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Bojan Nikolic, Aleksandar Kartelj, Mako Djukanovic, Milana Grbic, Christian Blum, and Guenther Raidl

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Article Solving the Longest Common Subsequence Problem **Concerning Non-uniform Distributions of Letters in Input** Strings

Bojan Nikolic^{1,‡}, Aleksandar Kartelj^{4,‡}, Marko Djukanovic^{1,2,‡,*}, Milana Grbic^{1,‡}, Christian Blum^{3,‡} and Günther Raidl ^{2,‡}

> 1 Faculty of Natural Science and Mathematics: University of Banja Luka, Bosnia and Herzegovina; {bojan.nikolic | milana.grbic}@pmf.unibl.org

Abstract: The longest common subsequence (LCS) problem is a prominent \mathcal{NP} -hard optimization

problem where, given an arbitrary set of input string, the aim is to find a longest subsequence

which is common to all input strings. This problem has a variety of applications in bioinformatics,

molecular biology, file plagiarism checking, among others. All previous approaches from the literature are dedicated to solving LCS instances sampled from uniform or near-to-uniform probability distributions of letters in the input strings. In this paper we introduce an approach that is able to effectively deal with more general cases, where the occurrance of letters in the input strings follows a non-uniform distribution such as, for example, a multinomial distribution.

- 2 Institute of Logic and Computation, TU Wien, Austria; {djukanovic | raidl}@ac.tuwien.ac.at
- 3 Artificial Intelligence Research Institute (IIIA-CSIC), Campus UAB, Bellaterra, Spain; christian.blum@iiia.csic.es
- 4 Faculty of Mathematics, University of Belgrade, Serbia; aleksandar.kartelj@gmail.com
 - Correspondence: djukanovic@ac.tuwien.ac.at; Tel.: +387-65-699-683
- ‡ These authors contributed equally to this work.

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Texts in any spoken language, for example, are well approximated by multinomial distributions. The proposed approach makes use of beam search, guided by a novel heuristic function named GMPSUM. This heuristic synthesizes two complementary scores in form of a convex combination: the first one performs well in the uniform case and the second one works well in the non-uniform case. Furthermore, we introduce a time-restricted beam search algorithm that is able to adapt the beam size during the algorithm execution in order to achieve a desired target runtime. Apart from benchmark sets from the related literature, in which the distribution of letters is close to uniform, we introduce three new benchmark sets that differ in terms of their statistical properties. One of these benchmark sets concerns a case-study in the context of text analysis. We provide a comprehensive empirical evaluation in two distinctive settings: (1) short-time execution with fixed beam size in order to evaluate the guidance abilities of the compared search heuristics, and (2) long-time executions with fixed target duration times in order to obtain high-quality solutions. In both settings, the newly proposed approach performs comparably to state-of-the-art techniques in the context of close-to-random instances, and outperforms state-of-the-art approaches for non-uniform instances.

Keywords: Longest common subsequence problem; multi-nomial distribution; probability-based search guidance

1. Introduction

In the field of bioinformatics, strings are commonly used to model sequences such as DNA, RNA, and protein molecules or even time series. Strings represent fundamental data structures in many programming languages. Formally, a string s is a finite sequence of |s| letters over (usually) a finite alphabet Σ . A subsequence of a string s is any sequence obtained by removing arbitrary letters from s. Similarities among several strings can

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be determined by considering common subsequences, which may serve for deriving 32 relationships and possibly to destil different aspects of the of input strings, such as mutations. More specifically, one such measure of similarity can be defined as follows. 34 Given a set of *m* input strings $S = \{s_1, \ldots, s_m\}$, the *longest common subsequence* (LCS) 35 problem [1] aims at finding a subsequence of maximum length that is common for all 36 strings from the set of input strings S. The length of the LCS for two or more input strings is a widely used measure in computational biology [2], file plagiarism check, 38 data compression [3,4], text editing [5], detecting road itersections from GPS traces [6], 30 file comparison (e.g., in the Unix command diff) [7] and revision control systems such 40 as GIT. For a fixed *m*, polynomial algorithms based on dynamic programming (DP) 41 are known [8] in the literature. These dynamic programming approaches run in $O(n^m)$ 42 time, where n denotes the length of the longest input string. Unfortunately, these 43 approaches become quickly impractical when *m* and *n* get large. For an arbitrary large number of input strings, the LCS problem is \mathcal{NP} -hard [1]. In practice, heuristic 45 techniques are typically used for larger *m* and *n*. Constructive heuristics such as the Expansion algorithm and the Best-Next heuristic [9,10] appeared first in the literature to 47 tackle the LCS problem. Significantly better solutions are obtained by more advanced metaheuristic approaches. Most of these are based on *Beam Search* (BS), see e.g., [11– 49 15]. These approaches differ in various important aspects, which include the heuristic 50 guidance, the branching scheme, and the filtering mechanisms. 51

⁵²Djukanovic et al. (2019) [16] proposed a generalized BS framework for the LCS ⁵³problem with the purpose of unifying all previous BS-based approaches from the ⁵⁴literature. By respective parametrization, each of the previously introduced BS-based ⁵⁵approaches from the literature could be expressed, which also enabled a more direct ⁵⁶comparison of all of them. Moreover, a heuristic guidance that approximates the expected ⁵⁷length of an LCS on uniform random strings was proposed. This way, a new state-of-the-⁵⁸art BS variant that leads on most of the existing random and quasi-random benchmark ⁵⁹instances from the literature was obtained.

Concerning exact approaches for the LCS problem, an integer linear programming 60 model was considered in [17]. It turned out not to be competitive enough as it is was not 61 applicable to most of the commonly used benchmark instances from the literature. This 62 was primarily due to the model size – too many binary variables and a huge number of 63 constraints are needed even for small-sized problem instances. Dynamic programming 64 approaches also run out of memory already for small-to-middle sized benchmark instances or typically return only weak solutions, if any. Chen et al. (2016) [18] proposed a 66 parallel FAST_LCS search algorithm that mightingated some of the runtime weaknesses. Wang et al. (2011) in [14] proposed another parallel algorithm called QUICK-DP, which 68 is based on the dominant point approach and employs a quick divide-and-conquer technique to compute the dominant points. Li et al. (2016) in [19] suggested the TOP_MLCS 70 algorithm, which is based on a directed acyclic layered-graph model (called irredundant 71 common subsequence graph) and parallel topological sorting strategies used to filter 72 out paths representing suboptimal solutions. Another parallel and space efficient algo-73 rithm based on a graph model, called the LEVELED-DAG, was introduced by Peng and 74 Wang [20]. Recently Djukanovic et al. proposed an A^* search that is able to outperform 75 TOP_MLCS and previous exact approaches in terms of memory usage and the number 76 of instances solved to optimality. Nevertheless, the applicability of this exact A* search 77 is still limited to small-sized instances. In the same work, the A^* search served as a basis 78 for a hybrid anytime algorithm, which can be stopped at almost any time and then be 79 expected to yield a reasonable heuristic solution. In this approach, classical A^{*} search 80 iterations are intertwined with iterations of Anytime column search [21]. 81

The methods so-far proposed in the literature were primarily tested on independent random and quasi-random strings where the number or occurrences of letters in each string is similar for each letter. In fact, we are aware of just one benchmark set with different distributions (BB, see section 4), where the input strings are constructed in a 86

- ⁹⁷ practical applications this assumption of uniform or close-to-uniform distribution of
- ⁸⁸ letters does not need to hold. Some letters may occur substantially more frequently than
- others. For example, if we are concerned of finding motifs in sentences of any spoken
- ⁹⁰ language, each letter has its characteristic frequency [22]. Text in natural languages
- can be modeled by a multinomial distribution over the letters. The required level of
- ⁹² model adaptation can vary depending on the distribution assumptions such as letter
- dependence of a particular language. Also, letter frequencies in a language can differ
 depending of text types (e.g., poetry, fiction, scientific documents, business documents).
- depending of text types (e.g., poetry, fiction, scientific documents, business documents).
 For example, it is interesting that the letter 'E' is the most frequent letter in English
- (12.702%) [22] and German (17.40%) [23], but only the second most common letter in Russian [24]. Moreover, letter 'N' is very frequent in German (9.78%), but not so common
- ⁹⁸ in English (6.749%) and Russian (6.8%).
- Motivated by this considerations, we develop in the following a new BS-based algorithm which is able to more effectively tackle instances with different string distributions. The novel guidance heuristic applied at the core of this BS can be used as a credible and simplified replacement of the so far leading approximate expected length calculation. Additional advantages are that the novel heuristic is easier to implement than the approximate expected length calculation (which required a Taylor series expansion and a divide-and-conquer approach in an efficient implementation) and that there are no issues with numerical stability.
- ¹⁰⁷ The main contributions of this article are as follows.
- We propose a novel search guidance for a BS which performs competitively on the standard LCS benchmark sets known from literature and in some cases even produces new state-of-the-art results.
- We introduce two new LCS benchmark sets based on multinomial distributions,
 whose main property is that letters occur with different frequencies. The proposed
 new BS variant excels on these instances in comparison to previous solution approaches.
- A new time-restricted BS version is described. It automatically adapts the beam width over BS levels w.r.t. given time restrictions such that the overall running time of BS approximately fits a desired target time limit. A tuning of the beam width to
- achieve comparable running times among different algorithms is hereby avoided.
- In the following we introducing some commonly used notation before giving an overviewon the remainder of this article.

121 1.1. Preliminaries

By *S* we always refer to the set of *m* input strings, i.e. $S = \{s_1, \ldots, s_m\}, m \ge 1$. The 122 length of a string *s* is denoted by |s|, and its *i*-th letter, $i \in \{1, ..., |s|\}$, is referred to by 123 s[i]. Let *n* refers to the length of a longest string and n_{\min} to the length of a shortest 124 string in *S*. A continuous subsequence (substring) of string *s* that starts with the letter 125 at index *i* and ends with the letter at index *j* is denoted by s|i, j|; if i > j, this refers to 126 the empty string ε . The number of occurrences of a letter $a \in \Sigma$ in string s is denoted 127 by $|s|_a$. For a subset of the alphabet $A \subseteq \Sigma$, the number of appearances of each letter 128 from A in s is denoted by $|s|_A$. For an *m*-dimensional integer vector $\vec{\theta} \in \mathbb{N}^m$ and the set 129 of strings *S*, we define the set of suffix-strings $S[\vec{\theta}] = \{s_1[\theta_1, |s_1|], \dots, s_m[\theta_n, |s_n|\}$, which 130 induce a respective LCS subproblem. For each letter $a \in \Sigma$, the position of the first 131 occurrence of *a* in $s_i[\hat{\theta}_i, |s_i|]$ is denoted by $\hat{\theta}_{i,a}, i = 1, ..., m$. Last but not least, if a string *s* 132 is a subsequence of a given string *r*, we write $s \prec r$. 133

134 1.2. Overview

This article is organized as follow. Section 2 provides theoretical aspects concerning the calculation of the probability that a given string is a subsequence of a random string chosen from a multinomial distribution. Section 3 describes the BS framework

143 2. Theoretical Aspects of Different String Distributions

Most papers in literature are dedicated to the development and improvement of methods for finding an LCS of instances on strings that come from a uniform distribution. In our work, we propose new methods for the more general case where strings are assumed to come from a multinomial distribution $MN(p_1, ..., p_\eta)$ of strings. More precisely, for an alphabet $\Sigma = \{a_1, ..., a_\eta\}, \eta > 1$, as sample space for the letter of the strings, a multinomial distribution $MN(p_1, ..., p_\eta)$ is determined by specifying a (real) number p_i for each letter a_i such that p_i represents the probability of seeing letter a_i

and $\sum_{i=1}^{l} p_i = 1$. Note that the uniform distribution is a special case of the multinomial

distribution
$$MN(p_1, \ldots, p_\eta)$$
, with $p_1 = \ldots = p_n = \frac{1}{\eta}$.

