cycle in \( I' \), we set \( p(m_k \succ w_{im}) = 1 - \epsilon \) in \( I'' \). Thus, we have no certainly preferred relations in any cycle in \( I'' \). However, when considering the matching with the highest stability probability in \( I'' \), we can still separate between the two cases with regard to the original NP-complete problem for \( I \). To see this, suppose first that we have a complete stable matching for \( I \). In this case this matching extended with the three new pairs in \( I'' \) will have a probability of being stable at least \( \frac{1}{\epsilon} \) in both \( I' \) and \( I'' \). This is because every woman who is indifferent between some men has at most one tie of length two in her preference list in \( I \), and so if this woman is matched to one of the men in her tie then only the other man in this tie may block, which happens with 0.5 probability (if that man prefers this woman to his matching partner). On the other hand, if there exists no complete stable matching for \( I \) then we have shown in the proof of Theorem 2 that there always existed a certain blocking pair in \( I' \). This certain blocking pair now will have a probability of \( 1 - \epsilon \) of being stable, implying that any matching in this case has less than \( \epsilon \) probability of being stable. Therefore, if we choose \( \epsilon \) to be 0 < \( \epsilon < \frac{1}{2} \) then an algorithm which solves \( \text{MatchingWithHighestStabilityProbability} \) could also be used to decide the existence of a complete stable matching for \( \text{SMTI} \) efficiently.

4. CERTAINLY STABLE MATCHINGS

In this section we focus on matchings that are stable with probability one and study the \( \text{ExistsCertainlyStableMatching} \) problem. As we will see, its complexity depends on whether one or both sides can have cyclic preferences.

4.1 Hardness result

We first show that \( \text{ExistsCertainlyStableMatching} \) is NP-complete in the general model where both sides may have cyclic preferences, even if all agents are certain.

**Theorem 4.** \( \text{ExistsCertainlyStableMatching} \) is NP-complete, even if all agents are certain.

**Proof.** Checking whether a matching is stable can be done in linear time, so the problem is in NP. To prove NP-hardness, we reduce from the 3-SAT problem. We are given an instance \( B \) of 3-SAT with a set of variables \( V = \{v_1, \ldots, v_n\} \) and clauses \( C = \{c_1, \ldots, c_m\} \). We create an instance \( I \) of the pairwise stability problem with certain and intransitive preferences, as follows. (Since all the preferences will be certain, for simplicity, instead of \( b \succ_{\sigma} c \), we write \( b \succ c \) if agent \( a \) prefers \( b \) over \( c \) with probability 1.) For each variable, \( v_i \), we create a simple gadget \( G_i \), involving six agents \( a_i, c_i, t_i, f_i, t'_i \) and \( f'_i \) and the following set of so-called proper pairs \( E_i = \{(a_i, t_i, c_i), (t_i, f_i, f'_i), (c_i, t'_i, t_i)\} \). For each clause \( c_j \), we create another gadget \( G_{j'} \) with six agents \( m_{j1}, m_{j2}, m_{j3}, w_{j1}, w_{j2}, w_{j3} \), where all the pairs are proper. Finally, if literal \( v_i \) appears in clause \( C_j \), then \( t_i \) and \( m_{j1} \) is a proper pair and if literal \( \overline{v_i} \) appears in \( C_j \) then \( f_i \) and \( m_{j1} \) form a proper pair. The core of the variable gadget \( G_v \) is a length four preference cycle, with the following pairwise comparisons.

\[
\begin{align*}
&v_i \succ a_i; f_i \succ c_i; \overline{v_i} \succ t_i; a_i \succ f_i; c_i
\end{align*}
\]

For this sub-instance, we have two stable matchings, \( T = \{v_i, a_i, f_i, \overline{t_i}\} \) and \( \overline{T} = \{a_i, f_i, \overline{t_i}\} \), which will correspond to the cases of setting \( v_i \) to be true or false in the truth assignment, respectively.