Assuming that the selection of each letter in a string is independent, each string can be considered a random vector composed of independent random variables, resulting that its probability distribution is being completely determined by a given multinomial distribution. By a random string in this paper, we refer to a string whose letters are chosen randomly in accordance with the given multinomial distribution.

Let *r* be a given string. We now aim at determining the probability that a random string *s*, chosen from the same multinomial distribution $MN(p_1, ..., p_\eta)$ as string *r*, is a subsequence of the string *r*. We denote this probability by $P(s \prec r)$. In the next theorem, we propose a new recurrence relation to calculate this probability.

Theorem 1. *Let r be a given string and s be a random string chosen from the same multinomial distribution. Then,*

$$P(s \prec r) = \begin{cases} 1, & \text{if } |s| = 0; \\ 0, & \text{if } |s| > |r|; \\ P(s[1] = r[1]) \cdot P(s[2, |s|] \prec r[2, |r|]) + \\ P(s[1] \neq r[1]) \cdot P(s \prec r[2, |r|]), & \text{otherwise.} \end{cases}$$
(1)

Proof. It is clear by the definition of a subsequence that the empty string is a subsequence of every string and that a string cannot be a subsequence of a shorter one. Therefore, the cases |s| = 0 and |s| > |r| are trivial. In the remaining case $(1 \le |s| \le |r|)$,

$$P(s \prec r) = P(s[1] = r[1]) \cdot P(s[2, |s|] \prec r[2, |r|]) + P(s[1] \neq r[1]) \cdot P(s \prec r[2, |r|])$$

follows from the law of total probability. \Box

The probability $P(s \prec r)$ in recurrence relation (1) is dependent not only on the length of string r, but also on the letter distribution of this string. Therefore, it is hard to come up with a closed-form expression for the general case of a multinomial distribution $MN(p_1, \ldots, p_\eta)$. One way to deal with this problem is to consider some special cases of the multinomial distribution, for which closed-form expressions may be obtained.

2.1. Multinomial Distribution – Special Case 1: Uniform Distribution

The most frequently used form of the multinomial distribution considered in the literature is the uniform distribution. Since in this case every letter has the same occurrence probability, probability $P(s \prec r)$ in the recurrence relation (1) depends only on the

lengths k = |s| and l = |r| and can be simpler written as P(k, l). This case is covered by Mousavi and Tabataba in [12], where the recurrence relation (1) is reduced as follows:

$$P(k,l) = \begin{cases} 1, & \text{if } k = 0; \\ 0, & \text{if } k > l; \\ \frac{1}{\eta} \cdot P(k-1,l-1) + \frac{\eta-1}{\eta} \cdot P(k,l-1), & \text{otherwise.} \end{cases}$$
(2)

Probabilities P(k, l) can be calculated using dynamic programming as described by Mousavi and Tabataba in [12].

171 2.2. Multinomial Distribution – Special Case 2: Single Letter Exception

Let one letter $a_j \in \Sigma$ have occurrence probability $p \in (0, 1)$, $p \neq 1/\eta$ and each other letter a_i , $i \in \{1, ..., \eta\} \setminus \{j\}$ have occurrence probability $(1 - p)/(\eta - 1)$. For this multinomial distribution, recurrence relation (1) reduces to:

$$P(s \prec r) = \begin{cases} 1, & \text{if } |s| = 0; \\ 0, & \text{if } |s| > |r|; \\ q \cdot P(s[2, |s|] \prec r[2, |r|]) + (1 - q) \cdot P(s \prec r[2, |r|]), & \text{otherwise.} \end{cases}$$
(3)

where

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$$q := \begin{cases} p, & \text{if } r[1] = a_j; \\ \frac{1-p}{\eta-1}, & \text{otherwise.} \end{cases}$$

Note that, besides lengths |s| and |r|, (3) depends only on whether or not a letter in the string *r* is equal to a_i .

174 2.3. Multinomial Distribution – Special Case 3: Two Sets of Letters

We now further generalize the previous case. Let $\{\Sigma_1, \Sigma_2\}$ be a partitioning of the alphabet Σ , i.e., let $\Sigma_1, \Sigma_2 \subseteq \Sigma$ be nonempty sets such that $\Sigma = \Sigma_1 \cup \Sigma_2$ and $\Sigma_1 \cap \Sigma_2 = \emptyset$. Let us assume that every letter in Σ_1 has the same occurrence probability and also, that every letter in Σ_2 has the same occurrence probability. We define

$$p_i := \begin{cases} \frac{p}{|\Sigma_1|}, & \text{if } a_i \in \Sigma_1; \\ \frac{1-p}{\eta - |\Sigma_1|}, & \text{if } a_i \in \Sigma_2, \end{cases}$$

where $p \in (0, 1)$ is the probability mass assigned to the set Σ_1 . For this multinomial distribution, recurrence relation (1) reduces to

$$P(s \prec r) = \begin{cases} 1, & \text{if } |s| = 0; \\ 0, & \text{if } |s| > |r|; \\ q \cdot P(s[2, |s|] \prec r[2, |r|]) + (1 - q) \cdot P(s \prec r[2, |r|]), & \text{otherwise.} \end{cases}$$
(4)

where

$$q := \begin{cases} \frac{p}{|\Sigma_1|}, & \text{if } r[1] \in \Sigma_1; \\ \frac{1-p}{\eta - |\Sigma_1|}, & \text{if } r[1] \in \Sigma_2. \end{cases}$$

This probability therefore depends on whether or not a letter in *r* belongs to the set Σ_1 or not.

177 2.4. The Case of Independent Random Strings

Another approach of calculating the probability that a string *s* is a subsequence of a string *r* is based on the assumption that both *s* and *r* are random strings chosen from the same multinomial distribution and are independent as a random vectors. Using this setup, we established a recurrence relation for calculating probability $P(s \prec r)$.

$$P(s \prec r) = \begin{cases} 1, & \text{if } |s| = 0; \\ 0, & \text{if } |s| > |r|; \\ (\sum_{i=1}^{\eta} p_i^2) \cdot P(s[2, |s|] \prec r[2, |r|]) + \\ (1 - \sum_{i=1}^{\eta} p_i^2) \cdot P(s \prec r[2, |r|]), & \text{otherwise.} \end{cases}$$
(5)

Proof. The first two cases are trivial, so it remains to show the last case. Using the law of total probability, we obtain

$$P(s \prec r) = P(s[1] = r[1]) \cdot P(s[2, |s|] \prec r[2, |r|]) + P(s[1] \neq r[1]) \cdot P(s \prec r[2, |r|]).$$

Probability P(s[1] = r[1]) can be calculated with another application of the law of total probability, using the assumption that random strings *s* and *r* are mutually independent:

$$P(s[1] = r[1]) = \sum_{i=1}^{\eta} P(r[1] = a_i) \cdot P(s[1] = r[1] \mid r[1] = a_i)$$
$$= \sum_{i=1}^{\eta} P(r[1] = a_i) \cdot P(s[1] = a_i) = \sum_{i=1}^{\eta} p_i^2. \quad \Box$$

Except for the obvious dependency on the multinomial distribution $MN(p_1, ..., p_\eta)$, probability $P(s \prec r)$ is determined by the lengths of strings *s* and *r*, only. Therefore, as in the case of the uniform distribution, we can abbreviate this probability with P(k, l), where k = |s| and l = |r|. This allows us to pre-compute a probability matrix for all relevant values of *k* and *l* by means of dynamic programming.

3. Beam Search for Multinomially Distributed LCS Instances

Beam search (BS) is a well-known search heuristic widely applied to many problems 188 from various research fields, such as scheduling [25], speech recognition [26], machine 189 learning tasks [27], packing problems [28], etc. It is a reduced version of breadth-first-190 search (BFS), where instead of expanding all not-yet-expanded nodes from the same level, only up to a specific number $\beta > 0$ of nodes appearing most promising are selected 192 and considered for expansions. In this way, BS keeps the search tree polynomial in size. 193 The selection of the up to β nodes for further expansion is made according to a problem-194 specific heuristic guidance function *h*. The effectivity of the search thus substantially 195 depends on this function. More specifically, BS works as follows. First, an initial beam B 196 is set up with a root node r representing an initial state, in case of the LCS problem the 197 empty partial solution. At each major iteration, all nodes from beam B are expanded in 198 all possible ways by considering all feasible actions. The so obtained child nodes are kept 199 in the set of extensions V_{ext} . Note that for some problems efficient filtering techniques 200 can be applied to discard nodes from V_{ext} that are dominated by other nodes, i.e., nodes 201 that cannot yield better solutions. It is controlled by an internal parameter k_{filter} . This 202 (possibly filtered) set of extensions is then sorted according to the nodes' values obtained 203 from the guidance heuristic h, and the top β nodes (or less if V_{ext} is smaller) then form the 204 beam B of the next level. The whole process is repeated level-by-level until B becomes 205 empty. In general, to solve a combinatorial optimization problem, information about 206 the longest (or shortest) path from the root node to a feasible goal node is kept to finally 207 return a solution that maximizes or minimizes the problem's objective function. The 208 pseudocode of such a general BS is given in Algorithm 1. 209

Algorithm 1 Beam Search.