For the clause gadget \( G_{j'} \), we set the preferences as in the classical Gale-Shapley instance [9] with three men, three women and three stable matchings. Namely, let the linear preferences of these agents to be

\[
\begin{align*}
&w_{j1} \succ m_{j1}, w_{j2} \succ m_{j1}, w_{j3} \succ m_{j1}, m_{j1} \succ w_{j1}, m_{j1} \succ w_{j2}, m_{j1} \succ w_{j3};
&w_{j2} \succ m_{j2}, w_{j3} \succ m_{j2}, m_{j2} \succ w_{j2}, m_{j2} \succ w_{j3};
&w_{j3} \succ m_{j3}, w_{j1} \succ m_{j3}, m_{j3} \succ w_{j3}, m_{j3} \succ w_{j2}.
\end{align*}
\]

We have three stable matchings:

\[
\begin{align*}
\mu_1 &= \{m_{j1}w_{j1}, m_{j2}w_{j2}, m_{j3}w_{j3}\},
\mu_2 &= \{m_{j1}w_{j2}, m_{j2}w_{j3}, m_{j3}w_{j1}\},
\mu_3 &= \{m_{j1}w_{j3}, m_{j2}w_{j1}, m_{j3}w_{j2}\}.
\end{align*}
\]

Now we set the preferences over the linking pairs of the forms \( t_i, m_{j1} \) and \( f_i, m_{j1} \). For the agent in the variable gadget, these partners are in the middle of the preferences, as follows:

\[
\begin{align*}
a_i \succ t_i, m_{j1}; m_{j1} \succ f_i, \overline{m}_{j1}; m_{j1} \succ f_i, \overline{m}_{j1}.
\end{align*}
\]

Note that these pairwise comparisons are intransitive for \( t_i \), regarding partners \( a_i, \overline{m}_{j1} \) and \( m_{j1} \). For \( m_{j1} \), if the literal \( v_i \) appears in the \( k \)th place in the clause (for \( k \in \{1, 2, 3\} \)) then let \( m_{j1} \) have the following pairwise comparisons:

\[
\begin{align*}
t_i \succ m_{j1}, w_{j1}, k; w_{j1+k}, (mod3) \succ m_{j1}, t_i; w_{j1+k}, (mod3) \succ m_{j1}, t_i.
\end{align*}
\]

The intuition behind this setting is the following. If variable \( v_i \) is set to be true and \( \overline{v_i} \) appears as the \( k \)th literal in clause \( C_j \), then we can choose matching \( \mu_j^k \) in gadget \( G_{j'} \) without making pair \( t_i, m_{j1} \) blocking. Similarly, if literal \( \overline{v_i} \) appears in \( C_j \) (i.e., when \( f_i \) is linked to \( m_{j1} \)) then we set the preferences of \( m_{j1} \) as follows:

\[
\begin{align*}
f_i \succ m_{j1}, w_{j1}, k; w_{j1+k}, (mod3) \succ m_{j1}, f_i; w_{j1+k}, (mod3) \succ m_{j1}, f_i.
\end{align*}
\]

Therefore here as well, if variable \( v_i \) is set to be false and \( v_i \) appears as the \( k \)th literal in clause \( C_j \) then we can choose matching \( \mu_j^k \) in gadget \( G_{j'} \) without making pair \( f_i, m_{j1} \) blocking. Furthermore, the other pairs of forms \( t_i, m_{j1} \) and \( f_i, m_{j1} \) won’t be blocking either, as they correspond to the other two literals, for which \( m_{j1} \) prefers \( w_{j1+k} \) over \( t_i \) (or \( f_i \)).

With regard to the proper pairs of form \( t_i t'_i \) and \( f_i f'_i \), we only use them to forbid any pair of form \( t_i m_{j1} \) and \( f_i m_{j1} \) to be included in a stable matching. Namely, we set

\[
\begin{align*}
a_i \succ t_i; \overline{t_i}, \overline{t_i} \succ a_i; t_i \succ \overline{t_i}; a_i \succ f_i; \overline{f_i} \succ c_i.
\end{align*}
\]

for any pair \( t_i m_{j1} \) we set

\[
\begin{align*}
t_i \succ t_i, m_{j1};
\end{align*}
\]

Similarly, we set

\[
\begin{align*}
a_i \succ f_i; \overline{f_i} \succ j_i; f'_i \succ f_i.
\end{align*}
\]

for any pair \( f_i m_{j1} \) we set

\[
\begin{align*}
f'_i \succ f_i, m_{j1}.
\end{align*}
\]

Finally, we let \( t'_i \) and \( f'_i \) form a proper pair, with new agents \( t'_i \) and \( f'_i \), respectively. We let \( t'_i \) prefer \( t_i \) to \( t'_i \) and \( f'_i \) prefer \( f_i \) to \( f'_i \). All the other pairs are not proper and we suppose that all agents want to get a proper partner and their preferences over the not proper partners are arbitrary.

We are ready to show that \( B \) has a truth assignment if and only if there exist a (certainly) stable matching in \( I \). Suppose first that we are given a truth assignment, then we create the matching \( \mu \) in \( I \) as we already described above. Namely, if \( v_i \) is set to be true then we add \( T_i \) to \( \mu \) in the variable gadget \( G_i \) and when \( v_i \) is false then we add \( \overline{T_i} \) to \( \mu \). Regarding the clause gadgets \( G_{j'} \), we choose a literal that is
true according to the truth assignment (there must be one, say, the $k$th one), then we add $\mu_j$ to $\mu$. Finally we match $\mu$ to $\mu_j$ and $f_j$ to $f^*$ for every $i$. This matching is stable, as argued above.

In the other direction, suppose that $I$ has a (certainly) stable matching $\mu$. As we discussed when describing the construction, no pair of forms $t_i,m_j$ and $i,m_j$ may be in $\mu$, because a corresponding pair $t_i$, or $f_jf^*$ would be blocking. Similarly, no pair of forms $t_i t_i$ and $f_i f^*$ can be present in $\mu$, as otherwise some pair in $E_i$ would be blocking. After ignoring these pairs we can observe that every agent is the first choice of some proper partner, therefore the matching must consist of proper pairs. Thus either $T_i$ or $F_i$ must be in $\mu$, and also one of the three possible stable matching, $\mu^1_j$, $\mu^2_j$ or $\mu^3_j$ must be chosen in $G'_j$ for $j$. Then we assign the values in the Boolean formula according to the matchings in the variable gadgets, namely, we set $v_i$ to be true if $T_i \subset \mu$ and false if $F_i \subset \mu$. This will be a truth assignment, since if $\mu^i_j$ is chosen for any clause gadget $G'_i$, then the $k$th literal must be true in clause $C_j$, as otherwise the corresponding pair, $t_i m_j$ or $f_i m_j$ would block matching $\mu$. \hfill \Box

### 4.2 Tractability for transitivity on one side

We present an efficient algorithm for \textsc{ExistsCertainlyStableMatching} for the case when one side must have transitive certainly preferred relations, but there is no constraint on the preferences of the other side. In Aziz et al. [2] it was shown that certainly stable matchings are closely related to the notion of super-stable matchings widely studied in the literature [14]. In fact they were able to provide a definition of certainly stable matchings using a terminology similar to that of super-stability. Given a matching $\mu$ and an unmatched pair $(m, w)$, a pair $(m, w)$ very weakly blocks (blocks) $\mu$ if $(m(w) \not\succ w^m w$ and $(m(w) \not\succ w^m m$.

**Proposition 1** ([2]). A matching is certainly stable if and only if it admits no very weakly blocking pair.

It directly follows from a result by Aziz et al. [2] (Theorem 1) that if the certainly preferred relation is transitive for both sides of the market, then employing the \textsc{Super-SMP} algorithm of [14] leads to a polynomial time algorithm for \textsc{ExistsCertainlyStableMatching}. Here we show that by making changes to \textsc{Super-SMP} we can relax our assumption to require transitivity on only one side of the market. Without loss of generality we assume that the certainly preferred relation is transitive for men. Algorithm \textsc{Certainly-STABLE} depicted as Algorithm 1 is a modification of \textsc{Super-SMP}.

The main ideas behind the algorithm and the proofs are similar to those presented in [14], but we have adapted them to our problem as well as managing to shorten and simplify some of the proofs.