1: **Input**: A problem instance, heuristic h, $\beta > 0$, k_{filter} 2: Output: A heuristic solution 3: $B \leftarrow \{r\}$ while $B \neq \emptyset$ do 4: 5: $V_{\text{ext}} \leftarrow \emptyset$ for $v \in B$ do 6: if *v* is a goal node then 7: if node represents new best solution, store it 8: 9: else 10: add not-yet-visited child nodes of v to V_{ext} end if 11: end for 12: if $k_{\text{filter}} \ge 0$ then 13: $V_{\text{ext}} \leftarrow \texttt{Filter}(V_{\text{ext}}, k_{\text{filter}}) / / \text{ optionally filter dominated nodes}$ 14: 15: end if $B \leftarrow \texttt{SelectBetaBest}(V_{ext}, \beta, h)$ 16: 17: end while 18: return best found solution

210 3.1. State Graph for the LCS Problem

The state graph for the LCS problem that is used by all BS variants is already well known in the literature, see for example [16,29]. It is defined as a directed acyclic graph G = (V, A), where a node $v = (\vec{\theta}^v, l^v) \in V$ represents the set of partial solutions which

1. have the same length l^v ;

²¹⁵ 2. induce the same subproblem denoted by $S[\vec{\theta}^v]$ w.r.t. the position vector $\vec{\theta}^v$.

We say that a partial solution *s* induces a subproblem $S[\vec{\theta}^v]$ iff $s_i[1, \vec{\theta}^v_i - 1]$ is the smallest prefix of s_i among all prefixes that has *s* as a subsequence.

An arc $a = (v_1, v_2) \in A$ exists between two nodes $v_1 \neq v_2 \in V$ and carries label $\ell(a) \in \Sigma$, iff

- 220 1. $l^{v_2} = l^{v_1} + 1;$
- 221 2. the partial solution that induces v_2 is obtained by appending $\ell(a)$ to the partial solution inducing v_1 .

The root node r = ((1, ..., 1), 0) of *G* refers to the original LCS problem on input string set *S* and can be said to be induced by the empty partial solution ε .

For deriving the successor nodes of a node $v \in V$, we first determine the subset $\Sigma_v \subseteq \Sigma$ of letter that feasibly extend the partial solutions represented by v. The candidates for letter $a \in \Sigma_v$ are therefore all letter $a \in \Sigma$ that appear at least once in each string in the subproblem given by strings $S[\vec{\theta}^v]$. This set Σ_v may be reduced by determining and discarding dominated letters. We say that letter $a \in \Sigma_v$ dominates letter $b \in \Sigma_v$ iff

$$\vec{\theta}_{i,a}^{v} \le \vec{\theta}_{i,b}^{v} \quad \forall i \in \{1, \dots, m\}.$$
(6)

Dominated letters can be safely omitted since they lead to suboptimal solutions. Let 225 $\Sigma_v^{nd} \subseteq \Sigma_v$ be the set of feasible and non-dominated letters. For each letter $a \in \Sigma_v^{nd}$, graph 226 *G* contains a successor node $v' = (\vec{\theta}^{v'}, l^v + 1)$ of v, where $\vec{\theta}^{v'}_i = \vec{\theta}^{v'}_{i,a} + 1, i \in \{1, \dots, m\}$ 227 (remember that $\vec{\theta}_{i,a}^{v'}$ denotes the position of the first appearance of letter *a* in string *s*_i 228 from position $\vec{\theta}_i^{v'}$ onward). A node v that has no successor node, i.e., when $\Sigma_v^{nd} = \emptyset$, is 229 called a *non-extensible* node, or *goal* node. Among all goal nodes v we are looking for 230 one representing a longest solution string, i.e., a goal node with largest l^v . Note that any 231 path from the root node r to any node in $v \in V$ represents the feasible partial solution 232 obtained by collecting and concatenating the labels of the traversed arcs. Thus, it is not 233 necessary to store actual partial solutions s in the nodes. In the graph G, any path from 234



Figure 1. State graph for the LCS problem instance on strings $\{s_1 = bcaacbdba, s_2 = cbccadcbbd, s_3 = bbccabcdbba\}$ and alphabet $\Sigma = \{a, b, c, d\}$). Light-gray nodes are non-extensible goal nodes. The longest path in this state graph is shown in blue, leads from the root to node ((1, 10, 11), 6) and corresponds to the solution s = bcacbb, having length six.

²³⁵ root *r* to a non-extensible node represents a common, non-extensible subsequence of ²³⁶ *S*. Any longest path from *r* to a goal node represents an optimal solution to problem ²³⁷ instance *S*. As an example for a full state graph of an instance, see Figure 1.

Still we have to explain the filtering of dominated nodes from the set V_{ext} , i.e., 238 procedure Filter in Algorithm 1. We adopt the efficient restricted filtering proposed 239 in [13], which is parameterized by a filter size $k_{\text{filter}} > 0$. The idea is to select only 240 the (up to) k_{filter} best nodes from V_{ext} and to check the dominance relation (6) for this 241 subset of nodes in combination with all other nodes in V_{ext} . If the relation is positively 242 evaluated, the dominated node is removed from V_{ext} . Note that parameter settings 243 $k_{\text{filter}} = 0$ and $k_{\text{filter}} = |V_{\text{ext}}|$ represent the two extreme cases of no filtering and full 244 filtering, respectively. A filter size of $0 < k_{\text{filter}} < |V_{\text{ext}}|$ may be meaningful as full 245 filtering may be to costly in terms of running time for larger beam widths. 246

247 3.2. Novel Heuristic Guidance

We now present a new heuristic for evaluating nodes in the BS in order to rank them and to select the beam of the next level. This heuristic, called GMPSUM, in particular aims at unbalanced instances and is a convex combination of the following two scores.

- The GM score is based on the geometric mean and geometric standard deviation of
 the letters' occurrences across all input strings of the respective subproblem. It is
 calculated on a per letter basis aggregated into a single numeric value;
- The PSUM score is based on the previously introduced probability matrix P(k, l) for the arbitrary unbalanced multinomial distribution case, see recurrence relation (5),
- or in the special cases, any of recurrence relations (3)–(4) might be used instead.
- More specifically, for a given node v, the GM score is calculated as

$$GM(v) = GM(S[\vec{\theta}^v]) = \sum_{a \in \Sigma} \frac{\mu_g(C_a(S[\vec{\theta}^v]))}{\sigma_g(C_a(S[\vec{\theta}^v]))} \cdot \frac{\min_{i=1,\dots,m} C_a(S[\vec{\theta}^v])_i}{UB_1(v)}$$
(7)

where

$$C_a(S[\vec{\theta}^v]) = (s_1[\vec{\theta}^v_1|s_1|]_a, \dots, s_m[\vec{\theta}^v_m|s_m|]_a)$$

is the vector indicating for each remaining string of the respective subproblem the number of occurrences of letter $a \in \Sigma$, while $\mu_g(\cdot)$ and $\sigma_g(\cdot)$ denote the geometric mean and geometric standard deviation, respectively, which are calculated for $\vec{x} = (x_1, \ldots, x_m) \in \mathbb{R}^m$ by

$$\mu_g(\vec{x}) = \sqrt[m]{x_1 \cdot \ldots \cdot x_m},$$

$$\int_{\sigma_g(\vec{x})} \frac{\sum_{i=1}^m \left(\ln \frac{x_i}{\mu_g(\vec{x})} \right)^2}{m}$$

Function $UB_1(v)$ in expression (7) is the known upper bound on the length of an LCS for the subproblem represented by node v from [30] and calculated as

$$UB_1(v) = \sum_{a \in \Sigma} \min_{i=1,\dots,m} C_a(S[\vec{\theta}^v])_i.$$

Overall, the GM score is thus a weighted average of the adjusted geometric means $(\mu_g(\cdot)/\sigma_g(\cdot))$ of the number of letter occurrences, and the weight of each letter is determined by normalizing the minimal number of the letter occurrences across all strings with the sum of minimal number occurrences across all letters. The motivation behind this calculation is three-fold:

²⁶³ 1. Letters with higher average numbers of occurrences across the strings will increase

the chance of finding a longer common subsequence (composed of these letters).

265 2. Higher deviations around the mean naturally reduce this chance.

²⁶⁶ 3. The minimal numbers of occurrences of a letter across all input strings is an upper

bound on the length of common subsequences that can be formed by this singleletter. Therefore, by normalizing it with the sum of all minimal letter occurrences,

an impact of each letter in the overall summation is quantified.

The GM score is relevant if its underlying sampling geometric mean and standard deviation are based on a sample of sufficient size. In all our experiments, the minimal number of input strings is therefore ten. Working on samples of smaller sizes would make the GM score likely not that useful.

In addition to the GM score, we consider the PSUM score that is calculated by

$$PSUM(v) = PSUM(S[\vec{\theta}^v]) = \sum_{k=1}^{l_{max}(v)} \prod_{i=1}^{m} P(k, |s_i| - \vec{\theta}_i^v + 1)$$
(8)

where

$$l_{\max}(v) = \min_{i=1,\dots,m} (|s_i| - \vec{\theta}_i^v + 1)$$

Unlike the GM score that considers mostly general aspects of an underlying prob-274 ability distribution, PSUM better captures more specific relations among input strings. 275 It represents the sum of probabilities that a string of length k will be a common subse-276 quence for all remaining input strings relevant for further extensions. Index k goes from 277 one to $l_{\max}(v)$, i.e., the length of the shortest possible non-empty subsequence up to the 278 length of the longest possible one, which corresponds to the size of the shortest input 279 string residual. The motivation behind using a simple (non-weighted) summation across 280 all potential subsequence lengths is three-fold: 281

- ²⁸² 1. It is not known in advance the exact length of the resulting subsequence. Note that ²⁸³ in the case of the HP heuristic proposed in [12], the authors heuristically determine ²⁸⁴ an appropriate value of k for each level in the BS.
- 285 2. The summation across all k provides insight on the overall potential of node v
- approximating the integral on the respective continuous function. Note that it
- is not required for this measure to have an interpretation in absolute terms since
- throughout the BS it is used strictly to compare different alternative extensions on
- the same level of the BS tree.
- $_{200}$ 3. A more sophisticated approach that assigns different weights to the different k
- values would impose the challenge of deciding these specific weights. This would
- bring us back to the difficult task of an expected length prediction which would
- be particularly hard when considering now the arbitrary multinomial distribution.

Finally, the total GMPSUM score is calculated by the linear combination

$$GMPSUM(v,\lambda) = \lambda \cdot GM(v) + (1-\lambda) \cdot PSUM(v),$$
(9)

where $\lambda \in [0,1]$ is a strategy parameter. Based on an empirical study with different benchmark instances and values for parameter λ , we came up with the following rules of thumb to select λ .

- ²⁰⁷ 1. Since GM and PSUM have complementary focus, i.e., they capture and award (or ²⁰⁸ implicitly penalize) different aspects of the extension potential, their combined ²⁰⁹ usage is indeed meaningful in most cases, i.e., $0 < \lambda < 1$.
- GM tends to be a better indicator when instances are more regular, i.e., when each
 input string better fits the overall string distribution.
- PSUM tends to perform better when instances are less regular, i.e., when input
 strings are more dispersed around the overall string distribution.