We begin with providing an intuitive high-level description of what Algorithm 1 does. Let $p_m$ denote the certainly preferred relation for each man $m$. Since every agent finds all candidates acceptable, he or she certainly prefers every candidate to remaining unmatched. To start with, every agent is set free, i.e., not engaged. The algorithm conducts a series of proposals by men to women. A man $m$ proposes to a woman $w$ if $w$ is at the head of $p_m$ (defined in the next paragraph) and $m$ and $w$ are not engaged to each other. If $w$ certainly prefers $m$ to her current fiancé (if she has one) and every man she is rejected so far, then $w$ breaks her current engagement (if any exists) and $m$ and $w$ become engaged. Otherwise, $w$ rejects $m$. Furthermore, if $w$ has a fiancé $m'$, then she will break her engagement with $m'$ if she does not certainly prefer $m'$ to $m$. Notice that when a woman rejects a man, or breaks her engagement with a man, it has become evident that they cannot be matched in a certainly stable matching. Also note that during the execution of the algorithm, each woman is engaged to at most one man, but a man may be engaged to several women. When there is no more proposals to be made—i.e., when every man $m$ is engaged to all women at the head of $p_m$—the current engagement relation is examined to determine whether it qualifies as a certainly stable matching or not. It qualifies if no man is engaged to more than one woman—i.e., if it is a matching, and there is no woman who has received a proposal and is remained unmatched.

We now define the terms used in Algorithm 1. When we say **delete** the pair $(m, w)$, we mean that $w$ should be deleted from the certainly preferred relation $p_m$ (deletion of $m$ from $w$’s preferences is not necessary for the purpose of Algorithm 1). A pair $(m, w)$ is deleted if $w$ rejects $m$ immediately after he has made a proposal, or breaks her engagement with $m$ later on. For the ease of the presentation we say that $w$ rejects $m$ in each case. For any agent $x$, we refer to $x$’s preferences at the termination of Algorithm 1 as $x$’s reduced preferences. At any stage of the algorithm, we say a woman $w$ is at the head of a man $m$’s certainly preferred relation if there is no other woman in $m$’s remaining certainly preferred relation (i.e., no woman who is not deleted from $p_m$) whom $m$ certainly prefers to $w$. Note that as the certainly preferred relation is transitive, and therefore acyclic, for men, as long as there is a woman who has not yet been deleted from $p_m$, there is at least one woman at the head of $m$’s certainly preferred relation. Also note that more than one woman can be at the head of $m$’s certainly preferred relation.

**Theorem 5.** \textsc{ExistsCertainlyStableMatching} can be solved in polynomial time, if the certainly preferred relation is transitive for at least one side of the market.

We prove Theorem 5 by showing that if an instance $I$ admits a certainly stable matching then Algorithm 1 returns true, and if no matching is certainly stable in $I$ then Algorithm 1 returns false. Several lemmas will come in handy.

**Lemma 1.** If the pair $(m, w)$ is deleted during an execution of the algorithm, then the pair cannot block any matching output by the algorithm.

**Proof.** Assume that \textsc{Certainly-STABLE} outputs $\mu$ as a certainly stable matching, and suppose that $(m, w)$ is deleted during an execution of the algorithm. Since $w$ has received proposals, the algorithm does not output a matching in which she is unmatched. Since we assume that the algorithm outputs a matching $\mu$, hence $w$ is matched to say $m'$. We show that $w$ must certainly prefer $m'$ to $m$. To see this, consider the following two cases that can occur:

**Case 1:** If $m'$ proposes to $w$ after $(m, w)$ is deleted, or when $w$ is engaged to $m$, then $w$ would not have accepted $m'$ if she does not certainly prefer $m'$ to $m$ (see the IF-THEN-ELSE condition of line 11). **Case 2:** Else, $m$ must have proposed to $w$ when $m'$ and $w$ are engaged. In this case $w$ would have broken the engagement if she does not certainly prefer $m'$ to $m$ (see the IF-THEN-ELSE condition of line 18). So we have established the fact that $w$ certainly prefers $m'$, her partner in $\mu$, to $m$, implying that $(m, w)$ cannot block $\mu$. \hfill \Box
Algorithm 1 Algorithm CERTAINLY-STABLE

Input: \( I = (M,W,L) \) where the certainly preferred relation is transitive for men. We assume that every agent certainly prefers every candidate over null. Let \( p_m \) denote the certainly preferred relation for each man \( m \). We say that a woman \( w \) is at the head of a man \( m \)'s certainly preferred relation if there is no other woman whom \( m \) certainly prefers to \( w \).