Regarding the computational costs of the GMPSUM calculation, the GM score calcu-304 lation requires $O(|\Sigma| \cdot m)$ time. This can be concluded from (7) where the most expensive part is the iteration through all letters from Σ and finding the minimal number of the 306 letter occurrences across all *m* input strings ($\mu_g(\cdot)$ and $\sigma_g(\cdot)$ have the same time complex-307 ity). Note that the number of occurrences of each letter across all possible suffixes of all 308 *m* input strings positions is calculated in advance, before starting the beam search, and 309 stored in an appropriate three-dimensional array, see [29]. The worst-case computational 310 complexity of this step is $O(|\Sigma| \cdot m \cdot n_{\max})$. This is because the number of occurrences of 311 a given letter across all positions inside the given input string can be determined in a 312 single linear pass. Since this is done only at the start and the expected number of GM 313 calls is much higher than n_{max} , this up-front calculation can be neglected in the overall 314 computational complexity The PSUM score given by (8) takes $O(n_{min} \cdot m)$ time to be 315 calculated due to a definition of $l_{max}(\cdot)$. Similarly as in GM, the calculation of matrix P is 316 performed in pre-processing – its computational complexity corresponds to the number 317 of entries, i.e., $O(n_{max} \cdot n_{max})$, see (5). 318

Finally, the total computational complexity of GMPSUM can be concluded to be 319 $O((|\Sigma| + n_{min}) \cdot m)$. The total computational complexity of the beam search is therefore 320 a product of the number of calls of GMPSUM $O(n_{min} \cdot \beta \cdot |\Sigma|)$ and the time complexity of 321 GMPSUM. Note that the number of GMPSUM calls equals the number of nodes created 322 within a BS run. Since the LCS length, i.e. the number of BS levels, is unknown, we use 323 here n_{min} as upper bound. In overall, the BS guided by GMPSUM runs in $O(n_{min} \cdot \beta \cdot \beta)$ 324 $|\Sigma| \cdot m \cdot (|\Sigma| + n_{min}))$ time if no filtering is performed. In case of filtering, at each level of 325 the BS, $O(\beta \cdot k_{\text{filter}} \cdot m)$ time is required, which gives $O(n_{\min} \cdot \beta \cdot k_{\text{filter}} \cdot m)$ total time for 326 executing the filtering within the BS. According to this, the BS guided by GMPSUM and 327 utilizing (restricted) filtering requires $O(n_{\min} \cdot \beta \cdot m \cdot (k_{\text{filter}} + |\Sigma|^2 + |\Sigma| \cdot n_{\min}))$ time. 328

329 3.3. A Time-Restricted BS

In this section we extend the basic BS from Algorithm 1 to a time-restricted beam search (TRBS). This BS variant is motivated by the desire to compare different algorithms with the same time-limit. The core idea we apply is to dynamically adapt the beam width in dependence of the progress over the levels.

Similarly to the standard BS from Algorithm 1, TRBS is parameterized with the problem instance to solve, the guidance heuristic *h*, and the filtering parameter k_{filter} . Moreover, what was previously the constant beam width β now becomes only the initial value. The goal is to achieve a runtime that comes close to a target time t_{max} now additionally specified as input. At the end of each major iteration, i.e., level, if $t_{\text{max}} < +\infty$, i.e., the time limit is actually enabled, the beam width for the next level is determined as follows.

1. Let t_{iter} be the time required for the current iteration.

2. We estimate the remaining number of major iterations (levels) by taking the maximum of lower bounds for the subinstances induced by the nodes in V_{ext} . More specifically,

$$LB_{\max}(V_{\text{ext}}) = \max_{(v,a)\in V_{\text{ext}}\times\Sigma} \min_{i=1,\dots,m} C_a(S[\vec{\theta}^v])_i.$$
(10)

Thus, for each node $v \in V_{\text{ext}}$ and each letter *a* we consider the minimal number of occurrences of the letter across all string suffixes $S[\vec{\theta}^v]$ and select the one that is maximal. In other words, this LCS lower bound is based on considering all common subsequences in which a single letter is repeated as often as possible. In the literature, this procedure is known under the name *Long-run* [31] and provides a $|\Sigma|$ -approximation.

348 3. Let t_{rem} be the actual time still remaining in order to finish at time t_{max} .

4. Let $\overline{t_{\text{rem}}} = t_{\text{iter}} \cdot LB_{\text{max}}(V_{\text{ext}})$ be the expected remaining time when we would continue with the current beam width and the time spent at each level would stay the same as it was measured for the current level.

5. Depending on the discrepancy of the actual and expected remaining time, we possibly increase or decrease the beam width for the next level:

$$\beta \leftarrow \begin{cases} \lfloor \beta \cdot 1.2 \rfloor & \text{if } t_{\text{rem}} / \overline{t_{\text{rem}}} > 1.1; \\ \min(100, \lfloor \beta / 1.2 \rfloor) & \text{if } t_{\text{rem}} / \overline{t_{\text{rem}}} < 0.9; \\ \beta & \text{otherwise.} \end{cases}$$
(11)

In this adaptive scheme, the thresholds for the discrepancy to increase or decrease 352 the beam width, as well as the factor by which the beam width is modified, were 353 determined empirically. Note that there might be better estimates of the LCS length than 354 LB_{max} , however, this estimate is inexpensive to obtain, and even if it underestimate or 355 overestimate the LCS length in early phases, gradually, it converges toward the actual 356 LCS length as the algorithm progresses. This allows TRBS to smoothly adapt its expected 357 remaining runtime to the desired one. Note that we only adapt the beam width and not 358 set it completely anew based on the runtime measured for the current level in order to 359 avoid too erratic changes of the beam width in case of a larger variance of the level's 360 runtimes. Based on preliminary experiments, we conclude that the proposed approach in 361 general works well in achieving the desired time limit, while changing β not dramatically up and down in the course of a whole run. But of course, how close the time limit is met, 363 depends on the actual length of the LCS. For small solutions strings, the approach has 364 less opportunities to adjust β and then tends to overestimate the remaining time, thus, 365 utilizing less time than desired.

367 4. Experimental Results

In this section we evaluate our algorithms and compare them with the state-of-theart algorithms from the literature. The proposed algorithms are implemented in C# and executed on machines with Intel i9-9900KF CPUs with @ 3.6GHz and 64 Gb of RAM
under Microsoft Windows 10 Pro OS. Each experiment was performed in single-threaded
mode. We have conducted two types of experiments:

- Short runs: these are limited-time scenarios—that is, BS configurations with β = 600 are used—executed in order to evaluate the quality of the guidance of each of the heuristics towards promising regions of the search space.
- Long runs: these are fixed-duration scenarios (900 seconds) in which we compare
- the time-restricted BS guided by the GMPSUM heuristic with the state-of-the-art
- results from the literature. The purpose of these experiments is the identification ofnew state-of-the-art solutions, if any.
- 380 4.1. Benchmark sets
- All relevant benchmark sets from the literature were considered in our experiments:
- Benchmark sets RAT, VIRUS and RANDOM, each one consisting of 20 single instances, are well known from the related literature [32]. The first two sets are biologically motivated, originating from the NCBI database. In the case of the third set, instances were randomly generated. The input strings in these sets are 600 characters long. Moreover, they contain instances based on alphabets of size 4 and 20.
- Benchmark set ES, introduced in [33], consists of randomly distributed input strings
 whose length varies from 1000 to 5000, while alphabet sizes range from 2 to 100.
 This set consists of 12 groups of instances.
- Benchmark set BB, introduced in [34], is different to the others, because the input strings of each instance are generated in a way that there is a high similarity between them. For this purpose, first, a randomly generated base string was generated. Second, all input strings were generated based on the base string by probabilistically introducing small mutations such as delete/update operations of each letter. This set consists of eight groups (each one containing 10 single instances).
- Benchmark set BACTERIA, introduced in [35], is a real-world benchmark set used
 in the context of the constrained longest common subsequence problem. We make
 use of these instances by simply ignoring all pattern strings (constraints). This set
 consists of 35 single instances.
- Finally, we introduce two new sets of instances:
- The input strings of the instances of benchmark set POLY are generated in a way such that the number of occurrences of each letter in each input string are determined by a multinomial distribution with known probabilities $p_1, \ldots, p_\eta > 0$, such that $\sum_i p_i = 1$; see [36] for how to sample such distributions. More specif-
- ically, we used the multinominal distribution with $p_i = \frac{1}{2^i}, i = 1, ..., |\Sigma| 1$
 - and $p_{\eta} = 1 \sum_{i=1}^{|\Sigma|-1} \frac{1}{2^i}$ for generating the input strings. The number of the

408occurrences of different letters is very much unbalanced in the obtained input409strings. This set consists 10 instances for each combination of the input string410length $n \in \{100, 500, 1000\}$ and the number of input strings $m \in \{10, 50\}$,411which makes a total of 60 problem instances.

Benchmark set ABSTRACT, which will be introduced in Section 5, is a real-world
 benchmark set whose input strings are characterized by close-to-polynomial
 distributions of the different letters. The input strings originate from abstracts
 of scientific papers written in English.

416 4.2. Considered algorithms

407

All considered algorithms make use of the state-of-the-art BS component. In order to test the quality of the newly proposed GMPSUM heuristic for the evaluation of the partial solutions at each step of BS, we compare to the other heuristic functions that were

| Benchmark set | | Ι | BS-EX | | BS-Pow | | | E | BS-HP | | BS-GMPSUM | | |
|---------------|-----|--------|-------|----------------|--------|-----|----------------|--------|-------|----------------|-----------|-----|----------------|
| Name | # | s | #b. | \overline{t} | s | #b. | \overline{t} | s | #b. | \overline{t} | s | #b. | \overline{t} |
| Random | 20 | 108.9 | 16 | 2.7 | 108.1 | 6 | 1.4 | 108.15 | 6 | 1.1 | 108.95 | 16 | 6.7 |
| Rat | 20 | 102.8 | 13 | 2.6 | 101.6 | 4 | 1.2 | 100.95 | 2 | 0.9 | 102.9 | 14 | 5.5 |
| VIRUS | 20 | 115.85 | 11 | 2.6 | 114.1 | 6 | 1.5 | 115.35 | 6 | 1.1 | 116.3 | 17 | 7.4 |
| Вв | 8 | 407.13 | 2 | 8.5 | 430.13 | 6 | 6.3 | 422.94 | 4 | 3.6 | 424.86 | 5 | 26.9 |
| Es | 12 | 242.18 | 8 | 23 | 241.51 | 0 | 15.6 | 241.14 | 0 | 13.8 | 242.12 | 4 | 118.8 |
| Poly | 6 | 232.67 | 0 | 5.6 | 232.27 | 0 | 3.3 | 231.53 | 0 | 2.7 | 233.02 | 6 | 6.7 |
| Bacteria | 35 | 809.97 | 12 | 14.7 | 814.86 | 15 | 8.2 | 830.69 | 22 | 7.9 | 832.09 | 18 | 29.3 |
| All | 121 | | 62 | | | 37 | | | 40 | | | 80 | |

Table 1: Short-run results summary.

⁴²⁰ proposed for this purpose in the literature: Ex [16], POW [13], and HP [12]. The four ⁴²¹ resulting BS variants are labeled BS-GMPSUM, BS-Ex, BS-POW, and BS-HP, respectively. ⁴²² These four BS variants were applied with the same parameter settings ($\beta = 600$ and ⁴²³ $k_{\text{filter}} = 100$) in the short-run scenario in order to ensure that all of them use the same ⁴²⁴ amount of resources.

In the long-run scenario, we tested the proposed time-restricted BS (TRBS) guided by the novel GMPSUM heuristic, which is henceforth labeled as TRBS-GMPSUM. Our algorithm was compared to the current state-of-the-art approach from the literature: A*+ACS [29]. These two algorithms were compared in the following way:

 Concerning A*+ACS, the results for benchmark sets RANDOM, VIRUS, RAT, ES and BB were taken from the original paper [29]. They were obtained with a computation time limit of 900 seconds per run. For the new benchmark sets—that is, POLY and BACTERIA—we applied the original implementation of A*+ACS with a time limit of 900 seconds on the above-mentioned machine.

• TRBS-GMPSUM was applied with a computation time limit of 600 seconds per run to all instances of benchmark sets RANDOM, VIRUS, RAT, ES and BB. Note that we reduced the computation time limit used in [29] by 50% because the CPU of our computer is faster than the one used in [29]. In contrast, the time limit for the new instances was set to 900 seconds. Regarding restricted-filtering, the same setting ($k_{\text{filter}} = 100$) as for the short-run experiments was used.

Regarding GMPSUM parameter λ , we performed short-run evaluations across a discrete set of possible values: $\lambda \in \{0, 0.25, 0.5, 0.75, 1\}$. The conclusion was that the best performing values are $\lambda = 0$ for BB, $\lambda = 0.5$ for VIRUS and BACTERIA, $\lambda = 0.75$ for RANDOM, RAT and POLY, and $\lambda = 1$ for ES. The same settings for λ were used in the context of the long-run experiments.

445 4.3. Summary of the results

Before studying the results for each benchmark set in detail, we present a summary 446 of the results in order to provide the reader with the broad picture of the comparison. 447 More specifically, the results of the short-run scenarios are summarized in Table 1, while 448 the ones for the long-run scenarios are given in Table 2. Table 1 displays the results 449 in a way such that each line corresponds to a single benchmark set. The meaning of 450 columns is as follows: the first column contains the name of the benchmark set, while 451 the second column provides the number of instances—respectively, instance groups—in 452 the set. Then there are four blocks of columns, one for each considered BS variant. The first column of each block shows the obtained average solution quality (|s|) over all 454 instances of the benchmark set. The second column indicates the number of instances-455 respectively, instance groups—for which the respective BS variant achieves the best 456 result (#b.). Finally, the third column provides the average running time (t) in seconds 457 over all instances of the considered benchmark set. 458

- 459
- ⁴⁶⁰ The following conclusions can be drawn:

| Benchman | 'k set | A*+A | CS | TRBS-GMPSUM 600s/900s | | | |
|----------|--------|--------|-----|-----------------------|-----|--|--|
| Name | # | s | #b. | s | #b. | | |
| Random | 20 | 109.9 | 20 | 109.7 | 16 | | |
| Rat | 20 | 104.3 | 17 | 104.4 | 18 | | |
| VIRUS | 20 | 117.0 | 14 | 117.3 | 19 | | |
| Вв | 8 | 412.81 | 3 | 430.28 | 6 | | |
| Es | 12 | 243.82 | 9 | 243.73 | 4 | | |
| Poly | 6 | 234.13 | 4 | 234.23 | 5 | | |
| Bacteria | 35 | 829.26 | 10 | 862.63 | 33 | | |
| All | 121 | | 77 | | 101 | | |

Table 2: Long-run results summary.

Concerning the fully random benchmark sets RANDOM and ES in which input
 strings were generated uniformly at random and are independent, it was already
 well-known before that the heuristic guidance EX performs strongly. Nevertheless,
 it can be seen that BS-GMPSUM performs nearly as well as BS-EX, and clearly better
 than the remaining two BS variants.

In the case of the quasi-random instances of benchmark sets VIRUS and RAT, BS-GMPSUM starts to show its strength by delivering the best solution qualities in 31
 out of 40 cases. The second best variant is BS-EX, which is still performing very
 well, and is able to achieve the best solution qualities in 24 out of 40 cases.

For the special BB benchmark set, in which input strings were generated in order
 to be similar to each other, GMPSUM turns out to perform comparably to the best
 variant BS-POW.

Concerning the real-world benchmark set BACTERIA, BS-GMPSUM is able to deliver
 the best results for 18 out of 35 groups, which is slightly inferior to the BS-HP variant
 with 22 best-performances, and superior to variants BS-EX (12 cases) and BS-POW
 (15 cases). Concerning the average solution quality obtained for this benchmark set,
 BS-GMPSUM is able to deliver the best one among all considered approaches.

Concerning the multinominal non-uniformly distributed benchmark set POLY, BS-GMPSUM clearly outperforms all other considered BS variants. In fact, BS-GMPSUM
 is able to find the best solutions for all 6 instance groups. Moreover, it beats the other approaches in terms of the average solution quality.

Overall, BS-GMPSUM finds the best solutions in 80 (out of 121) instances or instance groups, respectively. The second best variant is BS-EX, which is able to achieve best-performance in 62 cases. In contrast, BS-Hp and BS-POW are clearly inferior to the other two approaches. We conclude that BS-GMPSUM performs well in the context of different letter distributions in the input strings, and it is worth to try this variant first when nothing is known about the distribution in the considered instance set.

• Overall the running times of all four BS variants are comparable. The fastest one is BS-HP, while BS-GMPSUM requires somewhat more time compared to the others

since it makes use of a heuristic function that combines two functions.

Table 2 provides a summary concerning the long-run scenarios, i.e., it compares the current state-of-the-art algorithm A*+ACS with TRBS-GMPSUM. As the benchmark instances are the same as in the short-run scenarios, the first two table columns are the same as in Table 2. Then there are two blocks of columns, presenting the results of A*+ACS and TRBS-GMPSUM in terms of the average solution quality over all instances of the respective benchmark set ($\overline{|s|}$), and the number of instances (or instance groups) for which the respective algorithm archived the best result (#b.).

⁴⁹⁹ The following can be concluded based on the results obtained for the long-run scenarios:

• Concerning RANDOM and ES, A*+ACS is—as expected—slightly better than TRBS-GMPSUM in terms of the number of best results achieved. However, when comparing the average performance, there is hardly any difference between the two

- approaches: 109.9 vs. 109.7 for the RANDOM benchmark set, and 243.82 vs. 243.73
 for the ES benchmark set.
- In the context of benchmark sets RAT and VIRUS, TRBS-GMPSUM improves over
 the state-of-the-art results by a narrow margin. This holds both for the number of
 best results achieved and for the average algorithm performance.
- Concerning benchmark set BB, TRBS-GMPSUM significantly outperforms A*+ACS.
 In six out of eight groups it delivers the best average solution quality, while A*+ACS
 does so only for three cases.
- The same holds for the real-world benchmark set BACTERIA, that is, TRBS-GMPSUM achieves the best results for 33 out of 35 instances, in contrast to only 10 instances in the case of A*+ACS. Moreover, the average solution quality obtained is much better for TRBS-GMPSUM, namely 862.63 vs. 829.26.
- Finally, the performances of both approaches for benchmark set POLY are very much comparable.
- Overall, we can conclude that TRBS-GMPSUM is able to deliver the best results in
 101 out of 121 cases, while A*+ACS does so only in 77 cases. This is because TRBS GMPSUM provides a consistent solution quality across instances characterized by
- various kinds of letter distributions. It can therefore be stated that TRBS-GMPSUM
- is a new state-of-the-art algorithm for the LCS problem.

In summary, for the 32 random instances—respectively, instance groups—from the 522 literature (sets RANDOM and Es) A^* +ACS performs quite strong due to the presumed 523 randomness of the instances. However, the new TRBS-GMPSUM approach is not far 524 behind. A weak point of A*+ACS becomes obvious when instances are not generated 525 uniformly at random. In the 40 cases with quasi-random input strings (sets RAT and 526 VIRUS) TRBS-GMPSUM performs best in 37 cases, while A*+ACS does so in 31 case. When input strings are similar to each other—see the 8 instance groups of set BB— 528 A*+ACS performs weak compared to TRBS-GMPSUM. This tendency is reinforced in 529 the context of the instances of set POLY (6 instance groups) for which TRBS-GMPSUM 530 clearly outperforms A*+ACS in all cases. The same holds for the real-world benchmark 531 set BACTERIA. The overall conclusion yields that TRBS-GMPSUM works very well on a 532 wide range of different instances. Moreover, concerning the instances from the previous 533 literature (80 instances/groups) our TRBS-GMPSUM approach is able to obtain new 534 state-of-the-art results in 13 cases. This will be shown in the next section. 535

536 4.4. New state-of-the-art results for instances from the literature

⁵³⁷ Due to space restrictions we provide the complete set of results, for each problem ⁵³⁸ instance, in a document on supplementary material (https://github.com/milanagrbic/ ⁵³⁹ LCSonNuD/LCSonNuD_Supplementary_file.pdf). Instead of providing all results we ⁵⁴⁰ decided to focus on those cases in which new state-of-the-art results are achieved. These ⁵⁴¹ cases are presented in Table 3 (short-run scenario) and Table 4 (long-run scenario).