Output: Matching \( \mu \) that is certainly stable in \( I \), or false

1. \( \mu(w) \leftarrow \emptyset \) for all \( w \in W \) \{Fiancé of \( w \) null to start with\}
2. \( F(m) \leftarrow \emptyset \) for all \( m \in M \) \{Set of women engaged to \( m \}\}
3. \( R(w) \leftarrow \emptyset \) for all \( w \in W \) \{Set of men rejected by \( w \}\}
4. \( R(m) \leftarrow \emptyset \) for all \( m \in M \) \{Set of women who have rejected \( m \}\}
5. for all \( w \in W \) do
6. \( \text{proposed}(w) \leftarrow \text{false} \)
7. end for
8. while some man \( m \) has a woman \( w \) at the head of \( p_m \), to whom he is not engaged do
9. \( m \) proposes to \( w \)
10. \( \text{proposed}(w) \leftarrow \text{true} \)
11. if \( m >^\text{cert} w \) for each \( m' \in R(w) \cup \{\mu(w)\} \) then
12. \( \text{if } \mu(w) \neq \emptyset \text{ then } \)
13. \( F(\mu(w)) = F(\mu(w)) \setminus \{w\}; \ R(w) = R(w) \cup \{\mu(w)\}; \ R(\mu(w)) = R(\mu(w)) \cup \{w\}; \ \text{delete}(\mu(w), w) \)
14. end if
15. \( F(m) = F(m) \cup \{w\}; \ \mu(w) = m \)
16. else
17. \( R(w) = R(w) \cup \{m\}; \ R(m) = R(m) \cup \{w\}; \ \text{delete}(m,w) \)
18. if \( \mu(w) \neq \emptyset \) and \( m' = \mu(w) >^\text{cert} m \) then
19. \( F(m') = F(m') \setminus \{w\}; \ \mu(w) = \emptyset; \ R(w) = R(w) \cup \{m'\}; \ R(m') = R(m') \cup \{w\}; \ \text{delete}(m', w) \)
20. end if
21. end if
22. end while
23. if \( \text{(there is a man } m \text{ such that } |F(m)| > 1) \) or \( \text{(there is a woman } w \text{ such that } \mu(w) = \emptyset \text{ and proposed}(w) = \text{true}) \) then
24. return false
25. else
26. return \( \mu \)
27. end if

We say that a pair \((m,w)\) is a certainly-stable pair if \( m \) and \( w \) are matched together in at least one certainly stable matching.

Lemma 2. No certainly-stable pair is deleted during the execution of the algorithm.

Proof. Assume otherwise for a contradiction. Let \((m,w)\) be the first certainly-stable pair deleted during the execution of the algorithm. Let \( \mu^* \) be a certainly stable matching in which \( m \) and \( w \) are matched together.

Deletion of \((m,w)\) can only occur under the following three scenarios. Case 1: \( m \) is engaged to \( m \) and receives a proposal from a man \( m' \) whom she strictly prefers to \( m \) and all the men she has already rejected, in which case the deletion occurs in line 13. Case 2: \( w \) receives a proposal from \( m \), and there is a man \( m' \in R(w) \cup \{\mu(w)\} \) to whom \( w \) does not certainly prefer \( m \), in which case the deletion occurs in line 17. (see 3: \( w \) is engaged to \( m \) and receives a proposal from a man \( m' \) to whom she does not strictly prefer \( m \), in which case the deletion occurs in line 19. In all three cases, \( m' \) cannot have a certainly-stable partner \( w' \) whom he certainly prefers to \( w \); for then the certainly-stable pair \((m',w')\) would have been deleted before \((m,w)\) during the execution of the algorithm, a contradiction. Therefore \( m' \) does not certainly prefer \( \mu^*(m') \) to \( w \). Hence \((m',w)\) blocks \( \mu^* \), a contradiction.

Claim 6. If Algorithm 1 returns a matching \( \mu \) then \( \mu \) is certainly stable in \( I \).