| Table 3: New best results for the instances from literature | e in | the | short-run | scenario. |
|-------------------------------------------------------------|------|-----|-----------|-----------|
|-------------------------------------------------------------|------|-----|-----------|-----------|

| Instar | nce (gro | up) | | Literatu | are Best $ s $ | BS- | Ex | BS-P | OW | BS-1 | Hp | BS-GM | 4PSUM |
|---------------|------------|-----|------|----------|----------------|--------|------|--------|------|--------|------|--------|-------|
| Benchmark set | $ \Sigma $ | т | п | s | Alg. | s | t | s | t | s | t | s | t |
| RAT | 4 | 20 | 600 | 172 | BS-Ex | 172 | 2.3 | 170 | 0.9 | 168 | 0.5 | 173 | 2.5 |
| Rat | 4 | 40 | 600 | 152 | BS-EX | 152 | 1.8 | 150 | 1 | 145 | 0.5 | 154 | 3.4 |
| Rat | 4 | 200 | 600 | 123 | BS-EX | 123 | 2.7 | 123 | 0.7 | 122 | 0.8 | 124 | 9.9 |
| Rat | 20 | 20 | 600 | 54 | BS-EX | 54 | 2.5 | 54 | 1.7 | 54 | 1.2 | 55 | 3.5 |
| Rat | 20 | 40 | 600 | 49 | BS-EX | 49 | 3 | 49 | 1.1 | 49 | 1.2 | 50 | 4.6 |
| VIRUS | 4 | 25 | 600 | 194 | BS-Ex | 194 | 2.2 | 192 | 1.2 | 194 | 0.7 | 195 | 3.1 |
| VIRUS | 4 | 40 | 600 | 170 | BS-EX | 170 | 2.2 | 170 | 1.2 | 169 | 0.9 | 172 | 3.8 |
| VIRUS | 4 | 60 | 600 | 166 | BS-EX | 166 | 2.4 | 165 | 0.8 | 166 | 0.7 | 168 | 5.1 |
| VIRUS | 4 | 100 | 600 | 158 | BS-EX | 158 | 2.3 | 155 | 1.2 | 158 | 0.9 | 160 | 7.8 |
| VIRUS | 4 | 150 | 600 | 156 | BS-EX | 156 | 2.4 | 147 | 1.2 | 156 | 0.7 | 157 | 11 |
| VIRUS | 4 | 200 | 600 | 155 | BS-HP | 154 | 2.6 | 148 | 1.4 | 155 | 1.2 | 156 | 14.8 |
| VIRUS | 20 | 40 | 600 | 50 | BS-Ex | 50 | 2.9 | 49 | 1.9 | 50 | 0.9 | 51 | 5.5 |
| BB | 2 | 100 | 1000 | 560.7 | BS-Pow | 536.6 | 6.1 | 560.7 | 5.7 | 558.9 | 1.9 | 560.8 | 23.7 |
| ES | 2 | 10 | 1000 | 615.06 | BS-Ex | 615.06 | 4.4 | 614.2 | 1.4 | 612.5 | 0.9 | 615.1 | 5.1 |
| ES | 10 | 50 | 1000 | 136.32 | BS-EX | 136.32 | 3.9 | 135.52 | 2.1 | 135.22 | 1.4 | 136.34 | 9.9 |
| ES | 25 | 10 | 2500 | 235.22 | BS-Pow | 231.12 | 19.1 | 235.22 | 10.5 | 233.34 | 8 | 235.58 | 29 |
| ES | 100 | 10 | 5000 | 144.9 | BS-Pow | 144.18 | 91.9 | 144.9 | 75.9 | 143.62 | 71.6 | 145.1 | 185.4 |

| Instan | ce (gro | up) | | Lite | rature best $ s $ | A*+ACS | TRBS-GMPSUM |
|---------------|------------|-----|------|-------|-------------------|--------|-------------|
| Benchmark set | $ \Sigma $ | т | п | s | Alg. | s | S |
| Rat | 4 | 20 | 600 | 174 | A*+ACS | 174 | 175 |
| Rat | 4 | 40 | 600 | 154 | A*+ACS | 154 | 156 |
| Rat | 20 | 25 | 600 | 52 | A*+ACS | 52 | 53 |
| VIRUS | 4 | 10 | 600 | 228 | A*+ACS | 228 | 229 |
| VIRUS | 4 | 15 | 600 | 206 | A*+ACS | 206 | 207 |
| VIRUS | 4 | 60 | 600 | 168 | A*+ACS | 168 | 169 |
| VIRUS | 4 | 80 | 600 | 163 | A*+ACS | 163 | 164 |
| VIRUS | 4 | 100 | 600 | 160 | A*+ACS | 160 | 162 |
| VIRUS | 4 | 150 | 600 | 157 | A*+ACS | 157 | 158 |
| BB | 2 | 100 | 1000 | 563.6 | APS | 547.1 | 571.1 |
| BB | 4 | 100 | 1000 | 390.2 | APS | 344.3 | 391.8 |
| ES | 2 | 10 | 1000 | 618.9 | A*+ACS | 618.9 | 619.1 |
| ES | 10 | 50 | 1000 | 137.5 | A*+ACS | 137.5 | 137.6 |
| ES | 25 | 10 | 2500 | 236.6 | A*+ACS-DIST | 235 | 238 |

Table 4: New best results for the instances from literature in the long-run scenario.

The tables reporting on the new state-of-the-art results are organized as follows. 542 The first column contains the name of the corresponding benchmark set, while the 543 following three columns identify the respective instance (in the case of RAT and VIRUS), 544 respectively the instance group (in the case of BB and ES). Afterwards, there are two 545 columns that provide the best result known from the literature. The first of these columns provides the result, and the second column indicates the algorithm (together with the 547 reference) that was the first one to achieve this result. Next, the tables provide the 548 results of BS-EX, BS-POW, BS-HP and BS-GMPSUM in the case of the short-run scenario, 549 respectively the results of A*+ACS and TRBS-GMPSUM in the case of the long-run scenario. Note that computation times are only given for the short-run scenario, because 551 time served as a limit in the long-run scenario. 552

Concerning the short-run scenario (Table 3), BS-GMPSUM was able to produce new 553 best results in 17 cases. This includes even four cases of benchmark set Es, which was 554 generated uniformly at random. Remarkable are the four cases of sets VIRUS and RAT in 555 which the currently best-known solution was improved by two letters (see, for example, 556 the case of set RAT and the instance $|\Sigma| = 4$, m = 40, and n = 600). Concerning the 557 more important long-run scenario, the best-known results so far were improved in 14 558 cases. Especially remarkable is the case concerning set BB for which an impressive 559 improvement of around 24 letters was achieved. 560

561 4.5. Results for benchmark sets POLY and BACTERIA

The tables reporting on the results for benchmark set POLY are structured in the same way as those described before in the context of the other benchmark sets. The difference is that instances groups are identified by means of $|\Sigma|$ (first column), *m* (second column), and *n* (third column). Best results per instance group—that is, per table row—are displayed in bold font.

567 The results of the short-run scenario for benchmark set POLY are given in Table 568 5. According to the obtained results, a clear winner is BS-GMPSUM which obtains the 569 best average solution quality for all six instance groups. This indicates that GMPSUM is clearly better as a search guidance than the other three heuristic functions for this 571 benchmark set. As previously motivated, this is due to the strongly non-uniform nature 572 of the instances, i.e., the intentionally generated imbalance of the number of occurrences 573 of different letters in the input strings. Nevertheless, the absolute differences between the 574 results of BS-GMPSUM and BS-EX are not so high. The results of the long-run executions 575 for benchmark set POLY are provided in Table 6. It can be observed that TRBS-GMPSUM 576 and the state-of-the-art technique A*+ACS perform comparably. 577 578

Remember that, as in the case of POLY, the instances of benchmark set BACTERIAare used for the first time in a study concerning the LCS problem. They were initially

| Insta | ance | group | BS- | Ex | BS-P | OW | BS-I | ΗP | BS-GMPSUM | | |
|------------|------|-------|-------|------|-------|-----|-------|-----|-----------|------|--|
| $ \Sigma $ | т | n | s | t | s | t | s | t | s | t | |
| 4 | 10 | 100 | 43.2 | 0.5 | 43.2 | 0.3 | 43.1 | 0.3 | 43.3 | 0.1 | |
| 4 | 10 | 500 | 232.5 | 4.1 | 232.7 | 2.6 | 231.3 | 2.1 | 233 | 2.5 | |
| 4 | 10 | 1000 | 470.7 | 8.8 | 470.1 | 5.4 | 467.3 | 4.2 | 470.9 | 10.3 | |
| 4 | 50 | 100 | 35.7 | 0.6 | 35.6 | 0.4 | 35.5 | 0.3 | 35.8 | 0.3 | |
| 4 | 50 | 500 | 201.4 | 6.1 | 200.8 | 3.5 | 200.4 | 3 | 202.3 | 6.2 | |
| 4 | 50 | 1000 | 412.5 | 13.2 | 411.2 | 7.4 | 411.6 | 6.3 | 412.8 | 20.9 | |

Table 5: Short-run results for benchmark set POLY.

Table 6: Long-run results for benchmark set POLY.

| Inst | ance | group | A*+ACS | TRBS- | GMPSUM |
|------------|------|-------|--------|-------|--------|
| $ \Sigma $ | т | п | s | s | t |
| 4 | 10 | 100 | 43.4 | 43.4 | 580.7 |
| 4 | 10 | 500 | 234.3 | 234.3 | 890.5 |
| 4 | 10 | 1000 | 473.9 | 473.4 | 896.2 |
| 4 | 50 | 100 | 35.9 | 35.9 | 83.6 |
| 4 | 50 | 500 | 203 | 203.5 | 883.8 |
| 4 | 50 | 1000 | 414.3 | 414.9 | 892.3 |

proposed in a study concerning the constrained LCS problem [35]. The results are 581 again presented in the same way as described before. This set consists of 35 instances. 582 Therefore, each line in Table 7 (short-run scenario) and Table 8 (long-run scenario) deals 583 with one single instance which is identified by $|\Sigma|$ (always equal to 4), *m* (varying 584 between 2 and 383), n_{\min} (the length of the shortest input string) and n_{\max} (the length of 585 the longest input string). Best results are indicated in bold font. The results obtained 586 for the short-run scenario allow to observe that BS-HP performs very well for this 587 benchmark set. In fact, it obtains the best solution in 22 out of 35 cases. However, 58 BS-GMPSUM is not far behind with 18 best solutions. Moreover, BS-GMPSUM obtains a 680 slightly better average solution quality than BS-HP. Concerning the long-run scenario, 590 as already observed before, TRBS-GMPSUM clearly outperforms A*+ACS. In fact, the 591 differences are remarkable in some cases such as, for example, instance number 32 592 (fourth but last line in Table 8) for which TRBS-GMPSUM obtains a solution of value 593 1241, while A*+ACS finds—in the same computation time—a solution of value 1204. 59

595 4.6. Statistical significance of the so-far reported results

=

In this section we study the results of the short-run and long-run executions from a statistical point of view. In order to do so, Friedman's tests was performed simultaneously considering all four algorithms in the case of the short-run scenario, respectively the two considered algorithms in the case of the long-run scenario.¹

Given that in all cases the test rejected the hypothesis that the algorithms perform 600 equally, pairwise comparisons were performed using the Nemenyi post-hoc test [38]. 601 The corresponding critical difference (CD) plots considering all benchmark sets together 602 are shown in Figure 2, respectively Figure 3a. Each algorithm is positioned in the 603 segment according to its average ranking w.r.t. average solution quality over all (121) 604 considered instance groups. The critical difference was computed with a significance 605 level of 0.05. The performances of those algorithms whose difference is below the CD 606 are regarded as performing statistically in an equivalent way-that is, no difference of 607 statistical significance can be detected. This is indicated in the figures by bold horizontal 608 bars joining the respective algorithm markers. 609

Concerning short-run executions, BS-GMPSUM is clearly the overall best-performaing
 algorithm, with statistical significance. BS-EX is in second position. Moreover, the differ ence between BS-HP and BS-POW is not statistically significant. Concerning the long-run

¹ All these tests and the resulting plots were generated using R's scmamp package [37].

lΣ

| | Ins | stance | | BS- | ·Ех | BS-I | POW | BS- | ΗP | BS-GN | MPSUM |
|---|-----|------------------|------------------|------|------|------|------|------|------|-------|-------|
| | т | n _{min} | n _{max} | s | t | s | t | s | t | s | t |
| ł | 383 | 610 | 1553 | 256 | 31.1 | 252 | 13.9 | 279 | 16.8 | 271 | 94.1 |
| ł | 3 | 1458 | 1458 | 1365 | 2.1 | 1365 | 1 | 1365 | 1.8 | 1365 | 7.7 |
| ł | 33 | 1349 | 1577 | 610 | 17.6 | 605 | 10.6 | 755 | 10.8 | 689 | 36.5 |
| ł | 106 | 1252 | 1520 | 503 | 25.1 | 483 | 12 | 515 | 12.2 | 514 | 61.8 |
| ł | 2 | 1502 | 1502 | 1499 | 0 | 1499 | 0 | 1499 | 0 | 1499 | 0.1 |
| ł | 12 | 1274 | 1413 | 659 | 13.3 | 636 | 8.5 | 627 | 6.9 | 659 | 18.9 |
| ł | 15 | 1302 | 1515 | 598 | 13.3 | 602 | 8.5 | 655 | 7.7 | 678 | 20.7 |
| ł | 13 | 1479 | 1557 | 811 | 15.8 | 752 | 10.1 | 1061 | 10 | 883 | 21.7 |
| ł | 13 | 1308 | 1507 | 1037 | 17.6 | 1039 | 11.1 | 862 | 8.6 | 882 | 25.9 |
| ł | 44 | 873 | 1543 | 493 | 16.3 | 473 | 9.3 | 470 | 7.8 | 494 | 29.6 |
| ł | 4 | 1408 | 1530 | 1204 | 9 | 1271 | 6.3 | 1271 | 5.8 | 1271 | 15.9 |
| ł | 173 | 1234 | 1847 | 502 | 34.7 | 463 | 15 | 541 | 18.3 | 525 | 97.5 |
| ł | 13 | 1446 | 1551 | 681 | 14.5 | 713 | 9.5 | 794 | 8.6 | 785 | 22.2 |
| ł | 88 | 1360 | 1545 | 583 | 27.3 | 570 | 13.8 | 667 | 15.1 | 601 | 67 |
| ł | 2 | 1540 | 1548 | 1522 | 0.2 | 1522 | 0.1 | 1522 | 0.1 | 1522 | 0.3 |
| ł | 3 | 1395 | 1424 | 1141 | 11.2 | 1141 | 6.8 | 1141 | 6.1 | 1141 | 15 |
| ł | 4 | 1410 | 1488 | 886 | 9.8 | 1123 | 8 | 1123 | 6.7 | 1123 | 17.4 |
| ł | 51 | 1266 | 1522 | 681 | 25.2 | 552 | 12.3 | 667 | 12 | 641 | 48.7 |
| ł | 2 | 1461 | 1539 | 1354 | 0.9 | 1354 | 0.5 | 1354 | 1.7 | 1354 | 8.6 |
| ł | 13 | 1246 | 1411 | 687 | 13 | 662 | 7.4 | 609 | 6.7 | 699 | 19.6 |
| ł | 4 | 1434 | 1478 | 876 | 9.6 | 1112 | 8 | 1112 | 6.9 | 1112 | 16.3 |
| ł | 18 | 1023 | 1438 | 464 | 11.9 | 468 | 7.6 | 458 | 5.8 | 475 | 14.2 |
| ł | 2 | 1454 | 1460 | 1431 | 0.2 | 1431 | 0.1 | 1431 | 0.1 | 1431 | 0.3 |
| ł | 8 | 1401 | 1533 | 1024 | 15.9 | 1061 | 9.8 | 858 | 7.5 | 864 | 18.8 |
| ł | 33 | 990 | 1483 | 410 | 12.1 | 492 | 8.8 | 467 | 6.9 | 456 | 16.4 |
| ł | 29 | 1422 | 1549 | 587 | 16.3 | 581 | 9.8 | 634 | 8.9 | 590 | 26.3 |
| ł | 20 | 571 | 1394 | 438 | 9.6 | 405 | 5.4 | 401 | 4.5 | 431 | 11.7 |
| ł | 96 | 1270 | 1565 | 516 | 24 | 467 | 11 | 531 | 12.3 | 522 | 55.5 |
| ł | 10 | 1322 | 1455 | 1026 | 16 | 1026 | 9.7 | 796 | 7.1 | 1026 | 19.5 |
| ł | 26 | 1334 | 1596 | 617 | 16.7 | 584 | 9.4 | 640 | 8.6 | 631 | 26.2 |
| ł | 195 | 1345 | 1547 | 503 | 38.2 | 448 | 15.3 | 537 | 19.2 | 524 | 100.9 |
| ł | 8 | 1454 | 1532 | 1221 | 16.4 | 1241 | 10 | 1241 | 8.6 | 1241 | 25.5 |
| ł | 8 | 1359 | 1612 | 555 | 18.9 | 555 | 11.2 | 600 | 10.3 | 627 | 38.4 |
| ł | 89 | 455 | 1587 | 251 | 11.3 | 214 | 4.7 | 233 | 4.8 | 239 | 18.2 |
| ł | 2 | 1465 | 1469 | 1358 | 0.7 | 1358 | 0.4 | 1358 | 0.5 | 1358 | 6.8 |

Table 7: Short-run results for benchmark set BACTERIA.



Figure 2. Critical difference (CD) plot over all considered benchmark sets (short-run executions).



(a) All instances(b) Benchmark set BACTERIA.Figure 3. Criticial difference (CD) plots concerning the long run scenario.

scenario, the best average rank is obtained by TRBS-GMPSUM. In the case of bench-

mark set BACTERIA, the difference between TRBS-GMPSUM and A*+ACS is significant,

see Figure 3b. For the other benchmark sets the two approaches perform statistically

616 equivalent.

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| | Ins | stance | | A*+ACS | TRBS-C | GMPSUM |
|------------|-----|------------------|------------------|--------|--------|--------|
| $ \Sigma $ | т | n _{min} | n _{max} | s | s | t |
| 4 | 383 | 610 | 1553 | 265 | 273 | 887.2 |
| 4 | 3 | 1458 | 1458 | 1365 | 1365 | 810.9 |
| 4 | 33 | 1349 | 1577 | 670 | 723 | 899 |
| 4 | 106 | 1252 | 1520 | 518 | 532 | 897.1 |
| 4 | 2 | 1502 | 1502 | 1499 | 1499 | 0.1 |
| 4 | 12 | 1274 | 1413 | 665 | 694 | 899.7 |
| 4 | 15 | 1302 | 1515 | 680 | 708 | 899.6 |
| 4 | 13 | 1479 | 1557 | 842 | 883 | 899.5 |
| 4 | 13 | 1308 | 1507 | 870 | 1043 | 899.7 |
| 4 | 44 | 873 | 1543 | 514 | 501 | 897.3 |
| 4 | 4 | 1408 | 1530 | 1204 | 1271 | 898.4 |
| 4 | 173 | 1234 | 1847 | 520 | 528 | 895.6 |
| 4 | 13 | 1446 | 1551 | 732 | 816 | 899.6 |
| 4 | 88 | 1360 | 1545 | 557 | 634 | 897.9 |
| 4 | 2 | 1540 | 1548 | 1522 | 1522 | 0.3 |
| 4 | 3 | 1395 | 1424 | 1141 | 1141 | 899.7 |
| 4 | 4 | 1410 | 1488 | 1059 | 1123 | 899.4 |
| 4 | 51 | 1266 | 1522 | 659 | 871 | 898.9 |
| 4 | 2 | 1461 | 1539 | 1354 | 1354 | 851.9 |
| 4 | 13 | 1246 | 1411 | 716 | 727 | 899.6 |
| 4 | 4 | 1434 | 1478 | 1030 | 1112 | 899.2 |
| 4 | 18 | 1023 | 1438 | 481 | 488 | 898.4 |
| 4 | 2 | 1454 | 1460 | 1431 | 1431 | 0.3 |
| 4 | 8 | 1401 | 1533 | 1040 | 1063 | 899.2 |
| 4 | 33 | 990 | 1483 | 449 | 510 | 899.1 |
| 4 | 29 | 1422 | 1549 | 643 | 661 | 899 |
| 4 | 20 | 571 | 1394 | 439 | 432 | 899.7 |
| 4 | 96 | 1270 | 1565 | 529 | 546 | 897.2 |
| 4 | 10 | 1322 | 1455 | 1026 | 1026 | 899.7 |
| 4 | 26 | 1334 | 1596 | 654 | 676 | 899.3 |
| 4 | 195 | 1345 | 1547 | 514 | 544 | 894.2 |
| 4 | 8 | 1454 | 1532 | 1204 | 1241 | 898.3 |
| 4 | 8 | 1359 | 1612 | 624 | 644 | 897.9 |
| 4 | 89 | 455 | 1587 | 250 | 252 | 898.2 |
| 4 | 2 | 1465 | 1469 | 1358 | 1358 | 829.6 |

Table 8: Long-run results for benchmark set BACTERIA.

5. Textual Corpus Case Study

In the previous section we showed that the proposed method is highly competitive 618 with state-of-the art methods and generally outperforms them on instances sampled 619 from non-uniform distributions. In order to further investigate the behavior of the 620 proposed method on real-world instances with non-uniform distribution, we performed a case study on a corpus of textual instances originating from abstracts of scientific 622 papers written in English. This set will henceforth be called ABSTRACT. It is known that 623 letters in English language are polynomially distributed [22]. The most frequent letter 624 is *e*, with a relative frequency of 12.702%. The next most common letter is t (9.056%), 625 followed by *a* (8.167%), and *o* (7.507%), etc. 626

In order to make a meaningful choice of texts we followed [39], where the authors measured the similarity between scientific papers, mainly from the field of artificial intelligence, by making use of various algorithms and metrics. By using tf-idf statistics with cosine similarity, their algorithm identified similar papers from a large paper collection. After that, the similarity between the papers proposed by their algorithm was manually checked and tagged by an expert as either similar (positive) or dissimilar (negative). The results of this research can be found at https://cwi.ugent.be/respapersim.