Proof. Assume for a contradiction that \( \mu \) is not certainly stable. Therefore there exists a pair \((m,w)\) that blocks \( \mu \); i.e., \( \mu(m) \not>^\text{cert} \mu(w) \) and \( \mu(w) \not>^\text{cert} \mu(m) \). It follows Lemma 1 that \((m,w)\) is not deleted. If \( w \not>^\text{cert} \mu(m) \) then for \( m \) to propose to \( \mu(m) \) he must have already been rejected by \( w \) (or else \( \mu(m) \) is not at the head of \( m \)'s certainly preferred relation), and consequently \((m,w)\) is deleted, a contradiction. Hence neither \( \mu(m) \) nor \( w \) is certainly preferred to one another by \( m \). If after the execution of the while loop \( w \) is at the head of \( m \)'s certainly preferred relation, then \( m \) must have proposed to her and be engaged to her as well, a contradiction (as \( m \) is only engaged to one woman or else the algorithm returns “false”). So it remains that \( w \) is not at the head of \( m \)'s certainly preferred relation. Therefore, as certainly preferred relation is transitive for men and \( \mu(m) \not>^\text{cert} \mu(w) \), there must be a woman \( w' \), besides \( \mu(m) \), at the head of \( m \)'s certainly preferred relation, implying that \( m \) must have proposed to her and be engaged to her as well, a contradiction.

Claim 7. If \( I \) admits a certainly stable matching, then Algorithm 1 returns one.

Proof. By Lemma 2 no certainly-stable pair is deleted during the execution of the algorithm. Therefore, at the termination of the while loop, each man \( m \) has in his reduced preferences all women \( w \) where \((m,w)\) is a certainly-stable pair. Hence each \( m \) who is matched in some certainly stable matching is engaged in \( F \) to all women at the head of \( p_m \).
Each woman \( w \) is engaged to at most one man as no woman keeps more than one fiancé.

We show that if either of the conditions in line 23 hold then \( I \) cannot admit any certainly stable matching. Assume, for a contradiction, that a certainly stable matching \( \mu^* \) exists and the algorithm returns “false”.

First assume that there is a woman \( w \) who has received at least one proposal and is not engaged (\( \mu(w) = \emptyset \)). Say man \( m \) proposed to her during the execution of the algorithm and was rejected. Suppose first that \( w \) is unmatched in \( \mu^* \). As \( m \) has proposed to her, thus there is no woman in \( m \)'s reduced preferences (which includes all his certainly-stable partners and hence \( \mu^* (m) \)) whom he certainly prefers to \( w \). Therefore, \((m, w) \) blocks \( \mu^* \), a contradiction. Now suppose that \( w \) is matched in \( \mu^* \) to say \( m_1 \) (\( m_1 \neq m \) as \( m, w \) is removed and no certainly-stable pair is removed). As \( w \) is not engaged hence she is not at the head of \( p(m_1) \). Let \( w_1 \) be a woman at the head of \( p(m_1) \), implying that \( m_1 \) does not certainly prefer \( w \) to \( w_1 \). If \( w_1 \) is unmatched in \( \mu^* \) then \((m_1, w_1) \) blocks \( \mu^* \), a contradiction, thus \( w_1 \) is matched in \( \mu^* \) to say \( m_2 \) and she certainly prefers \( m_2 \) to \( m_1 \) (or else \((m_1, w_1) \) blocks \( \mu^* \)). As each woman is engaged to at most one man, and \( w_1 \) is engaged to \( m_1 \), hence \( w_1 \) is not engaged to \( m_2 \) implying that she is not at the head of \( p(m_2) \). Thus there must be a woman, say \( w_2 \), who is at the head of \( p(m_2) \).

Applying the same arguments as we used for \( w_1 \), we deduce that \( w_2 \) is matched in \( \mu^* \) to say \( m_3 \), she certainly prefers \( m_3 \) to \( m_2 \) and she is not at the head of \( p(m_3) \), implying that there is another woman at the head of \( p(m_3) \). We can continue with this argument, and as the number of men and women are finite we shall at some point encounter a man or a woman twice. Take the first time an agent is visited for the second time. If it’s a man, this implies that he is matched to two different women in \( \mu^* \), a contradiction to \( \mu^* \) being a matching. If it’s a woman, this implies that she is engaged to more than one man, a contradiction.