Keeping in mind that the LCS problem is also a measure of text similarity, we decided to check whether the abstracts of similar papers have longer common subsequences than abstracts of dissimilar papers. Therefore, the purpose of this case study is twofold: (1) to execute the LCS state-of-the art methods along with the method proposed in this paper and to compare their performances on this specific instance set, and (2) to

- check whether the abstracts of similar papers have a higher LCS than those of dissimilarpapers.
- Based on these considerations, we formed two groups of twelve papers each, named
- POS and NEG. Group POS contains twelve papers which have been identified as similar,
- while group NEG contains papers which are not similar to each other. We extracted
- abstracts from each paper and pre-processed them in order to remove all letters exceptfor those letters from the English alphabet. In addition, each uppercase letter was
- replaced with its lowercase pair.
- ⁶⁴⁷ For each if the two groups we created a set of test instances as follows. For each

 $k \in \{10, 11, 12\}$ we generated $\binom{12}{k}$ different instances containing k input strings (con-

- sidering all possible combinations). This resulted in the following set of instances forboth POS and NEG:
- One instance containing all 12 abstracts as input strings.
- 12 instances containing 11 out of 12 abstracts as input strings.
- 66 instances containing 10 out of 12 abstracts as input strings.

Repeating our experimental setup presented in the previous section, we performed both
short and long runs for the described instances. The obtained results for the short–run
scenarios are shown in Table 9. The table is organized into five blocks of columns. The
first block provides the general information on the instances: NEG vs. POS, number of
input strings (column with heading *m*), and the total number of instances (column #).
The remaining four blocks contain the results of BS-EX, BS-POW, BS-HP and BS-GMPSUM,
respectively. For each considered group of instances and each method, the following
information about the obtained results is shown:

- |s|: solution quality of the obtained LCS for the considered group of instances.
- #b.: number of cases in which the method reached the best result for the considered
- 664 group of instances.
- \bar{t} : average execution time in seconds for the considered group of instances.

| Table 9: Short-run results for the textual cor | pus instances (ABSTRACT). |
|------------------------------------------------|---------------------------|
|------------------------------------------------|---------------------------|

| Instanc | e set | | Ι | BS-Ex | | B | S-Pow | | Ι | BS-HP | | BS- | Gmpsu | М |
|--------------|-------|-----|--------|-------|------|--------|-------|----------------|--------|-------|------|--------|-------|----------------|
| Name | т | # | s | #b. | t | s | #b. | \overline{t} | s | #b. | ī | s | #b. | \overline{t} |
| NEG | 12 | 1 | 128 | 0 | 14.6 | 123 | 0 | 10.8 | 126 | 0 | 11.8 | 130 | 1 | 11.4 |
| NEG | 11 | 12 | 132.08 | 7 | 15 | 127 | 0 | 11.2 | 129.42 | 0 | 12.2 | 132.58 | 8 | 11.7 |
| NEG | 10 | 66 | 136.47 | 29 | 14.9 | 132.5 | 0 | 11.3 | 134.82 | 4 | 11.7 | 137.27 | 50 | 11.6 |
| POS | 12 | 1 | 134 | 1 | 15.2 | 128 | 0 | 11.9 | 131 | 0 | 11.8 | 133 | 0 | 7.4 |
| POS | 11 | 12 | 137.67 | 5 | 15 | 131.58 | 0 | 11.2 | 135.92 | 1 | 11.5 | 138.42 | 11 | 7.2 |
| POS | 10 | 66 | 143.33 | 42 | 14.5 | 135.85 | 0 | 10.7 | 141.53 | 10 | 11.5 | 143.14 | 39 | 7.2 |
| All Negative | | 79 | | 36 | | | 0 | | | 4 | | | 59 | |
| All Positive | | 79 | | 48 | | | 0 | | | 11 | | | 50 | |
| All | | 158 | | 84 | | | 0 | | | 15 | | | 109 | |

The results from Table 9 clearly indicate that the best results for instances based on group NEG are obtained by BS-GMPSUM. More precisely, BS-GMPSUM works best for the 667 instance with 12 input strings, for eight out of 12 instances with 11 input strings and for 668 50 out of 66 instances with 10 input strings. In contrast, the second-best approach (BS-EX) 669 reached the best result for 29 out of 66 instances with 10 input strings and seven out of 12 instances with 11 input strings. The remaining two methods were less successful for this 671 group of instances. For the instances derived from group POS, BS-GMPSUM also achieved 672 very good results. More specifically, BS-GMPSUM obtained the best results in almost all 673 instances with 11 input strings. For instances with ten input strings, BS-EX obtained the 674 best results in 42 out of 66 cases, with BS-GMPSUM performing comparably (best result 675 in 39 out of 66 cases). For the instance with twelve strings, the best solution was found 676 by the BS-EX. Similarly to the instances from the NEG group, BS-HP and BS-POW are clearly less successful. 678

A summary of these results is provided in the last three rows of Table 9. Note that, in total, this table deals with 158 problem instances: 79 regarding group NEG, and another 79 regarding group POS. The summarized results show that the new GMPSUM guidance is, overall, more successful than its competitors. More precisely, BS-GMPSUM achieved the best results in 59 out of 79 cases concerning NEG, and in 50 out of 79 cases concerning POS. Moreover, it can be observed that the average LCS length regarding the

POS instances is greater than the one regarding the NEG instances, across all m values.

Table 10: Long-run results for the textual corpus instances (ABSTRACT).

| Instanc | e set | | A*+4 | ACS | TRBS-GMPSUM | | | |
|--------------|-------|-----|--------|-------|------------------|-------|-------|--|
| Name | т | # | s | #best | $\overline{ s }$ | #best | ī | |
| NEG | 12 | 1 | 129 | 0 | 130 | 1 | 895.7 | |
| NEG | 11 | 12 | 133.25 | 2 | 134.33 | 11 | 897.2 | |
| NEG | 10 | 66 | 138.32 | 31 | 139.12 | 60 | 897.8 | |
| POS | 12 | 1 | 136 | 1 | 136 | 1 | 896.5 | |
| POS | 11 | 12 | 140.17 | 6 | 140.42 | 9 | 896.8 | |
| POS | 10 | 66 | 145.33 | 41 | 145.52 | 45 | 897.4 | |
| All Negative | | 79 | | 33 | | 72 | | |
| All Positive | | 79 | | 48 | | 55 | | |
| All | | 158 | | 81 | | 127 | | |

Table 10 contains information for the long-run executions. The results obtained by A*+ACS and TRBS-GMPSUM are shown. The table is organized in a similar way as 687 Table 9, with the exception that it does not contain information about execution times, 688 since computation time served as the stopping criterion. As it can be seen from the 689 overall results at the bottom of Table, TRBS-GMPSUM obtains more best results than A*+ACS for both groups of instances (NEG and POS). More precisely, it obtained the best 691 result for the instances with 12 input strings, both in the case of POS and NEG, while 692 A*+ACS achieved the best result only in the case of the POS instance with 12 input strings. 693 Concerning the results for the instances with 11 input strings, it can be noticed that—in the case of the NEG instances—TRBS-GMPSUM delivers 11 out of 12 best results, while 695 A*+ACS method does so only in two out of twelve cases. Regarding the POS instances 696 with 11 input strings, the difference becomes smaller. More specifically, TRBS-GMPSUM 697 achieves nine out of 12 best results, while A*+ACS achieved six out of 12 best results. A 698 corresponding comparison can be done for the instances with 10 input strings. For the 699 instances concerning group NEG, TRBS-GMPSUM delivers the best results for 60 out of 66 700 instances, while A*+ACS can find the best results only in 31 cases. Finally, in the case of 701 the POS instances, the best results were achieved in 45 out of 66 cases by TRBS-GMPSUM, 702 and in 41 out of 66 cases by A^* +ACS. The long run results also indicate that abstracts of 703 similar papers are characterized by generally longer LCS measures. 704

6. Conclusions and Future Work

In this paper we considered the prominent longest common subsequence problem 706 with an arbitrary set of input strings. We proposed a novel search guidance, named 707 GMPSUM, for tree search algorithms. This new guidance function was defined as a convex 708 combination of two complementary heuristics: (1) the first one is suited for instances in 709 which the distribution of letters is close to uniform-at-random, and (2) the second one is 710 convenient for all cases in which letters are non-uniformly distributed. The combined 711 score produced by these two heuristics provides a guidance function which navigates the 712 search towards promising regions of the search space, on a wide range of instances with 713 different distributions. We ran short-run experiments in which beam search makes use of 714 a comparable number of iterations under different guidance heuristics. The conclusion 715 was that the novel guidance heuristic performs statistically equivalent to the best-so-far 716 heuristic from the literature on close-to-random instances. Moreover, it was shown that 717 it significantly outperforms the known search guidance functions on instances with a 718 non-uniform letter frequency per input string. This capability of the proposed heuristic 719 to deal with a non-uniform scenario was validated on two newly introduced benchmark 720

sets: (1) POLY, whose input strings are generated from a multinomial distribution, and 721 (2) ABTRACT, which are real-world instances whose input strings follow a multinomial 722 distribution and originate from abstracts of scientific papers written in English. In a 723 second part of the experimentation we performed long-run executions. For this purpose 724 we combined the GMPSUM guidance function with a time-restricted BS that dynamically 725 adapts its beam width during execution such that the overall running time is very close to the desired time limit. This algorithm was able to outperform the best approach from 727 the literature (A*+ACS) significantly. More specifically, the best-known results from the 728 literature were at least matched for 63 out of 80 considered instance groups. Moreover, 729 regarding the two new benchmark sets (POLY and BACTERIA), the time-restricted BS 730 guided by GMPSUM was able to deliver equally good, and in most cases better, solutions 731 than A*+ACS in 38 out of 41 instance groups. 732

In future work we plan to adapt GMPSUM to other LCS-related problems such as the constrained longest common subsequence problem [40], the repetition-free longest common sunsequene problem [41], the LCS problem with a substring exclusion constraint [42], and the longest common palindromic subsequence problem [43]. Also, it would be interesting to incorporate this new guidance function into the leading hybrid approach A*+ACS to possibly further boost the obtained solution quality.

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A.K. was responsible for software implementation, writing and methodology. M.D. was responsible for conceptualization, witting and visualization. M.G. was responsible for resources and
writing. C.B. was responsible for writing, editing and supervision. G.R. was responsible for concept polishing, supervision, and funding acquisition.

Data Availability Statement: The reported results can be found at https://github.com/milanagrbic/
 LCSonNuD.

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753 Abbreviations

755

756

- The following abbreviations are used in this manuscript:
 - LCS Longest Common Subsequence
 - BS Beam Search
 - ACS Anytime column search
 - APS Anytime pack search

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