Now assume that each woman who has received at least one proposal is engaged at the end of the while loop. Denote the set of men and women engaged by \( M_F \) and \( W_F \) respectively. Suppose that \( |F(m)| > 1 \) for at least one man \( m \) (i.e., \( m \) is engaged to two or more women), thus \( |M_F| < |W_F| \). As no certainly-stable pair is removed (Lemma 2), any man who is not in \( M_F \) cannot be matched in any certainly stable matching. This fact, combined by \( |M_F| < |W_F| \), implies that in any certainly stable matching some woman in \( W_F \) remains unmatched. Suppose \( w \in W_F \) is unmatched in \( \mu^* \). Let \( m \) be the man to whom \( w \) is engaged (\( w \in F(m) \)). As \( m \) is engaged to \( w \), \( w \) must be at the head of \( p(m) \). Therefore \( m \) does not certainly prefer any of his certainly-stable partners, including \( \mu^* (m) \), to \( w \). Thus, \((m, w) \) blocks \( \mu^* \), a contradiction.

**Proof of Theorem 5.** At most \( n^2 \) proposals are made during the execution of Algorithm 1. Using suitable data structures, the computation of \( p \), computation of the head of men’s certainly preferred relations, and deletions can be done in polynomial time. Hence Claims 6 and 7 complete the proof of Theorem 5.

**5. COROLLARY ON GRAPH KERNELS**

In this section we describe how Theorem 4 directly implies the NP-hardness of the graph kernel checking problem for a special graph class. For a directed graph \( D = (V,A) \), a kernel is a set of vertices \( K \subseteq V \) which is both independent and absorbant, i.e., no arc exists between any two vertices in \( K \) (independence) and for any vertex \( u \) outside \( K \) there is a vertex \( v \in K \) such that \( u \sim v \) (absorbancy). In 1983, Berge and Duchet conjectured that an undirected graph \( G \) is perfect if and only if the following condition is satisfied: “If \( D \) is any orientation of \( G \) such that every clique of \( D \) has a kernel, then \( D \) has a kernel.” Maffray [11] proved that the conjecture holds when \( G \) is the line-graph of another graph \( H \), i.e., when \( G \) represents the incidence between the edges of \( H \). Thus, for a bipartite graph \( H \), whose line graph \( G \) is always perfect, the statement implies that for acyclic orientations in each clique \( D \), when \( D \) trivially has a kernel, \( G \) also has a kernel.

As Fleiner [7] pointed out in his thesis, the above argument implies the existence of a stable matching for bipartite graphs, a theorem proved by Gale and Shapley [9]. To see this connection, let \( H \) be the two-sided graph of a stable marriage instance and let \( G \) be the line-graph of \( H \), where each clique corresponds to a set of potential partnerships of an agent. The acyclic orientation of \( D \) is created according to the preferences of the agents, if agent \( u \) prefers \( b \) to \( a \) in the stable marriage instance then we have two corresponding vertices, \( ab \) and \( au \) in \( G \) and we orient this edge towards \( ab \) in \( D \). It is now straightforward to show that the kernels of \( D \) are in a one-to-one correspondence with the stable matchings of the marriage problem.

In a similar way, the pairwise comparison model with certain but intransitive preferences can be translated to the problem of finding a kernel in a line-graph of a bipartite graph. The orientation of the directed graph \( D \) should be as described above. The problem of deciding whether a certainly stable matching exists in this model is equivalent to the problem of deciding whether a kernel exists in the corresponding directed graph. However, here, as the existence of a certainly stable matching is not guaranteed, a kernel may not exist either. The problem of finding a kernel in a directed graph is NP-hard in general [4], and also for some special graphs [8]. However, we are not aware of any result on the complexity of this problem for the line-graph of bipartite graphs. Note that this problem family was described in the Open problem section of the EGRES research group [5]. We state this hardness result in the following theorem.

**Theorem 8.** The problem of deciding whether a directed graph \( D \) has a kernel is \( NP \)-complete, even if \( D \) is a directed line-graph of a bipartite graph.

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